

**STATE OF FLORIDA  
FLORIDA ELECTIONS COMMISSION**

**In Re: Christopher L. Duncan Jr.**

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**Case No.: FEC 16-325**

**TO:** Christopher L. Duncan Jr.  
1166 Albion St. NW  
Palm Bay, FL 32911-0355

Division of Elections  
500 S Bronough Street, Room 316  
Tallahassee, FL 32399

**NOTICE OF HEARING (INFORMAL HEARING)**

A hearing will be held in this case before the Florida Elections Commission on, **August 16, 2017 at 8:30 am, or as soon thereafter as the parties can be heard**, at the following location: **Senate Office Building, 404 South Monroe Street, Room 110-S, Tallahassee, Florida 32399**

Failure to appear in accordance with this notice will constitute a waiver of your right to participate in the hearing. Continuances will be granted only upon a showing of good cause.

This hearing will be conducted pursuant to Section 106.25, Florida Statutes, which governs your participation as follows:

**If you are the Respondent**, you may attend the hearing, and you or your attorney will have *5 minutes* to present your case to the Commission. However, some cases (including those in which consent orders or recommendations for no probable cause are being considered) may be decided by an *en masse* vote and, unless you request to be heard or the Commission requests that your case be considered separately on the day of the hearing, your case will *not* be individually heard.

**If you are the Complainant**, you may attend the hearing, but you will *not* be permitted to address the Commission. In addition, some cases (including those in which consent orders or recommendations for no probable cause are being considered) may be decided by an *en masse* vote and, unless the Respondent requests to be heard or the Commission requests that the case be considered separately on the day of the hearing, the case will *not* be individually heard.

**If you are an Appellant**, and you have requested a hearing, you may attend the hearing, and you or your attorney will have *5 minutes* to present your case to the Commission.

Please be advised that both confidential and public cases are scheduled to be heard by the Florida Elections Commission on this date. As an Appellant, Respondent or Complainant in one case, you will *not* be permitted to attend the hearings on other confidential cases.

The Commission will electronically record the meeting. Although the Commission's recording is considered the official record of the hearing, the Respondent may provide, at his own expense, a certified court reporter to also record the hearing.

If you require an accommodation due to a disability, contact Donna Ann Malphurs at (850) 922-4539 or by mail at 107 West Gaines Street, The Collins Building, Suite 224, Tallahassee, Florida 32399, at least 5 days before the hearing.

**See further instructions on the reverse side.**

*Amy McKeever Toman*  
Executive Director  
Florida Elections Commission  
August 1, 2017

Please refer to the information below for further instructions related to your particular hearing:

If this is a hearing to consider **an appeal from an automatic fine**, the Filing Officer has imposed a fine on you for your failure to file a campaign treasurer's report on the designated due date and, by filing an appeal, you have asked the Commission to consider either (1) that the report was in fact timely filed; or (2) that there were unusual circumstances that excused the failure to file the report timely. You are required to prove your case. If the Commission finds that the report was filed timely or that there were unusual circumstances that excused the failure, it may waive the fine, in whole or in part. The Commission may reduce a fine after considering the factors in Section 106.265, Florida Statutes. If the Commission finds that the report was not timely filed and there were no unusual circumstances, the fine will be upheld.

If this is a hearing to consider a **consent order before a determination of probable cause has been made**, the Commission will decide whether to accept or reject the consent order. If the Commission accepts the consent order, the case will be closed and become public. If the Commission rejects the consent order or does not make a decision to accept or deny the consent order, the case will remain confidential, unless confidentiality has been waived.

If this is a hearing to consider a **consent order after a determination of probable cause has been made**, the Commission will decide whether to accept or reject the consent order. If the Commission accepts the consent order, the case will be closed. If the Commission rejects the consent order or does not make a decision to accept or deny the consent order, the Respondent will be entitled to another hearing to determine if the Respondent committed the violation(s) alleged.

If this is a **probable cause hearing**, the Commission will decide if there is probable cause to believe that the Respondent committed a violation of Florida's election laws. Respondent should be prepared to explain how the staff in its recommendation incorrectly applied the law to the facts of the case. *Respondent may not testify, call others to testify, or introduce any documentary or other evidence at the probable cause hearing.* The Commission will only decide whether Respondent should be *charged* with a violation and, before the Commission determines whether a violation has occurred or a fine should be imposed, Respondent will have an opportunity for another hearing at which evidence may be introduced.

If this is an **informal hearing**, it will be conducted pursuant Sections 120.569 and 120.57(2), Florida Statutes; Chapter 28 and Commission Rule 2B-1.004, Florida Administrative Code. At the hearing, the Commission will decide whether the Respondent committed the violation(s) charged in the Order of Probable Cause. The Respondent will be permitted to testify. However, the Respondent may not call witnesses to testify.

Respondent may argue why the established facts in the Staff Recommendation do not support the violations charged in the Order of Probable Cause. At Respondent's request, the Commission may determine whether Respondent's actions in the case were willful. The Respondent may also address the appropriateness of the recommended fine. If Respondent claims that his limited resources make him unable to pay the statutory fine, *he must provide the Commission with written proof of his financial resources* at the hearing. A financial affidavit form is available from the Commission Clerk.

FILED

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STATE OF FLORIDA  
ELECTIONS COMMISSION

STATE OF FLORIDA  
FLORIDA ELECTIONS COMMISSION

Florida Elections Commission,  
Petitioner,

Case No.: FEC 16-325

v.

Christopher L. Duncan, Jr.,  
Respondent.

\_\_\_\_\_ /

**ORDER OF PROBABLE CAUSE**

**THIS MATTER** was heard by the Florida Elections Commission (Commission) at its regularly scheduled meeting on May 17, 2017, in Tallahassee, Florida.

On February 14, 2017, Staff recommended to the Commission that there was probable cause to believe that the Florida Election Code was violated. The facts articulated in Staff's Recommendation are adopted by reference and incorporated herein. Based on the Complaint, Report of Investigation, Staff's Recommendation, and oral statements (if any) made at the probable cause hearing, the Commission finds that there is **probable cause** to charge Respondent with the following violation(s):

**Count 1:**

On or about June 10, 2016, Christopher L. Duncan, Jr. violated Section 106.07(7), Florida Statutes, when he failed to notify the filing officer in writing on the prescribed reporting date that he would not be filing his 2016 M5 Report.

The Commission finds that there is **no probable cause** to charge Respondent with violating Section 106.19(1)(c), Florida Statutes.

**DONE AND ORDERED** by the Florida Elections Commission on May 17, 2017.



M. Scott Thomas, Chairman  
Florida Elections Commission

Copies furnished to:  
Stephanie J. Cunningham, Assistant General Counsel  
Christopher L. Duncan, Jr., Respondent  
Division of Elections, Complainant

### NOTICE OF RIGHT TO A HEARING

As the Respondent, you may elect to resolve this case in several ways. First, you may elect to resolve this case by consent order where you and Commission staff agree to resolve the violation(s) and agree to the amount of the fine. The consent order is then presented to the Commission for its approval. To discuss a consent order, contact the FEC attorney identified in the Order of Probable Cause.

Second, you may request an informal hearing held before the Commission, if you do not dispute any material fact in the Staff Recommendation. You have 30 days from the date the Order of Probable Cause is filed with the Commission to request such a hearing. The date this order was filed appears in the upper right-hand corner of the first page of the order. At the hearing, you will have the right to make written or oral arguments to the Commission concerning the legal issues related to the violation(s) and the potential fine. At the request of Respondent, the Commission will consider and determine willfulness at an informal hearing. Otherwise, live witness testimony is unnecessary.

Third, you may request a formal hearing held before an administrative law judge in the Division of Administrative Hearings (DOAH), if you dispute any material fact in the Staff Recommendation. You have 30 days from the date the Order of Probable Cause is filed with the Commission to request such a hearing. The date this order was filed appears in the upper right-hand corner of the first page of the order. At the hearing, you will have the right to present evidence relevant to the violation(s) listed in this order, to cross-examine opposing witnesses, to impeach any witness, and to rebut the evidence presented against you.

If you do not elect to resolve the case by consent order or request a formal hearing at the DOAH or an informal hearing before the Commission within 30 days of the date this Order of Probable Cause is filed with the Commission, the case will be sent to the Commission for a formal or informal hearing, depending on whether the facts are in dispute. The date this order was filed appears in the upper right-hand corner of the first page of the order.

To request a hearing, please send a written request to the Commission Clerk, Donna Ann

Malphurs. The address of the Commission Clerk is 107 W. Gaines Street, Collins Building, Suite 224, Tallahassee, Florida 32399-1050. The telephone number is (850) 922-4539. The Clerk will provide you with a copy of Chapter 28-106, *Florida Administrative Code*, and other applicable rules upon request. No mediation is available.

STATE OF FLORIDA  
FLORIDA ELECTIONS COMMISSION

**In Re: Christopher L. Duncan Jr.**

**Case No.: FEC 16-325**

**TO:** Christopher L. Duncan Jr.  
P.O. Box 110355  
Palm Bay, FL 32911-0355

Division of Elections  
500 S Bronough Street, Room 316  
Tallahassee, FL 32399

**NOTICE OF HEARING (PROBABLE CAUSE DETERMINATION)**

A hearing will be held in this case before the Florida Elections Commission on, **May 17, 2017 at 10:30 am, or as soon thereafter as the parties can be heard**, at the following location: **Augustus B. Turnbull Conference Center, 555 West Pensacola Street, Room 214, Tallahassee, Florida 32301**

Failure to appear in accordance with this notice will constitute a waiver of your right to participate in the hearing. Continuances will be granted only upon a showing of good cause.

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**See further instructions on the reverse side.**

***Amy McKeever Toman***  
Executive Director  
Florida Elections Commission  
May 1, 2017

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If this is a hearing to consider a **consent order before a determination of probable cause has been made**, the Commission will decide whether to accept or reject the consent order. If the Commission accepts the consent order, the case will be closed and become public. If the Commission rejects the consent order or does not make a decision to accept or deny the consent order, the case will remain confidential, unless confidentiality has been waived.

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If this is a **probable cause hearing**, the Commission will decide if there is probable cause to believe that the Respondent committed a violation of Florida's election laws. Respondent should be prepared to explain how the staff in its recommendation incorrectly applied the law to the facts of the case. *Respondent may not testify, call others to testify, or introduce any documentary or other evidence at the probable cause hearing.* The Commission will only decide whether Respondent should be *charged* with a violation and, before the Commission determines whether a violation has occurred or a fine should be imposed, Respondent will have an opportunity for another hearing at which evidence may be introduced.

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Respondent may argue why the established facts in the Staff Recommendation do not support the violations charged in the Order of Probable Cause. At Respondent's request, the Commission may determine whether Respondent's actions in the case were willful. The Respondent may also address the appropriateness of the recommended fine. If Respondent claims that his limited resources make him unable to pay the statutory fine, *he must provide the Commission with written proof of his financial resources* at the hearing. A financial affidavit form is available from the Commission Clerk.

**STATE OF FLORIDA  
FLORIDA ELECTIONS COMMISSION**

**In Re: Christopher L. Duncan, Jr.**

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**Case No.: FEC 16-325**

**STAFF RECOMMENDATION FOLLOWING INVESTIGATION**

Pursuant to Section 106.25(4)(c), Florida Statutes, undersigned staff counsel files this written recommendation for disposition of the referral in this case recommending that there is **probable cause** to charge Respondent with violating **Section 106.07(7), Florida Statutes**, and that there is **no probable cause** to charge Respondent with violating **Section 106.19(1)(c), Florida Statutes**. Based upon a thorough review of the Report of Investigation submitted on January 23, 2017, the following facts and law support this staff recommendation:

1. On August 16, 2016, the Florida Elections Commission (“Commission”) received a referral from the Department of State, Division of Elections (“Division”), alleging that Christopher L. Duncan, Jr. (“Respondent”) violated Chapter 106, Florida Statutes.
2. Respondent was a 2016 candidate for State Senator, District 17. (ROI Exhibit 14)<sup>1</sup>
3. By letter dated November 7, 2016, the Executive Director notified Respondent that Commission staff would investigate the following statutory provisions:

**Section 106.07(7), Florida Statutes:** As alleged in the complaint, Respondent may have failed to notify the filing officer on the prescribed reporting date that no report would be filed on that date because it had not received funds, made contributions, or expended reportable funds during the following reporting period:

- 2016 M5

**Section 106.19(1)(c), Florida Statutes:** As alleged in the complaint, Respondent may have falsely reported or deliberately failed to include information required by Chapter 106, Florida Statutes, when it failed to file a report on the prescribed reporting date for the following reporting period:

- 2016 M5

4. By letter dated April 28, 2016, Kristi Reid Bronson, Chief, Bureau of Election Records, sent Respondent a letter acknowledging that the Division had received his Appointment

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<sup>1</sup> The Report of Investigation is referred to herein as “ROI.”



of Campaign Treasurer and Designation of Campaign Depository for Candidates form and that his name was placed on the 2016 active candidate list. (ROI Exhibit 2, page 1)

5. The acknowledgment letter advised Respondent that all candidates filing reports with the Division are required to use the electronic filing system (“EFS”), and provided Respondent with a user identification number and initial password to grant access to the EFS. (ROI Exhibit 2, page 1) The letter further advised Respondent that all of the Division’s publications and reporting forms were available on its website and directed Respondent to print out the *Calendar of Reporting Dates* as well as other relevant documents. (ROI Exhibit 2, page 3)

6. Respondent’s 2016 M5 Report was due to be filed by June 10, 2016; however, Respondent failed to timely file the report or notify the filing officer that no report would be filed. (ROI Exhibit 5, page 1) By letters dated June 13, 2016, July 5, 2016, and July 14, 2016, the Division notified Respondent that his 2016 M5 Report had not been received. The letters also notified Respondent that he was still required to notify the Division if he had no reportable financial activity. (ROI Exhibits 6-8) The July 14, 2016 letter was confirmed delivered. (ROI Exhibit 9)

7. A subpoena was issued to Respondent’s designated campaign depository to ascertain whether any financial activity occurred during the relevant reporting period, i.e., May 1, 2016 – May 31, 2016. The campaign’s bank records show that Respondent did not open an account until June 22, 2016, after the 2016 M5 reporting period. (ROI Exhibit 10)

8. Respondent stated that he did not receive any contributions or make any expenditures during the relevant reporting period. (ROI Exhibit 11, page 2)

9. As it appears that Respondent had no financial activity, Respondent was required to notify the filing officer in writing that he would not be filing his 2016 M5 Report on the prescribed reporting date, but failed to do so. Therewith, it does not appear that Respondent falsely reported or deliberately failed to include information in his 2016 M5 Report as the report was waived due to a lack of reportable financial activity.

10. As of January 23, 2017, Respondent had not filed his 2016 M5 Report or notified the filing officer that no report would be filed. (ROI Exhibit 5, page 1)

11. “Probable Cause” is defined as reasonable grounds of suspicion supported by circumstances sufficiently strong to warrant a cautious person in the belief that the person has committed the offense charged. *Schmitt v. State*, 590 So. 2d 404, 409 (Fla. 1991). Probable cause exists where the facts and circumstances, of which an [investigator] has reasonably trustworthy information, are sufficient in themselves for a reasonable man to reach the conclusion that an offense has been committed. *Department of Highway Safety and Motor Vehicles v. Favino*, 667 So. 2d 305, 309 (Fla. 1st DCA 1995).

12. The facts set forth above show that Respondent was a 2016 candidate for State Senator, District 17. Respondent failed to timely file his 2016 M5 Report or notify the filing

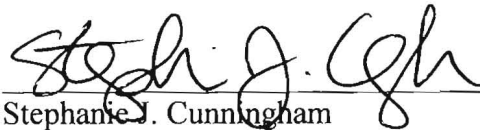
officer that no report would be filed. It appears that Respondent had no financial activity during the relevant reporting period, and therefore was required to notify the filing officer in writing that he would not be filing his 2016 M5 Report on the prescribed reporting date, but failed to do so. Therewith, it does not appear that Respondent falsely reported or deliberately failed to include information in his 2016 M5 Report as the report was waived due to a lack of reportable financial activity.

Based upon these facts and circumstances, I recommend that the Commission find **no probable cause** to charge Respondent with violating **Section 106.19(1)(c), Florida Statutes**, and find **probable cause** to charge Respondent with violating the following:

**Count 1:**

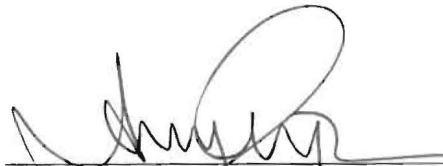
On or about June 10, 2016, Christopher L. Duncan, Jr. violated Section 106.07(7), Florida Statutes, when he failed to notify the filing officer in writing on the prescribed reporting date that he would not be filing his 2016 M5 Report.

Respectfully submitted on February 14, 2017.



Stephanie J. Cunningham  
Assistant General Counsel

I reviewed this Staff Recommendation this 14th day of February 2017.



Amy McKeever Toman  
Executive Director

**FLORIDA ELECTIONS COMMISSION**  
**REPORT OF INVESTIGATION**  
**Case No.: FEC 16-325**

**Respondent:** Christopher L. Duncan, Jr.  
Counsel for Respondent: None

**Complainant:** Division of Elections  
Counsel for Complainant: None

On August 16, 2016, the Florida Elections Commission (“Commission”) received a referral from the Division of Elections (“DOE”) alleging that Respondent violated Chapter 106, Florida Statutes. Commission staff investigated whether Respondent violated the following statutes:

Section 106.07(7), Florida Statutes, failure of a candidate who did not receive any contributions or make any expenditures during a reporting period to notify timely the filing officer, in writing, that no report is being filed; and

Section 106.19(1)(c), Florida Statutes, prohibiting a person or organization from falsely reporting or deliberately failing to report information required by Chapter 106, Florida Statutes.

**I. Preliminary Information:**

1. Respondent was a first-time candidate for the office of State Senate, District 17 in the 2016 election; he failed to qualify. Respondent filed his Appointment of Campaign Treasurer and Designation of Campaign Depository for Candidates form (DS-DE) with the DOE on April 27, 2016. Vanessa Marie Scott, his wife, accepted appointment as his campaign treasurer. To review his Form DS-DE 9, refer to Exhibit 1.

2. Complainant is the DOE.

3. Respondent’s filing officer is Kristi Reid Bronson, Chief, Bureau of Election Records.

**II. Alleged Violation of Section 106.07(7), Florida Statutes:**

4. I investigated whether Respondent violated this section of the election laws by failing to notify the filing officer in writing on the prescribed reporting date that no report would be filed on that date because he had no reportable campaign finance activity during the reporting period.

5. Complainant alleges that Respondent has not filed either a campaign treasurer’s report or notification that no reportable activity occurred.

6. On April 28, 2016, the DOE staff acknowledged receipt of Respondent's Form DS-DE 9 along with his Candidate Oath, advising Respondent that all of the DOE's publications and reporting forms are available on its website, including Chapter 106, Florida Statutes, the *Candidate and Campaign Treasurer Handbook*, and the Calendar of Reporting Dates. Appearing on page three of the letter, "**It is your responsibility to read, understand, and follow the requirements of Florida's election laws....**" To review the acknowledgement letter, refer to Exhibit 2.

7. The acknowledgement letter also states, "An online instruction guide is available to you on the EFS to assist with navigation, data entry, and submission of reports" and provides the telephone number for the DOE's EFS Help Desk. To review the acknowledgement letter, refer to Exhibit 2.

8. The *2016 Candidate and Campaign Treasurer Handbook*, discusses filing a waiver when there is no activity to disclose on page 47. To review relevant pages of the *Candidate and Campaign Treasurer Handbook*, refer to Exhibit 3.

9. Pages 12, 13, 44, and 45 of the *Candidate EFS User's Guide* discuss how to notify the DOE on the prescribed reporting date that no report will be filed using the EFS. Specifically, page 13 instructs the user to click the box next to "waiver" if there is no activity to disclose. To review relevant pages of the *Candidate EFS User's Guide*, refer to Exhibit 4.

10. In a telephone interview on April 2, 2014, Kristi Reid Bronson, Chief, Bureau of Election Records, clarified that once the waiver box is chosen and a new report is opened as shown on page 12, the only available option on the report summary screen will be "Go To File Report" as shown on page 13. Choosing "Go To File Report" will take the user directly to the screens where the candidate/treasurer PINS are entered as shown on pages 44 and 45. To review relevant pages of the *Candidate EFS User's Guide*, refer to Exhibit 4.

11. Respondent *untimely* filed his 2016 M4 Report for the first reporting period of Respondent's 2016 campaign. An automatic fine was imposed by the filing officer in the amount of \$40.75 based upon 25 percent of his expenditures totaling \$162.98. To review his filing history and campaign finance activity, refer to Exhibit 5.

#### **2016 M5 Report -- Reporting Period May 1, 2016 through May 31, 2016**

12. Respondent's 2016 M5 Report covering the reporting period of May 1 through 31, 2016 was due on June 10, 2016. Respondent was required to file the relevant report or notify the filing officer in writing on the prescribed reporting date that no report would be filed on that date because he had no reportable campaign finance activity during the reporting period.

13. By letters dated June 13, 2016, July 5, 2016, and July 14, 2016 with delivery confirmation, Respondent was informed that the DOE had not received his 2016 M5 Report or the required notification that no reportable activity occurred. To review the letters and delivery confirmation, refer to Exhibits 6 through 9, respectively.

14. Bank records were secured by subpoena from SunTrust Bank, N.A. According to the bank records Respondent's campaign account was opened on June 22, 2016, after his 2016 M5

Report or notification of no reportable activity was due on June 10, 2016. To review relevant bank records, refer to Exhibit 10.

15. Therefore, based upon the bank records, it appears Respondent had no reportable campaign finance activity during the relevant reporting period and was required to notify the filing officer in writing on the prescribed reporting date that no report would be filed.

16. On December 5, 2016, I interviewed Respondent by telephone and he affirmed he had no campaign finance activity during May 2016. To review the phone log, refer to Exhibit 11, entry number 4.

17. On December 12, 2016, I mailed and emailed an Affidavit of Background Information to ascertain why Respondent did not *timely* notify the filing officer in writing that no report would be filed for the period since there was no reportable campaign finance activity. However, at the time of this report of investigation (“ROI”), Respondent has not completed the questionnaire, and it was not returned by the USPS.

18. I found no record of previous complaints to indicate that Respondent has violated this section of Florida’s election laws.

### **III. Alleged Violation of Section 106.19(1)(c), Florida Statutes:**

19. I investigated whether Respondent violated this section of the election laws by falsely reporting or deliberately failing to include information required by Chapter 106, Florida Statutes, when he failed to file a treasurer’s report, or written notice to the filing officer that no report would be filed due to lack of reportable activity during the 2016 M5 reporting period, on the prescribed reporting date.

20. Complainant alleges that Respondent has not filed either his campaign treasurer’s report or notification that no reportable activity occurred.

21. To review information regarding this section of the election laws, refer to paragraphs 6 through 17 in this report.

22. I found no record of previous complaints to indicate that Respondent has violated this section of Florida’s election laws.

### **IV. FEC History:**

23. At its regularly scheduled meeting on November 16, 2016, in FEC 16-423, a Default Final Order was issued and a fine of \$40.75 was affirmed for Respondent’s failure to file his 2016 M4 Report by the designated due date of May 10, 2016. Respondent was ordered to pay the fine to the Division of Elections within 30 days of the date the Final Order was filed with the Commission. At the time of this ROI, Respondent has not paid the fine. To review a copy of the order, refer to Exhibit 12.

### **Conclusion:**

24. On January 18 and 19, 2017, I phoned Respondent on seven occasions in an effort to conduct a final interview by telephone, and review my findings in the instant case with him; however, at the time of this ROI, I have been unable to reach him by phone or email. To review the phone log, refer to Exhibit 11, entry numbers 7 through 10, 12, 14 and 15. To review my email to Respondent, refer to Exhibit 13.

25. As previously discussed in this ROI, on April 28, 2016, an acknowledgement letter was mailed to the address provided on Respondent's Form DS-DE 9, advising Respondent that all of the DOE's publications and reporting forms are available on its website, including Chapter 106, Florida Statutes, the *Candidate and Campaign Treasurer Handbook*, and the Calendar of Reporting Dates. To review the acknowledgement letter, refer to Exhibit 2.

26. Respondent, a first-time candidate, filed his Statement of Candidate on April 22, 2016. To review his Statement of Candidate, refer to Exhibit 14. To review relevant pages of the *2016 Candidate and Campaign Treasurer Handbook*, refer to Exhibit 3.

27. During my investigation of the allegations contained in the sworn complaint and my review of bank records for Respondent's campaign depository, it appears Respondent disclosed expenditures on his 2016 M4 Report prior to the opening of his campaign depository. It also appears contributions, and expenditures including a campaign expenditure marked "loan repayment" to Respondent's wife and overdraft fees in the bank records that were not disclosed by Respondent for subsequent reporting periods. However, because these potential violations were not alleged in the instant complaint, I did not further investigate this information.

Respectfully submitted on January 23, 2017.



Helen Hinson  
Investigation Specialist

**Current address of Respondent**

Mr. Christopher L. Duncan, Jr.  
Post Office Box 110355  
Palm Bay, Florida 32911-0355

**Current address of Complainant**

Division of Elections  
500 South Bronough Street, Room 316  
Tallahassee, Florida 32399-0250

**Name and Address of Filing Officer:**

Kristi Reid Bronson, Chief  
Bureau of Election Records  
500 South Bronough Street, Room 316  
Tallahassee, Florida 32399-0250

Copy furnished to: Mr. David B. Flagg, Investigations Manager

**FLORIDA ELECTIONS COMMISSION**  
**REPORT OF INVESTIGATION**  
**Christopher L. Duncan, Jr. -- FEC 16-325**

<b>LIST OF EXHIBITS</b>	
<b>Exhibits #s</b>	<b>Description of Exhibits</b>
Exhibit 1	Respondent's Form DS-DE 9
Exhibit 2	Acknowledgement letter dated April 28, 2016
Exhibit 3	Relevant pages of the <i>2016 Candidate and Campaign Treasurer Handbook</i>
Exhibit 4	Relevant pages of the <i>Candidate EFS User's Guide</i>
Exhibit 5	Respondent's filing history and campaign finance activity
Exhibit 6	Letter dated June 13, 2016
Exhibit 7	Letter dated July 5, 2016
Exhibit 8	Final Notice dated July 14, 2016
Exhibit 9	USPS delivery confirmation for Final Notice
Exhibit 10	Relevant bank records
Exhibit 11	Phone Log
Exhibit 12	Default Final Order in FEC 16-423
Exhibit 13	Email to Respondent
Exhibit 14	Respondent's Statement of Candidate

**APPOINTMENT OF CAMPAIGN TREASURER  
AND DESIGNATION OF CAMPAIGN  
DEPOSITORY FOR CANDIDATES**  
(Section 106.021(1), F.S.)

(PLEASE PRINT OR TYPE)

RECEIVED  
DEPARTMENT OF STATE

2016 APR 27 AM 10:19

DIVISION OF ELECTIONS  
TALLAHASSEE, FL

NOTE: This form must be on file with the qualifying officer before opening the campaign account.

OFFICE USE ONLY

1. CHECK APPROPRIATE BOX(ES):

Initial Filing of Form    Re-filing to Change:  Treasurer/Deputy     Depository     Office     Party

2. Name of Candidate (in this order: First, Middle, Last)

Christopher L. Duncan Jr.

3. Address (include post office box or street, city, state, zip code)

P.O. Box 110355  
Palm Bay, FL 32911

4. Telephone

(321) 394-6368

5. E-mail address

ElectChrisDuncan@PeopleB4Policy.org

6. Office sought (include district, circuit, group number)

Florida State Senate, District 17

7. If a candidate for a nonpartisan office, check if applicable:

My intent is to run as a Write-In candidate.

8. If a candidate for a partisan office, check block and fill in name of party as applicable: My intent is to run as a

Write-In     No Party Affiliation     Democrat    Party candidate.

9. I have appointed the following person to act as my  Campaign Treasurer     Deputy Treasurer

10. Name of Treasurer or Deputy Treasurer

Vanessa M. Scott

11. Mailing Address

P.O. Box 110355

12. Telephone

(321) 536-7397

13. City

Palm Bay

14. County

Brevard

15. State

FL

16. Zip Code

32911

17. E-mail address

18. I have designated the following bank as my  Primary Depository     Secondary Depository

19. Name of Bank

Sun Trust Bank

20. Address

175 Palm Bay Rd. N.E.

21. City

Palm Bay

22. County

Brevard

23. State

Florida

24. Zip Code

32904

UNDER PENALTIES OF PERJURY, I DECLARE THAT I HAVE READ THE FOREGOING FORM FOR APPOINTMENT OF CAMPAIGN TREASURER AND DESIGNATION OF CAMPAIGN DEPOSITORY AND THAT THE FACTS STATED IN IT ARE TRUE.

25. Date

4/26/2016

26. Signature of Candidate

X 

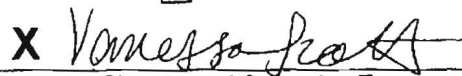
27. Treasurer's Acceptance of Appointment (fill in the blanks and check the appropriate block)

I, Vanessa M. Scott, do hereby accept the appointment  
(Please Print or Type Name)

designated above as:  Campaign Treasurer     Deputy Treasurer.

4/26/2016

Date

X 

Signature of Campaign Treasurer or Deputy Treasurer





## FLORIDA DEPARTMENT OF STATE

**RICK SCOTT**  
Governor

**KEN DETZNER**  
Secretary of State

April 28, 2016

Christopher L. Duncan Jr.  
Post Office Box 110355  
Palm Bay, Florida 32911

Dear Mr. Duncan:

This will acknowledge receipt of the Appointment of Campaign Treasurer and Designation of Campaign Depository for the office of State Senator, which was placed on file in our office on April 27, 2016. Your name has been placed on the 2016 active candidate list.

### Campaign Treasurer's Reports

Your first campaign treasurer's report will be due on **May 10, 2016**. The report will cover the period of April 1-30, 2016 (M4). All candidates who file reports with the Division of Elections are required to file by means of the Division's Electronic Filing System (EFS).

### Credentials and Sign-ons

Below is the web address to access the EFS and your user identification number. The enclosed sealed envelope contains your initial password. Once you have logged in using the initial password, you will be immediately prompted to change it to a confidential sign-on. You, your campaign treasurer, and deputy treasurers are responsible for protecting this password from disclosure and are responsible for all filings using these credentials, unless the Division is notified that your credentials have been compromised.

**EFS Website Address:** <https://efs.dos.state.fl.us>  
**Identification Number:** 65934

Division of Elections  
R.A. Gray Building, Suite 316 • 500 South Bronough Street • Tallahassee, Florida 32399  
850.245.6240 • 850.245.6260 (Fax) [dos.myflorida.com/elections/](http://dos.myflorida.com/elections/)

**EXHIBIT 2 (1 of 3)**



Christopher L. Duncan Jr.  
April 28, 2016  
Page Two

### **Pin Numbers**

Pin numbers are confidential secure credentials that allow you to submit reports and update personal information. The enclosed sealed envelope contains confidential pin numbers for you. By copy of this letter, a confidential pin number to access the EFS was sent to your treasurer.

Each candidate is required to provide the Division of Elections with confidential personal information that may be used to allow access in the event this password is forgotten or lost. When you enter the campaign account screen, there will be a drop down box where you pick a question (such as *What is your mother's maiden name?*) and supply an answer. All passwords and answers to questions are stored as encrypted data and cannot be viewed by Division staff and given out over the phone. Please notify the Division if your credentials have been compromised.

### **Timely Filing**

All reports filed must be completed and filed through the EFS not later than midnight of the due date. Reports not filed by midnight of the due date are late filed and subject to the penalties in Section 106.07(8), Florida Statutes. In the event that the EFS is inoperable on the due date, the report will be accepted as timely filed if filed no later than midnight of the first business day the EFS becomes operable. No fine will be levied during the period the EFS was inoperable.

Any candidate failing to file a report on the designated due date shall be subject to a fine of \$50 per day for the first 3 days late and, thereafter, \$500 per day for each late day, not to exceed 25% of the total receipts or expenditures, whichever is greater, for the period covered by the late report. However, for reports immediately preceding each primary and general election, the fine shall be \$500 per day for each late day, not to exceed 25% of the total receipts or expenditures, whichever is greater, for the period covered by the late report.

### **Electronic Receipts**

The person submitting the report on the EFS will be issued an electronic receipt indicating and verifying the report was filed. Each campaign treasurer's report filed by means of the EFS is considered to be under oath by the candidate and campaign treasurer and such persons are subject to the provisions of Section 106.07(5), Florida Statutes.

Christopher L. Duncan Jr.  
April 28, 2016  
Page Three

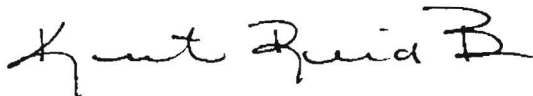
**Instructions and Assistance**

An online instruction guide is available to you on the EFS to assist with navigation, data entry, and submission of reports. The Division of Elections will also provide assistance to all users by contacting the EFS Help Desk at (850) 245-6280.

**All of the Division's publications and reporting forms are available on the Division of Elections' website at <http://dos.myflorida.com/elections/>. It is your responsibility to read, understand, and follow the requirements of Florida's election laws. Therefore, please print a copy of the following documents: Chapters 104 and 106, Florida Statutes, *Candidate and Campaign Treasurer Handbook*, *Calendar of Reporting Dates*, and Rule 1S-2.017, Florida Administrative Code.**

Please let me know if you need additional information.

Sincerely,



Kristi Reid Bronson, Chief  
Bureau of Election Records

KRB/ljr

Enclosures

pc: Vanessa M. Scott, Treasurer

# 2016 Candidate & Campaign Treasurer Handbook

**FLORIDA**  
★ DIVISION OF ★  
**ELECTIONS**

EXHIBIT 3 (1 of 13)

Florida Department of State  
Division of Elections  
R. A. Gray Building, Room 316  
500 South Bronough Street  
Tallahassee, FL 32399-0250  
850.245.6240

(Rev. 01/22/16)

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## Chapter 4: Glossary of Terms

**Campaign Fund Raiser:** Any affair held to raise funds to be used in a campaign for public office.

(Section 106.011(1), F.S.)

**Campaign Treasurer:** An individual appointed by a candidate or political committee as provided in Chapter 106, F.S.

(Section 106.011(2), F.S.)

**Candidate:** A person to whom any of the following applies:

- person who seeks to qualify for nomination or election by means of the petitioning process;
- person who seeks to qualify for election as a write-in candidate;
- person who receives contributions or makes expenditures, or gives his or her consent for any other person to receive contributions or make expenditures, with a view to bringing about his or her nomination or election to, or retention in, public office;
- person who appoints a campaign treasurer and designates a primary depository; or
- person who files qualification papers and subscribes to a candidate's oath as required by law.

This definition does not include an individual seeking a publically elected position on a political party executive committee.

(Sections 97.021(5)  
and 106.011(3), F.S.)

**Contribution:** (See Section 106.011(5), F.S. and Chapter 10, Contributions.)

**Election:** Primary election, special primary election, general election, special election, or municipal election held in this state for the purpose of nominating or electing candidates to public office, choosing delegates to the national nominating conventions of political parties, selecting a member of a political party Executive Committee, or submitting an issue to the electors for their approval or rejection.

(Section 106.011(7), F.S.)

**Electioneering Communication:** Communication publicly distributed by a television station, radio station, cable television system, satellite system, newspaper, magazine, direct mail, or telephone that (1) refers to or depicts a clearly identified candidate for office without expressly advocating the election or defeat of a candidate but that is susceptible of no reasonable interpretation other than an appeal to vote for or against a specific candidate; (2) is made within 30 days before a primary or special primary election or 60 days before any other election for the office sought by the candidate; and (3) is targeted to the relevant electorate in the geographical area the candidate would represent if elected.

(Section 106.011(8), F.S.)

## Candidate & Campaign Treasurer Handbook

---

**Expenditure:** (See Section 106.011(10), F.S. and Chapter 11, Expenditures.)

**Filing Officer:** The person before whom a candidate qualifies the agency or officer with whom a political committee registers  
(Section 106.011(11), F.S.)

**General Election:** An election held on the first Tuesday after the first Monday in November in the even-numbered years, for the purpose of filling national, state, county, and district offices and for voting on constitutional amendments not otherwise provided for by law.  
(Section 97.021(15), F.S.)

**Independent Expenditure:** (See Section 106.011(12), F.S. and Chapter 11, Expenditures.)

**In-Kind Contribution:** In-kind contributions are anything of value made for the purpose of influencing the results of an election except money, personal services provided without compensation by individual volunteers, independent expenditures, as defined in Section 106.011(12), F.S., or endorsements of three or more candidates by affiliated party committees or political parties. (See Division of Elections Opinion 04-06)

**Judicial Office:** Includes the office of Justice of the Supreme Court, judge of a district court of appeal, judge of a circuit court, and county court judge. A judicial office is a nonpartisan office and a candidate for

election or retention thereto is prohibited from campaigning or qualifying for such an office based on party affiliation.

(Section 105.011, F.S.)

**Minor Political Party:** Any group which on January 1 preceding a primary election does not have registered as members five percent of the total registered electors of the state.

(Section 97.021(18), F.S.)

**Nominal Value:** Having a retail value of \$10 or less.

(Section 97.021(20), F.S.)

**Nonpartisan Office:** An office for which a candidate is prohibited from campaigning or qualifying for election or retention in office based on party affiliation.

(Section 97.021(21), F.S.)

**Office Account:** A candidate elected to office or a candidate who will be elected to office by virtue of his or her being unopposed may transfer funds from the campaign account to an office account up to limits listed under Section 106.141(5), F.S. This fund must be used only for legitimate expenses in connection with the candidate's public office.

(Section 106.141, F.S.)

**Person:** An individual or a corporation, association, firm, partnership, joint venture, joint stock company, club, organization, estate, trust, business trust, syndicate, or other combination of individuals having

collective capacity. The term includes a political party, affiliated party committee, or political committee.

(Section 106.011(14), F.S.)

**Petty Cash:** Cash accumulated pursuant to statutory limits and spent in amounts of less than \$100 to be used only for office supplies, transportation expenses, and other necessities by the candidate.

(Sections 106.07 and 106.12, F.S.)

**Political Advertisement:** (See Section 106.011(15), F.S. and Chapter 12, Political Advertising.)

**Primary Election:** An election held preceding the general election for the purpose of nominating a party nominee to be voted for in the general election to fill a national, state, county, or district office.

(Section 97.021(28), F.S.)

**Public Office:** A state, county, municipal, or school or other district office or position that is filled by vote of the electors.

(Section 106.011(17), F.S.)

**Special Election:** Called for the purpose of voting on a party nominee to fill a vacancy in the national, state, county, or district office.

(Section 97.021(33), F.S.)

**Special Primary Election:** A special nomination election designated by the Governor, called for the purpose of nominating a party nominee to be voted on in a general or special election.

(Section 97.021(34), F.S.)

**Statewide Office:** Governor, Cabinet, and Supreme Court Justice.

**Unopposed Candidate:** A candidate for nomination or election to an office, who, after the last day on which a person, including a write-in candidate, may qualify, is without opposition in the election at which the office is to be filled or who is without such opposition after such date as a result of a primary election or of withdrawal by other candidates seeking the same office. A candidate is not an unopposed candidate if there is a vacancy to be filled under Section 100.111(4), F.S., if there is a legal proceeding pending regarding the right to a ballot position for the office sought by the candidate, or if the candidate is seeking retention as a justice or judge.

(Section 106.011(18), F.S.)

## Chapter 16: Filing Campaign Reports

Each campaign treasurer designated by a candidate shall file regular reports of all contributions received and all expenditures made by or on behalf of such candidate.

The candidate and his or her campaign treasurer shall certify as to the correctness of each report. Each person so certifying shall bear the responsibility for the accuracy and veracity of each report. Any campaign treasurer or candidate who willfully certifies the correctness of any report while knowing that such report is incorrect, false or incomplete commits a misdemeanor of the first degree.

*(Section 106.07, F.S.)*

### Where to File

A campaign treasurer is required to file campaign treasurer's reports with the officer with whom the candidate registers.

Candidates filing reports with the Division are required to file by means of the Electronic Filing System. If the candidate's filing officer is other than the Division, contact the appropriate filing officer to find out the requirements.

The web address for filing online with the Division is: <https://efs.dos.state.fl.us/>  
*(Section 106.07(2), F.S.)*

### When to File

Reports must be filed on the 10th day following the end of each calendar month from the time the candidate registers, except that if the 10th day occurs on a Saturday, Sunday or legal holiday, the report shall be filed on the next business day that is not a Saturday, Sunday or legal holiday.

A statewide candidate must file reports:

1. On the 60th day immediately preceding the primary election, and each week thereafter, with the last weekly report being filed on the 4th day immediately preceding the general election.
2. On the 10th day immediately preceding the general election, and each day thereafter, with the last daily report being filed the 5th day immediately preceding the general election.

All other candidates must file reports on the 60th day immediately preceding the primary election and bi-weekly on each Friday thereafter through and including the 4th day immediately preceding the general election, with additional reports due on the 25th and 11th days before the primary election and the general election.

For candidates that file with the Division, see the "Reporting Dates Calendar" at:

<http://dos.myflorida.com/elections/forms-publications/publications/>

An individual seeking a publicly elected position on a political party executive committee who receives a contribution or makes an expenditure must file a single report of all contributions and expenditures on the 4th day immediately preceding the primary election. (See Chapter 18, Reporting for Individuals Seeking a Publicly Elected Position on a Party Executive Committee.)

Unless the electronic filing requirements of Section 106.0705, Florida Statutes, apply, reports shall be filed no later than 5 p.m. of the day designated. A report postmarked by the U.S. Postal Service no later than midnight of the day designated is deemed timely filed. A report received by the filing officer within 5 days after the designated due date that was delivered by the U.S. Postal Service is deemed timely filed unless it has a postmark indicating the report was mailed after the designated due date. A certificate of mailing obtained from and dated by the U.S. Postal Service at the time of mailing or a receipt from an established courier company, which bears a date on or before the date on which the report is due, is proof of mailing in a timely manner. Reports filed with the Division through the Electronic Filing System (EFS) are due no later than midnight, Eastern Time, of the due date.

(Sections 106.07, 106.0705 and 106.141, F.S.)

### Penalty for Late Filing

Any candidate failing to file a report on the designated due date shall be subject to a fine of \$50 per day for the first three days late and, thereafter, \$500 per day for each late day, not to exceed 25 percent of the total receipts or expenditures, whichever is

greater, for the period covered by the late report. However, for the reports immediately preceding the primary and general election, the fine shall be \$500 per day for each late day, not to exceed 25 percent of the total receipts or expenditures, whichever is greater, for the period covered by the late report. For a candidate's termination report, the fine shall be \$50 per day for each late day, not to exceed 25 percent of the total receipts or expenditures, whichever is greater for the period covered by the late report. All fines must be paid from the candidate's **personal funds** – not campaign funds.

(Section 106.07(2) and (8), F.S.)

### Notice of No Activity



In any reporting period during which a candidate has not received funds or made any expenditures, the filing of the required report for that period is waived. **However, the candidate must notify the filing officer in writing on or before the prescribed reporting date that no report is being filed on that date.** (A notice of no activity filed with the Division must be filed electronically using the EFS.) The next report filed must specify that the report covers the entire period between the last submitted report and the report being filed.

(Section 106.07, F.S.)

### Incomplete Reports

If a campaign treasurer files a report that is deemed incomplete, it shall be accepted on a conditional basis. The campaign treasurer will be notified by the filing officer as to why the report is incomplete. The campaign

## Chapter 19: Electronic Filing of Campaign Reports

The Electronic Filing System (EFS) is an Internet system for recording and reporting campaign finance activity. Each candidate required to file reports with the Division under Section 106.07, F.S., must file such reports with the Division by means of the EFS.

Reports filed pursuant to this section:

- Shall be completed and filed through the EFS not later than 12:00 midnight, Eastern Time, of the due date. Reports not filed by this time are late filed and are subject to the penalties under Sections 106.07(8) or 106.29(3), F.S., as applicable.
- Are considered to be under oath by the candidate and treasurer, and such persons are subject to provisions of Sections 106.04(4)(d), 106.07(5), or 106.29(2), F.S., as applicable. Persons given a secure sign-on to the EFS are responsible for protecting such from disclosure and are responsible for all filings using such credentials, unless they have notified the Division that their credentials have been compromised.

*(Sections 106.0705  
and 106.0706, F.S.)*

### Accessing the EFS

From Internet Explorer you can access the EFS at <https://efs.dos.state.fl.us>. Each candidate is provided an identification number and initial password to gain entry. Once you log in using the initial password, you will be prompted to change it to a confidential one.

### Creating Reports

Campaign reports must be entered, saved, reviewed, and filed via the EFS either by directly entering data into the web application or by uploading data using an approved vendor's software. The Division maintains a list of software vendors whose programs meet the file specifications for filing campaign reports. Instructions for uploading reports are provided in the EFS User's Guide.

### Submitting Reports

Reports will be held in pending status until the report is ready to be filed. Each person eligible to file a report will receive a PIN (personal identification number) that allows the person to file reports via the EFS. **A person's PIN is considered the same as that person's signature on a filed report.**

## Electronic Receipts

The person filing a report on the EFS may print an electronic receipt verifying the report was filed with the Division. Each report filed by means of the EFS is considered to be under oath and such persons filing the report are subject to the provisions of Chapter 106, F.S.

**EFS HELP LINE**  
(850) 245-6280

**EFS HELP GUIDE**  
<http://dos.myflorida.com/media/694090/dsde110a.pdf>

***NOTE: For further information on the EFS, see Rule 1S-2.017, Florida Administrative Code, Reporting Requirements for Campaign Treasurer's Reports.***

**Appendix B: 2016 Deadlines for Accepting Contributions**

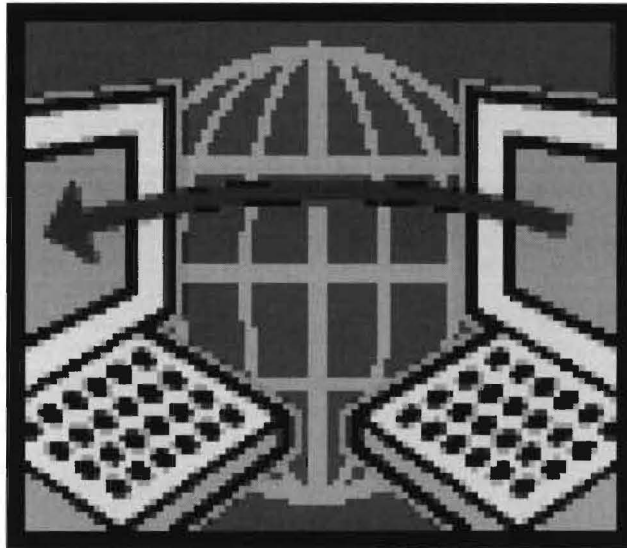
	<b>Other Offices (except Supreme Court)</b>	<b>Justice of the Supreme Court</b>	<b>Judge of a District Court of Appeal</b>	<b>Circuit Judge or County Court Judge</b>
<b>If opposed in the primary election the candidate may accept:</b>	\$1,000 no later than midnight on August 25, 2016			\$1,000 no later than midnight on August 25, 2016
<b>If opposed in the primary and general elections the candidate may accept:</b>	<ul style="list-style-type: none"> <li>• \$1,000 no later than midnight on August 25, 2016;</li> <li>• \$1,000 between August 31 and midnight on November 3, 2016</li> </ul>			<ul style="list-style-type: none"> <li>• \$1,000 no later than midnight on August 25, 2016;</li> <li>• \$1,000 between August 31 and midnight on November 3, 2016</li> </ul>
<b>If opposed only in the general election, the candidate may accept:</b>	<ul style="list-style-type: none"> <li>• \$1,000 no later than midnight on August 30, 2016;</li> <li>• \$1,000 between August 31 and midnight on November 3, 2016</li> </ul>			
<b>Considered an opposed candidate but only has one election, the general election, may accept:</b>		\$3,000 no later than midnight on November 3, 2016 ***	\$1,000 no later than midnight on November 3, 2016 ***	

*\*\*\*Contributions may be accepted during the primary election, but must be applied toward the general election limitation.*



Department of State  
Division of Elections

# Candidate EFS User's Guide



Florida Department of State  
Division of Elections  
R.A. Gray Building, Room 316  
500 S Bronough Street  
Tallahassee, FL 32399-0250

EFS HELP LINE: 850-245-6280

January 2011

Rule 1S-2.017, F.A.C.

DS-DE 110A (eff. 01/11)

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# Introduction

The Electronic Filing System (EFS) is located on the internet at <https://efs.dos.state.fl.us>. Each candidate or organization required to file reports with the Division of Elections (DOE) is provided an identification number. Access to the system is gained by inputting the DOE assigned **Identification (ID) Number** and **Password**. The candidate is provided an initial password to gain entry to the EFS. Upon logging into the system for the first time, the user will be prompted to change it.

Campaign reports are created by directly entering data into the DOE EFS or by uploading data from external systems that meet DOE electronic file specifications.

A report goes through a **three** step process before it is **filed** with the DOE:

**FIRST**, data is created or uploaded to the system. At this point it is a **pending** report.

**SECOND**, the user submits the pending report for review by the system for correctness and completeness. Errors can be corrected in pending reports via the EFS or by uploading additional data.

**THIRD**, the report is **filed**. Reports are filed using personal identification numbers (**PINs**), which are considered the same as a person's **signature** on the report.

Any changes to be made to a **filed** report must be done by filing an amendment. Amendments can be done directly through the EFS or by file upload.

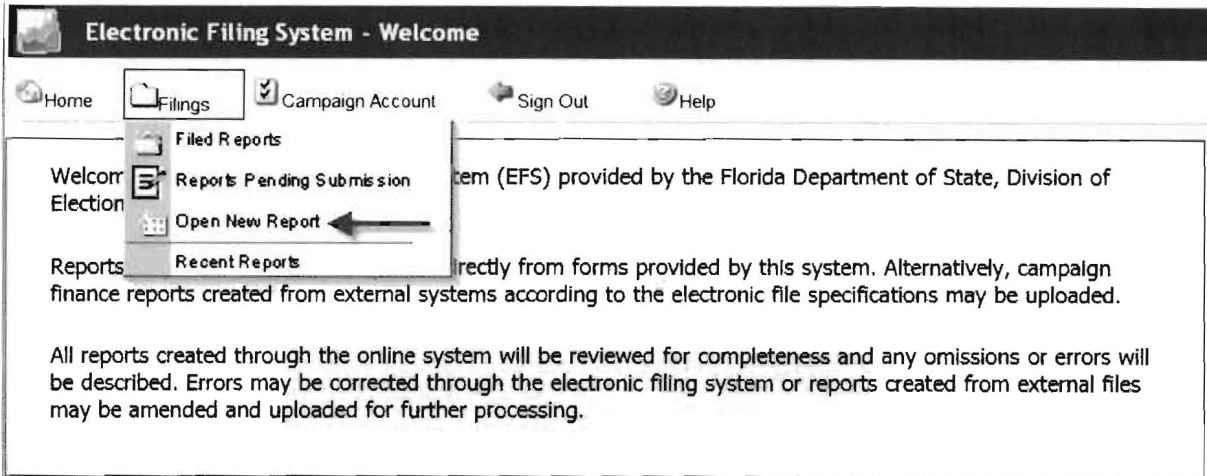
The Division of Elections anticipates that this guide will assist you with timely filing all reports required by Chapter 106, Florida Statutes. However, if you have any questions or comments please contact the Division of Elections at:

Department of State  
Division of Elections  
R.A. Gray Building, Room 316  
500 South Bronough Street  
Tallahassee, FL 32399-0250

**EFS HELP LINE: 850-245-6280**

# Create a New Report by Data Entry

From the Welcome Screen, click  Filings and then  Open New Report





Use this form to submit an original report. To submit an amendment to a previously filed report, first select the report from the 'Filed Reports' listing and then choose the 'Amend Report' option from the 'Edit' menu item.

**Coverage Periods**  
Campaign finance reports itemize financial activity occurring during a specific reporting period. The reporting period is declared by first selecting the reporting year and then the report type. The beginning and ending coverage dates and the filing due date of the report are automatically associated with the *Reporting Year* and *Report Type*.

Election Cycle:    
Report Type:    
Coverage Period:   Due Date:   
 Special Election Report  Waiver

**Uploading a Campaign Finance Report**  
A Campaign Finance report file meeting the department's specifications may be uploaded at the time a report is opened. Use the Browse button to locate and select the report text or zip file to be uploaded. You may upload the report file in a compressed zip. The zip file must contain only one report file.

Click  arrow next to **Election Cycle**. Scroll to the cycle associated with the report you are entering.

Click  arrow next to **Report Type** and select the report type that corresponds to the appropriate cover period and due date.

The **Cover Period** dates will automatically fill in based on the **Calendar of Election and Reporting Dates**. The end date can be changed for candidate termination reports.

The **Due Date** will automatically fill in based on the **Calendar of Election and Reporting Dates**.

\*\*\*\***NOTE**\*\*\*\*

If this report is a waiver of report (no activity), click the  box next to **Waiver**.

Election Cycle: 2010 General Election  
Report Type: F2 2010  
Coverage Period: 7/17/2010 7/30/2010 Due Date: 08/06/2010  
 Waiver

Click **Open Report** at the bottom of the screen. A **Report Detail** screen (view only) will appear. This screen will not indicate any activity until individual detail data is entered, saved and a review is performed.

Report: 2010 - G4 - 27 2010 General Election Covers: 10/16/2010-10/28/2010 Due: 10/29/2010

Amendment:  Waiver Complete Status: Incomplete Detail Records  
File Date: Review Status: Not Reviewed  
Status: Data Entry Last Review:

# of Transactions: 0 **Review** **Recalculate** **Go To File Report** **Append File** **Delete Report** **Save**

Contributions	Total Amount	Expenditures	Total Amount
Cash and Checks:	0.00	Monetary:	0.00
Loans:	0.00	Transfers to Off Acct:	0.00
Total Monetary:	0.00	Total Monetary:	0.00
In-Kind:	0.00	Other Distributions:	0.00

Review Messages

Created: 10/26/2010 11:41:25 AM By: 50552 Revised: 10/26/2010 11:41:25 AM By: 50552

# File the Report

If the **Review Status** is Updated Detail – Needs Review the report has not been reviewed since the last update. (See page 38 for instructions on how to submit the report to the system for review.)

If the status of the report is Complete Detail Records, you are ready to file the report.

Report: 2010 - F3 - 26      2010 General Election      Covers: 8/7/2010-8/19/2010      Due: 8/20/2010

Amendment:  Waiver      Complete Status: Complete Detail Records ←

File Date:       Review Status: Reviewed

Status:       Last Review: 8/19/2010 3:56:42 PM

# of Transactions:       Review      Recalculate      Go To File Report      Append File      Delete Report      Save

Contributions		Total Amount	Expenditures		Total Amount
Cash and Checks:	<input type="text"/>	0.00	Monetary:	<input type="text"/>	51.00
Loans:	<input type="text"/>	0.00	Transfers to Off Acct:	<input type="text"/>	0.00
Total Monetary:	<input type="text"/>	0.00	Total Monetary:	<input type="text"/>	51.00
In-Kind:	<input type="text"/>	0.00	Other Distributions:	<input type="text"/>	0.00

Review Messages

Created: 8/19/2010 2:01:35 PM      By: 50552      Revised: 8/19/2010 3:56:42 PM      By: 50552

Click on **Go To File Report**

The candidate enters his or her PIN, and then selects

**Report Approved**

**NOTE: A PIN is the same as your signature attesting to the validity of the report.**

Step 1: Enter the candidate/chairperson PIN.

Step 2: Select the 'Report Approved' button.

Report: 2010 - F3 - 26      Coverage Period: 8/7/2010-8/19/2010      Due: 8/20/2010

Review Status: Reviewed      \* Original      Amended

Waiver

PIN for Candidate/Chairperson:       **Report Approved** ←

If there is more than one treasurer, click on the drop down and select the treasurer that is filing the report.

Campaign Treasurer:  


The treasurer enters his or her PIN and then clicks

Report: 2010 - F3 - 26      Coverage Period: 8/7/2010-8/19/2010      Due: 8/20/2010

Review Status:        Original     Amended

Campaign Treasurer:        Waiver

PIN for specified Treasurer:

To Edit Report Again Select Cancel:         

**IMPORTANT NOTE:** If changes need to be made after a candidate enters his PIN, the treasurer must undo the candidate's PIN validation before the system will allow changes. See page 48 for instructions.

# Print a File Receipt


After a report is filed, click

[Print Filing Receipt](#)

Report: 2009 - Q4 - 25      Coverage Period: 10/1/2009-12/31/2009  
 Election: 2010 General Election      Due: 1/11/2010

Select the Division of Elections forms to be generated. Each requested form will be generated as Adobe Acrobat PDF files. The Adobe Acrobat Reader is required to view and print selected forms and may be downloaded from [Adobe](#).

Select	Report Form	View
	Summary Sheet (DS-DE 12)	
	Contributions (DS-DE 13)	
	Expenditures (DS-DE 14)	
	Fund Transfers (DS-DE 94)	
	Other Distributions	



[Print Filing Receipt](#)      [View Filed Reports](#)

Refresh screen until a 'View' link appears for each report selected.  
 Click on the 'View' link to open report in Adobe.

To print this screen, right click your mouse and select "Print." Save this screen as your **filing receipt**.

**Treasurer Report Status**

ID: [REDACTED]      Name: [REDACTED]

Report: 2009 - Q4 - 25      Print Date: 8/19/2010 4:46:32 PM  
 Election: 2010 General Election  
 Covers: 10/1/2009-12/31/2009      Amended:      Waiver  
 Due: 1/11/2010

Filed: 8/19/2010 4:41:08 PM      File Status: **Filed Report**  
 Reviewed: 8/19/2010      Review Status: **Reviewed**  
 Detail Complete: **Incomplete Detail Records**

File Method: Web Filed	<b>Number of Detail Records</b>
Entry Method: Web Data Entry	Contributions: 0
	Expenditures: 3
	Fund Transfers: 1
Pending Queued Items: 0	Distributions: 4

To Print Report: Right Click Mouse and Select 'Print'



**IMPORTANT NOTE:** the "Filed" field will be populated if the report has been correctly filed. If this field is blank, you have not filed the report with the Division of Elections.

Report: 2009 - Q2 - 24	Print Date: <b>8/19/2010 4:53:02 PM</b>
Election: 2010 General Election	
Covers: 5/19/2009-6/30/2009	<input type="checkbox"/> Amended <input type="checkbox"/> Waiver
Due: 7/10/2009	
Filed: 	File Status: <b>Data Entry</b>
Reviewed: <b>8/19/2010</b>	Review Status: <b>Reviewed</b>
	Detail Complete: <b>Incomplete Detail Records</b>
File Method: Web Filed	<b><u>Number of Detail Records</u></b>
Entry Method: Web Data Entry	Contributions: 1
	Expenditures: 0
Pending Queued Items: <b>0</b>	Fund Transfers: 0
	Distributions: 1
To Print Report: Right Click Mouse and Select 'Print'	

**NOTE:** If you are unable to print the receipt, make sure that your pop-up blocker is turned off.



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Florida Department of State - Division of Elections

Florida Election System Reports

Candidate/Committee Lookup

**Candidate Name:** Christopher Duncan

Name:

**Account:** 65934

Election:

Acct:

Type:

Date Due	Type	Date Filed	Status	Days Late	Fine Assessed	Appealed	Amount Fined	Amount Paid
9/22/2016	TR		FEC	0	\$0.00		\$0.00	\$0.00
6/10/2016	M5		FEC	0	\$0.00		\$0.00	\$0.00
5/10/2016	M4	5/11/2016	DUE	1	\$40.75		\$40.75	\$0.00

EXHIBIT 5 (1 of 2)



**Florida Department of State  
Division of Elections**

**2016 General Election  
Christopher Duncan (DEM)  
State Senator**

**Campaign Finance Activity**

**Note:** The information presented below was obtained from the Committee's/Candidate's Campaign Treasurer's Report filed with the Division of Elections. [About the Campaign Finance Data Base.](#)

	Filing Period	Contributions			Expend	Other	Transfers
		Monetary	Loans	InKind			
<input type="radio"/>	04/27/2016 - 04/30/2016	0.00	0.00	0.00	162.98	0.00	0.00
<input checked="" type="radio"/>	<b>All Dates (Totals)</b>	0.00	0.00	0.00	162.98	0.00	0.00

Note: <sup>(E)</sup> indicates that report was filed electronically

**X** Indicates that detail has not been released

**W** Indicates that a waiver was filed and **L** Indicates that a loan report was filed

Select Detail Type

Contributions ▼

Select Sort Order

Date(Ascending) ▼

Select Output Type

Display On Screen ▼

Submit Query Now

[Query the Campaign Finance Data Base](#)

[\[Department of State\]](#) [\[Division of Elections\]](#) [\[Candidates and Races\]](#) [\[Campaign Finance Information\]](#)

EXHIBIT 5 (2 of 2)



FLORIDA DEPARTMENT OF STATE

**Ken Detzner**  
Secretary of State

DIVISION OF ELECTIONS

June 13, 2016

Vanessa M. Scott, Treasurer  
Post Office Box 110355  
Palm Bay, FL 32911

CAN 65934

Dear Ms. Scott:

Our records indicate that you have not filed either your campaign treasurer's report or notification that no reportable activity occurred, whichever is applicable. The filing date was June 10, 2016.

The law (s. 106.07(8)(b), Fla. Stat.) requires the filing officer to immediately notify you about the missed filing date and the automatic fines that will be assessed for late filing of a report, if a report was due.

- If reportable activity occurred and you have not filed a report, statutory fines are automatically assessed as follows until you file your report:
  - \$50 for the first 3 days late
  - \$500 per day for each day after the 3rd day late
  - If the required report immediately precedes a primary and general election, the automatic fine is \$500 for each late day.

The total fine for the untimely report cannot exceed 25% of the total receipts or expenditures, whichever is greater, for the cover period. Even if you end up filing your report late, we cannot waive the statutory fines. We will notify you of the specific amount of fines owed once you file your report. You have 20 days from the day you receive the notice to pay the fine or to appeal the fine to the Florida Elections Commission.

- If no reportable activity occurred for the report date(s), you are still required to notify us in writing, via the Division's Electronic Filing System, that no reportable activity occurred. No automatic fines are statutorily assessed.

However, in all cases, failure to file and/or untimely filing of a report of reportable activity, or if applicable, a notice that no reportable activity occurred, may constitute an apparent violation of chapter 106, Fla. Stat. If we refer such matter to the Florida Elections Commission, the Commission may subsequently impose a civil penalty for each violation under chapter 106.265(1), Fla. Stat.

If you have any questions, please contact the help desk at (850) 245-6280.

Sincerely,

Kristi Reid Bronson, Chief  
Bureau of Election Records

cc: Christopher L. Duncan Jr.  
Candidate for State Senator, District 17

EXHIBIT

6



FLORIDA DEPARTMENT OF STATE

**Ken Detzner**  
Secretary of State

DIVISION OF ELECTIONS

**Second Notice**

July 5, 2016

Vanessa M. Scott, Treasurer  
Post Office Box 110355  
Palm Bay, FL 32911

CAN 65934

Dear Ms. Scott:

Our records indicate that you have not filed either your campaign treasurer's report or notification that no reportable activity occurred, whichever is applicable. The filing date was June 10, 2016.

We mailed, via regular mail, the first notice of the failure to file the above-mentioned report or notification to the address on file with our office on June 13, 2016.

The first notice which we provided to you specifically explained the automatic fine provisions in the law (s. 106.07(8)(b), Fla. Stat.) for failure to file a required report. Such fines are continuing to accrue for a late-filed report. Also, that notice explained that if no reportable activity occurred for the report date(s), you are still required to provide notification in writing that no reportable activity occurred. Our initial notice further indicated that, in all cases, failure to file and/or untimely filing of a report of reportable activity, or if applicable, a notice that no reportable activity occurred, may constitute an apparent violation of chapter 106, Fla. Stat. If we refer such matter to the Florida Elections Commission, the Commission may subsequently impose a civil penalty for each violation under chapter 106.265(1), Fla. Stat.

If you have any questions, please contact the help desk at (850) 245-6280.

Sincerely,

Kristi Reid Bronson, Chief  
Bureau of Election Records

cc: Christopher Duncan  
Candidate for State Senator, District 17

EXHIBIT 7



FLORIDA DEPARTMENT OF STATE

**Ken Detzner**  
Secretary of State

DIVISION OF ELECTIONS

**Final Notice**  
**Delivery Confirmation:**

USPS TRACKING # **9114 9014 9645 0382 4756 95**  
& CUSTOMER RECEIPT For Tracking or inquiries go to USPS.com  
or call 1-800-222-1811.

July 14, 2016

Vanessa M. Scott, Treasurer  
Post Office Box 110355  
Palm Bay, FL 32911

CAN 65934

Dear Ms. Scott:

A recent review of the Division's records show that the Division has not yet received the below-referenced campaign treasurer's report or the required notification that no reportable activity occurred, whichever is applicable, during the following cover period:

<u>Year</u>	<u>Report</u>	<u>Cover Period</u>
2016	M5	5/1/16 - 5/31/16

The Division has previously notified you of the unfiled report or notification. Please file your report or notification. If the report is not filed within 7 days of receipt of this letter, the Division will refer this matter to the Florida Elections Commission, which may impose civil penalties of up to \$1000 per violation, apart from that automatic fine provisions of s. 106.07(8)(b), Fla. Stat.) that are applicable only to campaign finance reports.

As we stated in the prior notices, even if you now file the report or notification, the untimely filing of a report of reportable activity, or if applicable, a notice that no reportable activity occurred, may constitute an apparent violation of chapter 106, Fla. Stat. If we refer such matter to the Florida Elections Commission, the Commission may subsequently impose a civil penalty for each violation under chapter 106.265(1), Fla. Stat.

If you have any questions, please contact the help desk at (850) 245-6280.

Sincerely,

Kristi Reid Bronson, Chief  
Bureau of Election Records

cc: Christopher Duncan  
Candidate for State Senator, District 17

EXHIBIT 8

English

Customer Service

USPS Mobile

Register / Sign In



USPS Tracking®



Customer Service ›  
Have questions? We're here to help.



Get Easy Tracking Updates ›  
Sign up for My USPS.

Tracking Number: 9114901496450382475695

Updated Delivery Day: Monday, July 18, 2016

### Product & Tracking Information

Postal Product:

Features:  
USPS Tracking®

DATE & TIME	STATUS OF ITEM	LOCATION
July 18, 2016 , 9:50 am	Delivered, PO Box	PALM BAY, FL 32911

Your item has been delivered and is available at a PO Box at 9:50 am on July 18, 2016 in PALM BAY, FL 32911.

July 18, 2016 , 3:27 am	Arrived at Post Office	PALM BAY, FL 32907
July 17, 2016 , 1:54 am	Arrived at USPS Facility	ORLANDO, FL 32824
July 15, 2016 , 9:57 pm	Arrived at USPS Facility	TALLAHASSEE, FL 32301

### Available Actions

Text Updates

Email Updates

### Track Another Package

Tracking (or receipt) number

Track It

### Manage Incoming Packages

Track all your packages from a dashboard. No tracking numbers necessary.



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National Postal Museum  
Resources for Developers

#### LEGAL INFORMATION

Privacy Policy  
Terms of Use  
FOIA  
No FEAR Act EEO Data

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EXHIBIT

9



**Business Account Signature Card**

<b>Region Number</b>	<b>Account Number</b>
031	1000197883332
<b>Account Title</b>	
CHRISTOPHER L DUNCAN JR	
Campaign Account	
<b>Organization Type</b>	<b>Tax ID Number</b>
OTHER	069705348
<b>Authorized Signature(s)</b>	
<b>Name/Title</b>	<b>Signature</b>
1. Christopher L Duncan Jr President	
2.	
3.	
4.	
5.	
6.	

<b>Date Opened</b>	<b>Date Revised</b>	<b>Reason</b>
06/22/2016		
<b>Cost Center Number</b>	<b>Officer Number</b>	<b>ID</b>
2150310	00038946	
<b>Work Phone Number</b>	<b>Prepared By</b>	<b>Maintenance Type</b>
	Eric Larsen	<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Change

**SunTrust Bank ("Bank")**

It is agreed that all transactions between the Bank and the entity listed in the above Account Title ("Depositor") shall be governed by the rules and regulations for this account and the above signed as the authorized agent(s) of the Depositor hereby acknowledge(s) receipt of such rules and regulations and the funds availability policy. The Depositor also acknowledges the funds availability policy has been explained.

**Check appropriate box for federal tax classification; check only one of the following seven boxes:**

- Individual/sole proprietor or single-member LLC  C Corporation  S Corporation  Partnership  Trust/Estate  
 Limited liability company

Enter the tax classification (C=C corporation, S=S corporation, P=partnership) \_\_\_\_\_ **Note.** For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.

Other (see instructions)

Exemptions: See instructions  Exempt payee code (if any) \_\_\_\_\_ Exemption from FATCA reporting code (if any)  N/A  
*(Applies to accounts maintained outside the U.S.)*

**Certification Under penalties of perjury, I, as authorized agent of the Depositor certify that:**

- 069705348 is the correct taxpayer identification number for the Depositor (or the Depositor is waiting for a number to be issued); and
- The Depositor is not subject to backup withholding because: (a) the Depositor is exempt from backup withholding, or (b) the Depositor has not been notified by the Internal Revenue Service (IRS) that it is subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified the Depositor that it is no longer subject to backup withholding; and
- The Depositor is a U.S. citizen or other U.S. person (defined in the instructions); and
- The FATCA code(s) entered on this form (if any) indicating that the Depositor is exempt from FATCA reporting is correct.

**Certification Instructions.** You must cross out item 2 above if the depositor has been notified by the IRS that the depositor is currently subject to backup withholding because the depositor has failed to report all interest and dividends on the depositor's tax return.

Signature of U.S. Person Date 6/22/16

- Locations with DCOR scanning software submit with cover sheet via local scanner
- Locations without DCOR scanning software send to Output Review, FL-Orlando-7021
- \*FATCA= Foreign Account Tax Compliance Act



REFERENCE: 20161220000166 SB 2016070160930  
KEISHA CLAY

STSC  
SUBPOENA SERVICES  
FL-ORLANDO-7136

DEAR VALUED CUSTOMER,

WE APPRECIATE YOUR BUSINESS AND THE OPPORTUNITY TO SERVE YOU.

WE HAVE ENCLOSED THE ACCOUNT INFORMATION RETRIEVED BY YOUR RECENT REQUEST NUMBER 20161220000166 . IF YOU HAVE QUESTIONS OR NEED ASSISTANCE WITH YOUR BANKING NEEDS, PLEASE CONTACT US AT 1-800-SUNTRUST(800.786.8787) OR AT WWW.SUNTRUST.COM. SUNTRUST REPRESENTATIVES ARE AVAILABLE TO ASSIST YOU 24 HOURS A DAY , 7 DAYS A WEEK EITHER ON THE TELEPHONE OR VIA THE INTERNET.

THANK YOU FOR BANKING AT SUNTRUST.

EXHIBIT 10 (2 of 6)

SUNTRUST BANK  
PO BOX 305183  
NASHVILLE TN 37230-5183

PAGE 1 OF 1  
36/E00/0175/0/ 31  
[REDACTED]  
06/30/2016



ACCOUNT  
STATEMENT

CHRISTOPHER L DUNCAI JR.  
CAMPAIGN ACCOUNT  
250 COLLINGS ST SE  
PALM BAY FL 32907

QUESTIONS? PLEASE CALL  
1-800-786-6787

IS IT TIME TO MAKE A PERSONAL COMMITMENT TO IMPROVE YOUR FINANCIAL HEALTH?  
THEN IT'S TIME TO TAKE ACTION.  
JOIN US AT ONUP.COM TO LEARN HOW TO MOVE FROM FINANCIAL STRESS TO CONFIDENCE.

ACCOUNT TYPE	ACCOUNT SUMMARY	STATEMENT PERIOD
PRIMARY BUSINESS CHECKING	[REDACTED]	06/22/2016 - 06/30/2016

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT
BEGINNING BALANCE	\$ .00	AVERAGE BALANCE	\$880.67
DEPOSITS/CREDITS	\$2,138.00	AVERAGE COLLECTED BALANCE	\$605.56
CHECKS	\$1,980.00	NUMBER OF DAYS IN STATEMENT PERIOD	9
WITHDRAWALS/DEBITS	\$157.24		
ENDING BALANCE	\$ .76		

DEPOSITS/CREDITS					
DATE	AMOUNT	SERIAL #	DATE	AMOUNT	SERIAL #
06/22	494.00		DEPOSIT	06/23	650.00
06/23	250.00		DEPOSIT	06/24	494.00
06/23	250.00		DEPOSIT		

DEPOSITS/CREDITS: 5                      TOTAL ITEMS DEPOSITED: 4

CHECKS					
CHECK NUMBER	AMOUNT	DATE PAID	CHECK NUMBER	AMOUNT	DATE PAID
100	1,980.00	06/27			

CHECKS: 1

WITHDRAWALS/DEBITS		
DATE	AMOUNT	SERIAL #
06/23	157.24	

WITHDRAWALS/DEBITS: 1

BALANCE ACTIVITY HISTORY					
DATE	BALANCE	COLLECTED BALANCE	DATE	BALANCE	COLLECTED BALANCE
06/22	494.00	.00	06/24	1,980.76	1,486.76
06/23	1,486.76	986.76	06/27	.76	.76

MEMBER FDIC

EXHIBIT 10 (3 of 6)

SUNTRUST BANK  
PO BOX 305183  
NASHVILLE TN 37230-5183



PAGE 1 OF 1  
66/E00/0175/0/ 31  
[REDACTED]  
07/31/2016

ACCOUNT  
STATEMENT

CHRISTOPHER L DUNCAN JR  
CAMPAIGN ACCOUNT  
250 COLLINGS ST SE  
PALM BAY FL 32907

QUESTIONS? PLEASE CALL  
1-800-786-8787

IS IT TIME TO MAKE A PERSONAL COMMITMENT TO IMPROVE YOUR FINANCIAL HEALTH?  
THEN IT'S TIME TO TAKE ACTION.  
JOIN US AT ONUP.COM TO LEARN HOW TO MOVE FROM FINANCIAL STRESS TO CONFIDENCE.

-----  
ACCOUNT SUMMARY  
ACCOUNT TYPE ACCOUNT NUMBER STATEMENT PERIOD  
PRIMARY BUSINESS CHECKING [REDACTED] 07/01/2016 - 07/31/2016  
-----

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT
BEGINNING BALANCE	\$ .76	AVERAGE BALANCE	\$29.88-
DEPOSITS/CREDITS	\$ .00	AVERAGE COLLECTED BALANCE	\$29.88-
CHECKS	\$ .00	NUMBER OF DAYS IN STATEMENT PERIOD	31
WITHDRAWALS/DEBITS	\$38.00		
ENDING BALANCE	\$37.24-		

-----  
WITHDRAWALS/DEBITS  
DATE AMOUNT SERIAL # DESCRIPTION  
07/07 38.00 RETURNED ITEM FEE  
WITHDRAWALS/DEBITS: 1  
-----

-----  
BALANCE ACTIVITY HISTORY  
DATE BALANCE COLLECTED DATE BALANCE COLLECTED BALANCE  
07/01 .76 .76 07/07 -37.24 -37.24  
-----

MEMBER FDIC

EXHIBIT 10 (4 of 6)

SUNTRUST BANK  
 PO BOX 305183  
 NASHVILLE TN 37230-5183

PAGE 1 OF 1  
 66/E00/0175/0/ 31  
 08/31/2016



ACCOUNT  
 STATEMENT

CHRISTOPHER L DUNCAN JR  
 CAMPAIGN ACCOUNT  
 250 COLLINGS ST SE  
 PALM BAY FL 32907

QUESTIONS? PLEASE CALL  
 1-800-786-8787

LET'S MAKE FINANCIAL STRESS A THING OF THE PAST. WE'RE STARTING A MOVEMENT TO  
 HELP AMERICANS MOVE TOWARD FINANCIAL CONFIDENCE.  
 LET'S GET STARTED TODAY. JOIN THE MOVEMENT AT ONUP.COM.

-----  
 ACCOUNT SUMMARY  
 ACCOUNT TYPE                                  ACCOUNT NUMBER                                  STATEMENT PERIOD  
 PRIMARY BUSINESS CHECKING                          [REDACTED]                                  08/01/2016 - 08/31/2016  
 -----

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT
BEGINNING BALANCE	\$37.24-	AVERAGE BALANCE	\$37.62-
DEPOSITS/CREDITS	\$ .00	AVERAGE COLLECTED BALANCE	\$37.62-
CHECKS	\$ .00	NUMBER OF DAYS IN STATEMENT PERIOD	31
WITHDRAWALS/DEBITS	\$12.00		
ENDING BALANCE	\$49.24-		

-----  
 WITHDRAWALS/DEBITS  
 DATE                          AMOUNT SERIAL #                          DESCRIPTION  
 08/31                          12.00                          MAINTENANCE FEE  
 WITHDRAWALS/DEBITS:    1  
 -----

-----  
 BALANCE ACTIVITY HISTORY  
 DATE                          BALANCE                          COLLECTED DATE                          BALANCE                          COLLECTED BALANCE  
 08/01                          -37.24                          -37.24    08/31                          -49.24                          -49.24  
 -----

MEMBER FDIC

EXHIBIT 10(5 of 6)

SUNTRUST BANK  
PO BOX 305183  
NASHVILLE TN 37230-5183



PAGE 1 OF 1  
66/E00/0175/0/ 31  
[REDACTED]  
09/30/2016

ACCOUNT  
STATEMENT

CHRISTOPHER L DUNCAN JR  
CAMPAIGN ACCOUNT  
250 COLLINGS ST SE  
PALM BAY FL 32907

QUESTIONS? PLEASE CALL  
1-800-786-8787

WE ARE MAKING CHANGES TO THE POSTING ORDER PROCESS FOR YOUR DEPOSIT ACCOUNT.  
AN INSERT OUTLINING THESE CHANGES IS INCLUDED WITH THIS PAPER STATEMENT OR WILL  
BE SENT BY MAIL IF YOU RECEIVE AN ELECTRONIC STATEMENT.

-----

ACCOUNT TYPE	ACCOUNT NUMBER	STATEMENT PERIOD
PRIMARY BUSINESS CHECKING ***CLOSED***	[REDACTED]	09/01/2016 - 09/30/2016

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT
BEGINNING BALANCE	\$49.24-	AVERAGE BALANCE	\$13.13-
DEPOSITS/CREDITS	\$49.24	AVERAGE COLLECTED BALANCE	\$13.13-
CHECKS	\$ .00	NUMBER OF DAYS IN STATEMENT PERIOD	30
WITHDRAWALS/DEBITS	\$ .00		
ENDING BALANCE	\$ .00		

DEPOSITS/CREDITS

DATE	AMOUNT	SERIAL #	DESCRIPTION
09/09	49.24		OVERDRAFT BANK CLOSING CREDIT

DEPOSITS/CREDITS: 1      TOTAL ITEMS DEPOSITED: 0

BALANCE ACTIVITY HISTORY					
DATE	BALANCE	COLLECTED BALANCE	DATE	BALANCE	COLLECTED BALANCE
09/01	-49.24	-49.24	09/30	.00	.00
09/09	.00	.00			

MEMBER FDIC

EXHIBIT 10(6 of 6)

**FLORIDA ELECTIONS COMMISSION**  
**PHONE LOG**  
**Case No.: FEC 16-325**

**Respondent: Christopher L. Duncan, Jr.**

**Complainant: Division of Elections**

1. **Date and time:** 11/09/16; 4:59 p.m.  
**Name:** Respondent—Christopher L. Duncan, Jr.  
**Phone #:** 321-394-6368  
**Summary:** I phoned; there was answer and no provision to leave a message.  
**Memo to File?** No  
**Entered by:** HH
  
2. **Date and time:** 12/05/16; 12:45 p.m.  
**Name:** Joel Mynard, the DOE  
**Phone #:** 245-6245  
**Summary:** I phoned, requested a copy of Respondent's qualifying fee—not redacted; Joel agreed to provide a copy of same.  
**Memo to File?** No  
**Entered by:** HH
  
3. **Date and time:** 12/05/16; 1:08 p.m.  
**Name:** Respondent—Christopher L. Duncan, Jr.  
**Phone #:** 321-394-6368  
**Summary:** I phoned and after many rings I reached his voice-mailbox message indicating that I had reached his personal cell phone, with United Alpha Omega Group, a shareholder, encouraged the listener to pursue one's dreams and never lose one's vision; I identified myself, explained that I was conducting an investigation for the Commission, wished him to return my call and provided my contact number.  
**Memo to File?** No  
**Entered by:** HH
  
4. **Date and time:** 12/05/16; 2:25 p.m.  
**Name:** Respondent—Christopher L. Duncan, Jr.  
**Phone #:** 321-394-6368 (caller I.D.)  
**Summary:** Respondent returned my call, explained that he had mailed his paperwork to the DOE prior to qualifying and was driven to Tallahassee so he could be interviewed (**blind** candidate for state senate) by media from West Palm Beach, and videotaped at the DOE's counter on Friday, the last day of qualifying. Respondent said a female DOE staff member informed him to sign his Form 6 and submit it; however, a male DOE staff member assured him his earlier submission was adequate and "on the bottom of the pile," and a duplicate was not needed.

Later that evening (Friday), Respondent said his name was removed from the DOE website as an active candidate, and on Monday he learned there were issues with his qualifying documents and he failed to qualify since his Form 6 was not signed and the DOE lost his

**EXHIBIT 11 (1 of 4)**

signed form. Respondent said he had many issues related to his qualifying as a (sight impaired) candidate, believed he had documentation on video indicating the conversations he had with the DOE staff, but “Kristi” said it would take more than 30 days for an investigation to be completed so he decided to not file an appeal.

Respondent said he had numerous problems accessing the EFS and spoke with DOE staff on several occasions. I requested Respondent provide copies of any phone logs he kept; however, he said he did not keep records related to his calls to the DOE and is uncertain if they kept record of his calls. Respondent said DOE staff assured him the issues with his log in credentials would be resolved after qualifying.

Respondent said he had no contributions or expenditures in May 2016; and he returned the contributions he received in June since he failed to qualify. I suggested Respondent was required to report **all** campaign finance activity including a monthly bank fee; Respondent acknowledged he may have had a nominal monthly bank fee for bank statements. Respondent intimated he had recently experienced a foreclosure on his home at 944 Lyons Circle NW, Palm Bay, FL 32907-5920 and relayed personal information about his wife and children.

**Memo to File?** No

**Entered by:** HH

5. **Date and time:** 12/05/16; 3:17 p.m.  
**Name:** Joel Mynard, the DOE  
**Phone #:** 245-3245  
**Summary:** I phoned to confirm his receipt of two emails requesting records in the instant case; he confirmed his receipt of them and agreed to provide records as requested.  
**Memo to File?** No  
**Entered by:** HH
6. **Date and time:** 01/18/17; 2:50 p.m.  
**Name:** Keisha Clay, SunTrust Bank  
**Phone #:** 407-762-5577  
**Summary:** I phoned, explained that our cover letter indicated we pay \$0.15 per page and \$10 per hour for research and retrieval and she agreed to issue a new invoice charging those rates; I provided our fax number.  
**Memo to File?** No  
**Entered by:** HH
7. **Date and time:** 01/18/17; 4:28 p.m.  
**Name:** Respondent—Christopher L. Duncan, Jr.  
**Phone #:** 321-394-6368  
**Summary:** I phoned the number on file for Respondent in an attempt to conduct a final interview and review my findings in the instant case, and reached message indicating that the number for the Verizon customer has changed, been disconnected or is no longer in service.  
**Memo to File?** No  
**Entered by:** HH

**EXHIBIT** 11 (2 of 4)

8. **Date and time:** 01/18/17; 4:36 p.m.  
**Name:** Respondent—Christopher L. Duncan, Jr.  
**Phone #:** 321-312-6194  
**Summary:** I phoned a number obtained from an Accurint search for Respondent; I reached an unidentified mailbox with female voice saying, "Leave us a message." I provided my name and call-back number including extension requesting they return my call.  
**Memo to File?** No  
**Entered by:** HH
9. **Date and time:** 01/19/17; 11:48  
**Name:** Respondent—Christopher L. Duncan, Jr.  
**Phone #:** 321-327-7454  
**Summary:** I called phone number obtained from Accurint search; however, a mechanical voice directed me to leave a message; I provided my name and contact number.  
**Memo to File?** No  
**Entered by:** HH
10. **Date and time:** 01/19/17; 11:49 a.m.  
**Name:** Respondent—Christopher L. Duncan, Jr.  
**Phone #:** 321-536-7397  
**Summary:** I phoned the number provided on Respondent's Form DS-DE 9 for Respondent's wife, Vanessa Marie Scott, campaign treasurer; I reached Respondent's brother (??) who instructed me to call in about an hour as Respondent was not currently available.  
**Memo to File?** No  
**Entered by:** HH
11. **Date and time:** 01/19/17; 11:58 a.m.  
**Name:** Ann Wickham, Brevard County SOE's office  
**Phone #:** 321-952-6328  
**Summary:** I phoned, identified myself, and requested voter information for Respondent (Christopher Lloyd Duncan Jr.), and his wife (Vanessa Marie Scott) in case they voted in the 2016 general election and updated their address information; I provided my contact information so someone call return my call.  
**Memo to File?** No  
**Entered by:** HH
12. **Date and time:** 01/19/17; 12:58 p.m.  
**Name:** Vanessa Marie Scott—Respondent's wife and campaign treasurer  
**Phone #:** 321-536-7397  
**Summary:** I phoned and reached voice-mailbox message indicating I had reached phone for Vanessa Marie Scott; however, her voice-mailbox was full and I was unable to leave a message.  
**Memo to File?** No  
**Entered by:** HH
13. **Date and time:** 01/19/17; 1:55 p.m.  
**Name:** Michelle Nemschick, Candidate Administrator, Brevard County SOE's office

EXHIBIT 11 (3 of 4)



**Phone #:** 321-633-2124 (caller I.D.)

**Summary:** Her direct number is 321-633-2166. She returned my call and explained that Mr. Duncan's voter registration provides his address as 944 Lyons Circle NW, Palm Bay, FL 32907. However, when she was verifying petition signatures for his 2016 race for Congress, District 8 and State Senate, District 17, he had provided his address to her as 3535 Jupiter Blvd., Palm Bay, FL 32909 (former Federal campaign address; retail storefront), and she contacted him by cellphone at PH: 321-541-0501.

**Memo to File?** No

**Entered by:** HH

14. **Date and time:** 01/19/17; 2:05 p.m.

**Name:** Respondent—Christopher L. Duncan, Jr.

**Phone #:** 321-541-0501 (cell phone)

**Summary:** I phoned, heard message identifying him as candidate for Congress, and website address: [www.peopleb4policy.org](http://www.peopleb4policy.org); I identified myself, provided my contact number and requested he return my call as I was completing my ROI and wished his input; I again provided my name and contact number.

**Memo to File?** No

**Entered by:** HH

15. **Date and time:** 01/19/17; 2:46 p.m.

**Name:** Respondent—Christopher L. Duncan, Jr.

**Phone #:** 321-536-7397

**Summary:** I phoned, reached her voice-mail message indicating I had reached Vanessa Marie Scott; her voice-mailbox was full; however, I confirmed that I wished to send "SMS notification"—text message providing my phone number—and received confirmation that my "SMS notification has been sent."

**Memo to File?** No

**Entered by:** HH

16. **Date and time:**

**Name:**

**Phone #:**

**Summary:**

**Memo to File?** No

**Entered by:**

17. **Date and time:**

**Name:**

**Phone #:**

**Summary:**

**Memo to File?** No

**Entered by:**

18. **Date and time:**

**Name:**

**Phone #:**

**Summary:**

**EXHIBIT** 11 (4 of 4)



**FLORIDA ELECTIONS COMMISSION**

107 W. Gaines Street  
Collins Building, Suite 224  
Tallahassee, Florida 32399-1050  
(850) 922-4539

16 NOV 28 PM 3:45

DIVISION OF ELECTIONS  
SECRETARY OF STATE

November 21, 2016

Christopher Duncan  
PO Box 110355  
Palm Bay, FL 32911

**RE: Case No.: FEC 16-423; Respondent: Christopher Duncan; Report Due Date: 05/10/16**

Dear Mr. Duncan:

Pursuant to Rule 2B-1.005(2), Florida Administrative Code, the Florida Elections Commission has entered the enclosed Default Final Order against you.

Please read the Final Order carefully. The order indicates the amount of the fine and the number of days you have to pay the fine. **Please pay the fine to your filing officer, who is:**

Department of State, Division of Elections  
Room 316, R.A. Gray Building  
500 South Bronough Street  
Tallahassee, Florida 32399

If you fail to pay the fine to the filing officer, an enforcement action may be filed against you in the circuit court. If it is necessary to take further legal action to collect the fine, the law provides that you pay for the cost of collection, including attorney fees.

If you have any questions, please contact us at [fec@myfloridalegal.com](mailto:fec@myfloridalegal.com) or at the number listed above.

Sincerely,  
*/s/Amy McKeever Toman*  
Executive Director

Enclosure: Default Final Order  
cc: Division of Elections, Filing Officer

EXHIBIT 12 (1 of 4)

16 JUL 10 10:18  
FLORIDA ELECTIONS COMMISSION

**STATE OF FLORIDA  
FLORIDA ELECTIONS COMMISSION**

**In Re: Christopher Duncan**

**Case No.: FEC 16-423  
F.O. No.: FOFEC 16-189A  
Report: 2016 M4**

**DEFAULT FINAL ORDER**

**THIS MATTER** was referred to the Florida Elections Commission (Commission) by the filing officer for failure of the Respondent to pay the assessed fine.

**FINDINGS OF FACT**

1. The Commission is charged with enforcing Chapter 106, Florida Statutes, the campaign financing laws.
2. Respondent is a state candidate for the office of State Senate during the 2016 elections. Respondent's 2016 M4 campaign treasurer's report was not filed with the filing officer on May 10, 2016, the designated due date.
3. On July 18, 2016, the filing officer sent a final fine notice to the Respondent by delivery confirmation advising him that the amount of the fine was \$40.75. The notice also advised Respondent, as required by Section 106.07(8)(b), Florida Statutes, that he must either pay the fine or appeal to the Florida Elections Commission within 20 days after receipt of the notice of payment due.
4. Respondent has failed to pay the assessed fine or to appeal to the Commission.

**CONCLUSIONS OF LAW**

5. The Commission has jurisdiction over the parties to and subject matter of this case, pursuant to Section 106.07, Florida Statutes.

**EXHIBIT 12 (2 of 4)**

6. Respondent's failure to timely file a notice appealing the assessed fine constitutes a waiver of entitlement to an appeal.

**ORDER**

Based on the foregoing facts and conclusions of law, it is hereby **ORDERED** that the assessed fine of \$40.75 is affirmed. The fine shall be paid to the Division of Elections at 500 South Bronough Street, Room 316, R.A Gray Building, Tallahassee, FL 32399-0250 within 30 days of the date this Final Order is filed with the Commission.

**DONE AND ORDERED** by the Florida Elections Commission on  
November 11, 2016.



M. Scott Thomas, Chairman  
Florida Elections Commission

Copies furnished to:  
Stephanie Cunningham, Assistant General Counsel  
Christopher Duncan, Respondent  
Division of Elections, Complainant

EXHIBIT 12 (3 of 4)

### NOTICE OF RIGHT TO APPEAL

This order is final agency action. Any party who is adversely affected by this order has the right to seek judicial review pursuant to Section 120.68, Florida Statutes, by filing a notice of administrative appeal pursuant to Rule 9.110, Florida Rules of Appellate Procedure, with the Clerk of the Florida Elections Commission at 107 West Gaines Street, Suite 224, Collins Building, Tallahassee, Florida 32399-1050, and by filing a copy of the notice of appeal with the appropriate district court of appeal. The party must attach to the notice of appeal a copy of this order and include with the notice of appeal filed with the district court of appeal the applicable filing fees. **The notice of administrative appeal must be filed within 30 days of the date of this order is filed with the Commission.** The date this order was filed appears in the upper right-hand corner of the first page of the order.

#### Notice to Filing Officer

The Clerk of the Florida Elections Commission will send a copy of this order to Respondent. The Final Order directs the Respondent to pay the Filing Officer. If the Filing Officer is not paid within 30 days of the date the Final Order is filed with the Commission, the Filing Officer can file an enforcement action in circuit court to enforce the fine that is due and owing to the county or municipality. The date this order was filed appears in the upper right-hand corner of the first page of this order.

EXHIBIT 12(4 of 4)

Mr. Christopher Lloyd Duncan, Jr.  
PO Box 110355  
Palm Bay, FL 32911-0355

Dear Mr. Duncan:

I have attempted to reach you by email, USPS and by telephone; however, you have not responded to my queries. On seven occasions I have tried to reach you by telephone to conduct a final interview, and give you an opportunity to respond to the information gathered during the course of the investigation concerning the allegations made in the complaint in addition to you offering a possible explanation for discrepancies found in your bank records. Please call me between 9:00 a.m. and 5:30 p.m. at your earliest convenience so we may discuss this matter.

It appears your contact information by mail and telephone have changed; please provide updated contact information by return email. Thank you in advance for your assistance in resolving this matter.

Helen Hinson  
Investigation Specialist  
Florida Elections Commission  
The Collins Building, Ste. 224  
107 West Gaines Street  
Tallahassee, FL 32399-1050  
helen.hinson@myfloridalegal.com  
PH: 850.922.4539, extension 115  
FAX: 850.921.0783  
[www.fec.state.fl.us](http://www.fec.state.fl.us)

Please note: Florida has a very broad public records law. Written communications to or from me regarding state business constitute public records and are available to the public and media upon request unless the information is subject to a specific statutory exemption. Therefore, your e-mail message may be subject to public disclosure.

**STATEMENT OF  
CANDIDATE**

(Section 106.023, F.S.)

(Please print or type)

OFFICE USE ONLY  
RECEIVED  
DEPARTMENT OF STATE

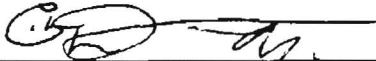
2016 APR 22 AM 9: 17

DIVISION OF ELECTIONS  
TALLAHASSEE, FL

I, Chris Duncan,

candidate for the office of Florida State Senate;

have been provided access to read and understand the requirements of  
Chapter 106, Florida Statutes.

X   
Signature of Candidate

4-19-2016  
Date

Each candidate must file a statement with the qualifying officer within 10 days after the Appointment of Campaign Treasurer and Designation of Campaign Depository is filed. Willful failure to file this form is a first degree misdemeanor and a civil violation of the Campaign Financing Act which may result in a fine of up to \$1,000, (ss. 106.19(1)(c), 106.265(1), Florida Statutes).



**FLORIDA ELECTIONS COMMISSION**

107 W. Gaines Street,  
Suite 224 Collins Building  
Tallahassee, Florida 32399-1050  
Telephone: (850) 922-4539  
Fax: (850) 921-0783

November 7, 2016

Christopher L. Duncan, Jr.  
P.O. Box 110355  
Palm Bay, FL 32911

**RE: Case No.: FEC 16-325; Respondent: Christopher L. Duncan, Jr.**

Dear Mr. Duncan:

On August 16, 2016, the Florida Elections Commission received a complaint alleging that you violated Florida's election laws. I have reviewed the complaint and find that it contains one or more legally sufficient allegations. The Commission staff will investigate the following alleged violations:

**Section 106.07(7), Florida Statutes:** As alleged in the complaint, Respondent may have failed to notify the filing officer on the prescribed reporting date that no report would be filed on that date because it had not received funds, made contributions, or expended reportable funds during the following reporting period:

- 2016 M5

**Section 106.19(1)(c), Florida Statutes:** As alleged in the complaint, Respondent may have falsely reported or deliberately failed to include information required by Chapter 106, Florida Statutes, when it failed to file a report on the prescribed reporting date for the following reporting period:

- 2016 M5

You may respond to the allegations above by filing a notarized statement providing any information regarding the facts and circumstances surrounding the allegations. Your response will be included as an attachment to the investigator's report.

When we conclude the investigation, a copy of the Report of Investigation will be mailed to you at the above address. You may file a response to the report within 14 days from the date the report is mailed to you. Based on the results of the investigation, legal staff will make a written



recommendation to the Commission on whether there is probable cause to believe you have violated Chapter 104 or 106, Florida Statutes. A copy of the Staff Recommendation will be mailed to you and you may file a response within 14 days from the date the recommendation is mailed to you. Your timely filed response(s) will be considered by the Commission when determining probable cause.

The Commission will then hold a hearing to determine whether there is probable cause to believe you have violated Chapters 104 or 106, Florida Statutes. You and the complainant will receive a notice of hearing at least 14 days before the hearing. The notice of hearing will indicate the location, date, and time of your hearing. You will have the opportunity to make a brief oral statement to the Commission, but you will not be permitted to testify or call others to testify, or introduce any documentary or other evidence.

At any time before a probable cause finding, you may notify us in writing that you want to enter into negotiations directed towards reaching a settlement via consent agreement.

**The Report of Investigation, Staff Recommendation, and Notice of Hearing will be mailed to the above address as this letter. Therefore, if your address changes, you must notify this office of your new address. Otherwise, you may not receive these important documents. Failure to receive the documents will not delay the probable cause hearing.**

Under section 106.25, Florida Statutes, complaints, Commission investigations, investigative reports, and other documents relating to an alleged violation of Chapters 104 and 106, Florida Statutes, are confidential until the Commission finds probable cause or no probable cause. The confidentiality provision does not apply to the person filing the complaint. However, it does apply to you unless you waive confidentiality in writing. The confidentiality provision does not preclude you from seeking legal counsel. However, if you retain counsel, your attorney must file a notice of appearance with the Commission before any member of the Commission staff can discuss this case with him or her.

If you have any questions or need additional information, please contact **Helen Hinson**, the investigator assigned to this case.

Sincerely,



Amy McKeever Toman  
Executive Director

AMT/enr

**DIVISION OF ELECTIONS  
FEC NOTICE FORM**

RECEIVED

2016 AUG 16 1P 2:22

To FEC from Division of Elections

STATE OF FLORIDA  
ELECTIONS COMMISSION

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**Name:** Christopher L. Duncan, Jr.

**Account Number:** 65934

**Treasurer:** Vanessa M. Scott

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The Division of Elections hereby provides this notice to the Florida Elections Commission pursuant to Sections 106.07(8)(d), 106.22(7) and 106.25, Florida Statutes. An apparent violation of Chapter 106, F.S., has occurred based upon the candidate's alleged failure to file a report after notice as required by section 106.07(8)(d), Florida Statutes, or failure to notify the filing officer on the prescribed reporting date that no report was to be filed as required by Section 106.07(7), Florida Statutes.

The following report or notice is outstanding after notification:

2016 M5

---

**Sent By:** Kristi Reid Bronson  
**Date:** July 27, 2016

*KRB*

ejr

## AFFIDAVIT

**STATE OF FLORIDA**

**County of Leon**

**Kristi Reid Bronson, being duly sworn, says:**

1. I am the Chief of the Bureau of Election Records of the Division of Elections (Division). In that capacity, I oversee the Division's duties related to the filing of campaign finance reports.
2. This affidavit is made upon my personal knowledge, including information obtained from review of the attached records, of which I am the custodian.
3. I am of legal age and competent to testify to the matters stated herein.
4. Christopher L. Duncan, Jr. (65934) was a 2016 candidate for the office of State Senate.
5. On April 27, 2016, Mr. Duncan filed the Appointment of Campaign Treasurer and Designation of Campaign Depository with the Division. He appointed Vanessa M. Scott as treasurer. (See attached documents and acknowledgment letter.)
6. The 2016 M5 campaign treasurer's report was due on June 10, 2016. On June 13, 2016, the Division mailed Ms. Scott notification that the 2016 M5 treasurer's report had not been filed. (See attached letter.)
7. On July 5, 2016, the Division mailed Ms. Scott notification that the 2016 M5 treasurer's report had not been filed. (See attached letter.)
8. On July 14, 2016, the Division mailed Ms. Scott final notification with delivery confirmation that the 2016 M5 treasurer's report had not been filed. (See attached letter with delivery confirmation.)
9. Ms. Scott did not notify the Division of Elections prior to or on the prescribed reporting date for the 2016 M5 report that no report was to be filed.

10. As of July 27, 2016, Ms. Scott has not filed the 2016 M5 report.

**I hereby swear or affirm that the foregoing information is true and correct to the best of my knowledge.**

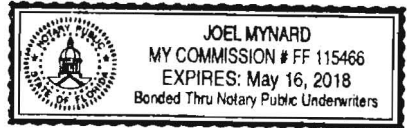
  
\_\_\_\_\_  
Signature of Affiant

Sworn to (or affirmed) and subscribed before me this 27<sup>th</sup> day of July 2016.

  
\_\_\_\_\_  
Signature of Notary Public - State of Florida

Print, Type, or Stamp Commissioned Name of Notary Public

Personally Known



**APPOINTMENT OF CAMPAIGN TREASURER  
AND DESIGNATION OF CAMPAIGN  
DEPOSITORY FOR CANDIDATES**

(Section 106.021(1), F.S.)

(PLEASE PRINT OR TYPE)

RECEIVED  
DEPARTMENT OF STATE

2016 APR 27 AM 10:19

DIVISION OF ELECTIONS  
TALLAHASSEE, FL

NOTE: This form must be on file with the qualifying officer before opening the campaign account.

OFFICE USE ONLY

**1. CHECK APPROPRIATE BOX(ES):**

Initial Filing of Form      Re-filing to Change:  Treasurer/Deputy     Depository     Office     Party

2. Name of Candidate (in this order: First, Middle, Last)      3. Address (include post office box or street, city, state, zip code)  
Christopher L. Duncan Jr.      P.O. Box 110355  
Palm Bay, FL 32911

4. Telephone      5. E-mail address  
(321) 394-6368      ElectChrisDuncan@PeopleB4Policy.org

6. Office sought (include district, circuit, group number)      7. If a candidate for a nonpartisan office, check if applicable:  
Florida State Senate, District 17       My intent is to run as a Write-In candidate.

8. If a candidate for a partisan office, check block and fill in name of party as applicable: My intent is to run as a  
 Write-In     No Party Affiliation     Democrat      Party candidate.

9. I have appointed the following person to act as my     Campaign Treasurer     Deputy Treasurer

10. Name of Treasurer or Deputy Treasurer  
Vanessa M. Scott

11. Mailing Address      12. Telephone  
P.O. Box 110355      (321) 536-7397

13. City      14. County      15. State      16. Zip Code      17. E-mail address  
Palm Bay      Brevard      FL      32911

18. I have designated the following bank as my     Primary Depository     Secondary Depository

19. Name of Bank      20. Address  
Sun Trust Bank      175 Palm Bay Rd. N.E.

21. City      22. County      23. State      24. Zip Code  
Palm Bay      Brevard      Florida      32904

UNDER PENALTIES OF PERJURY, I DECLARE THAT I HAVE READ THE FOREGOING FORM FOR APPOINTMENT OF CAMPAIGN TREASURER AND DESIGNATION OF CAMPAIGN DEPOSITORY AND THAT THE FACTS STATED IN IT ARE TRUE.

25. Date      26. Signature of Candidate  
4/26/2016      X [Signature]

**27. Treasurer's Acceptance of Appointment (fill in the blanks and check the appropriate block)**

I, Vanessa M. Scott, do hereby accept the appointment  
(Please Print or Type Name)

designated above as:     Campaign Treasurer     Deputy Treasurer.

4/26/2016      Date      X Vanessa Scott      Signature of Campaign Treasurer or Deputy Treasurer

**STATEMENT OF  
CANDIDATE**

(Section 106.023, F.S.)

(Please print or type)

OFFICE USE ONLY  
RECEIVED  
DEPARTMENT OF STATE

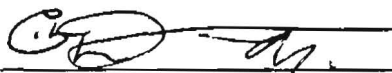
2016 APR 22 AM 9:17

DIVISION OF ELECTIONS  
TALLAHASSEE, FL

I, Chris Duncan,

candidate for the office of Florida State Senate;

have been provided access to read and understand the requirements of  
Chapter 106, Florida Statutes.

X   
Signature of Candidate

4-19-2016  
Date

Each candidate must file a statement with the qualifying officer within 10 days after the Appointment of Campaign Treasurer and Designation of Campaign Depository is filed. Willful failure to file this form is a first degree misdemeanor and a civil violation of the Campaign Financing Act which may result in a fine of up to \$1,000, (ss. 106.19(1)(c), 106.265(1), Florida Statutes).



## FLORIDA DEPARTMENT *of* STATE

**RICK SCOTT**  
Governor

**KEN DETZNER**  
Secretary of State

April 28, 2016

Christopher L. Duncan Jr.  
Post Office Box 110355  
Palm Bay, Florida 32911

Dear Mr. Duncan:

This will acknowledge receipt of the Appointment of Campaign Treasurer and Designation of Campaign Depository for the office of State Senator, which was placed on file in our office on April 27, 2016. Your name has been placed on the 2016 active candidate list.

### Campaign Treasurer's Reports

Your first campaign treasurer's report will be due on **May 10, 2016**. The report will cover the period of April 1-30, 2016 (M4). All candidates who file reports with the Division of Elections are required to file by means of the Division's Electronic Filing System (EFS).

### Credentials and Sign-ons

Below is the web address to access the EFS and your user identification number. The enclosed sealed envelope contains your initial password. Once you have logged in using the initial password, you will be immediately prompted to change it to a confidential sign-on. You, your campaign treasurer, and deputy treasurers are responsible for protecting this password from disclosure and are responsible for all filings using these credentials, unless the Division is notified that your credentials have been compromised.

**EFS Website Address:** <https://efs.dos.state.fl.us>  
**Identification Number:** 65934

Christopher L. Duncan Jr.  
April 28, 2016  
Page Two

### **Pin Numbers**

Pin numbers are confidential secure credentials that allow you to submit reports and update personal information. The enclosed sealed envelope contains confidential pin numbers for you. By copy of this letter, a confidential pin number to access the EFS was sent to your treasurer.

Each candidate is required to provide the Division of Elections with confidential personal information that may be used to allow access in the event this password is forgotten or lost. When you enter the campaign account screen, there will be a drop down box where you pick a question (such as *What is your mother's maiden name?*) and supply an answer. All passwords and answers to questions are stored as encrypted data and cannot be viewed by Division staff and given out over the phone. Please notify the Division if your credentials have been compromised.

### **Timely Filing**

All reports filed must be completed and filed through the EFS not later than midnight of the due date. Reports not filed by midnight of the due date are late filed and subject to the penalties in Section 106.07(8), Florida Statutes. In the event that the EFS is inoperable on the due date, the report will be accepted as timely filed if filed no later than midnight of the first business day the EFS becomes operable. No fine will be levied during the period the EFS was inoperable.

Any candidate failing to file a report on the designated due date shall be subject to a fine of \$50 per day for the first 3 days late and, thereafter, \$500 per day for each late day, not to exceed 25% of the total receipts or expenditures, whichever is greater, for the period covered by the late report. However, for reports immediately preceding each primary and general election, the fine shall be \$500 per day for each late day, not to exceed 25% of the total receipts or expenditures, whichever is greater, for the period covered by the late report.

### **Electronic Receipts**

The person submitting the report on the EFS will be issued an electronic receipt indicating and verifying the report was filed. Each campaign treasurer's report filed by means of the EFS is considered to be under oath by the candidate and campaign treasurer and such persons are subject to the provisions of Section 106.07(5), Florida Statutes.



Christopher L. Duncan Jr.  
April 28, 2016  
Page Three

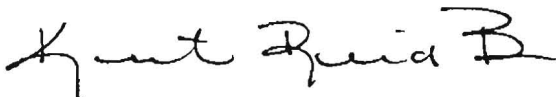
**Instructions and Assistance**

An online instruction guide is available to you on the EFS to assist with navigation, data entry, and submission of reports. The Division of Elections will also provide assistance to all users by contacting the EFS Help Desk at (850) 245-6280.

**All of the Division's publications and reporting forms are available on the Division of Elections' website at <http://dos.myflorida.com/elections/>. It is your responsibility to read, understand, and follow the requirements of Florida's election laws. Therefore, please print a copy of the following documents: Chapters 104 and 106, Florida Statutes, *Candidate and Campaign Treasurer Handbook*, *Calendar of Reporting Dates*, and Rule 1S-2.017, Florida Administrative Code.**

Please let me know if you need additional information.

Sincerely,



Kristi Reid Bronson, Chief  
Bureau of Election Records

KRB/ljr

Enclosures

pc: Vanessa M. Scott, Treasurer



FLORIDA DEPARTMENT OF STATE

**Ken Detzner**  
Secretary of State

DIVISION OF ELECTIONS

June 13, 2016

Vanessa M. Scott, Treasurer  
Post Office Box 110355  
Palm Bay, FL 32911

CAN 65934

Dear Ms. Scott:

Our records indicate that you have not filed either your campaign treasurer's report or notification that no reportable activity occurred, whichever is applicable. The filing date was June 10, 2016.

The law (s. 106.07(8)(b), Fla. Stat.) requires the filing officer to immediately notify you about the missed filing date and the automatic fines that will be assessed for late filing of a report, if a report was due.

- If reportable activity occurred and you have not filed a report, statutory fines are automatically assessed as follows until you file your report:
  - \$50 for the first 3 days late
  - \$500 per day for each day after the 3rd day late
  - If the required report immediately precedes a primary and general election, the automatic fine is \$500 for each late day.

The total fine for the untimely report cannot exceed 25% of the total receipts or expenditures, whichever is greater, for the cover period. Even if you end up filing your report late, we cannot waive the statutory fines. We will notify you of the specific amount of fines owed once you file your report. You have 20 days from the day you receive the notice to pay the fine or to appeal the fine to the Florida Elections Commission.

- If no reportable activity occurred for the report date(s), you are still required to notify us in writing, via the Division's Electronic Filing System, that no reportable activity occurred. No automatic fines are statutorily assessed.

However, in all cases, failure to file and/or untimely filing of a report of reportable activity, or if applicable, a notice that no reportable activity occurred, may constitute an apparent violation of chapter 106, Fla. Stat. If we refer such matter to the Florida Elections Commission, the Commission may subsequently impose a civil penalty for each violation under chapter 106.265(1), Fla. Stat.

If you have any questions, please contact the help desk at (850) 245-6280.

Sincerely,

A handwritten signature in black ink, appearing to read "Kristi Reid Bronson".

Kristi Reid Bronson, Chief  
Bureau of Election Records

cc: Christopher L. Duncan Jr.  
Candidate for State Senator, District 17



FLORIDA DEPARTMENT OF STATE

**Ken Detzner**  
Secretary of State

DIVISION OF ELECTIONS

**Second Notice**

July 5, 2016

Vanessa M. Scott, Treasurer  
Post Office Box 110355  
Palm Bay, FL 32911

CAN 65934

Dear Ms. Scott:

Our records indicate that you have not filed either your campaign treasurer's report or notification that no reportable activity occurred, whichever is applicable. The filing date was June 10, 2016.

We mailed, via regular mail, the first notice of the failure to file the above-mentioned report or notification to the address on file with our office on June 13, 2016.

The first notice which we provided to you specifically explained the automatic fine provisions in the law (s. 106.07(8)(b), Fla. Stat.) for failure to file a required report. Such fines are continuing to accrue for a late-filed report. Also, that notice explained that if no reportable activity occurred for the report date(s), you are still required to provide notification in writing that no reportable activity occurred. Our initial notice further indicated that, in all cases, failure to file and/or untimely filing of a report of reportable activity, or if applicable, a notice that no reportable activity occurred, may constitute an apparent violation of chapter 106, Fla. Stat. If we refer such matter to the Florida Elections Commission, the Commission may subsequently impose a civil penalty for each violation under chapter 106.265(1), Fla. Stat.

If you have any questions, please contact the help desk at (850) 245-6280.

Sincerely,

Kristi Reid Bronson, Chief  
Bureau of Election Records

cc: Christopher Duncan  
Candidate for State Senator, District 17



FLORIDA DEPARTMENT OF STATE

**Ken Detzner**  
Secretary of State

DIVISION OF ELECTIONS

**Final Notice**

**Delivery Confirmation:**

USPS TRACKING #  
& CUSTOMER  
RECEIPT

**9114 9014 9645 0382 4756 95**

For Tracking or inquiries go to USPS.com  
or call 1-800-222-1811.

July 14, 2016

Vanessa M. Scott, Treasurer  
Post Office Box 110355  
Palm Bay, FL 32911

CAN 65934

Dear Ms. Scott:

A recent review of the Division's records show that the Division has not yet received the below-referenced campaign treasurer's report or the required notification that no reportable activity occurred, whichever is applicable, during the following cover period:

<u>Year</u>	<u>Report</u>	<u>Cover Period</u>
2016	M5	5/1/16 - 5/31/16

The Division has previously notified you of the unfiled report or notification. Please file your report or notification. If the report is not filed within 7 days of receipt of this letter, the Division will refer this matter to the Florida Elections Commission, which may impose civil penalties of up to \$1000 per violation, apart from that automatic fine provisions of s. 106.07(8)(b), Fla. Stat.) that are applicable only to campaign finance reports.

As we stated in the prior notices, even if you now file the report or notification, the untimely filing of a report of reportable activity, or if applicable, a notice that no reportable activity occurred, may constitute an apparent violation of chapter 106, Fla. Stat. If we refer such matter to the Florida Elections Commission, the Commission may subsequently impose a civil penalty for each violation under chapter 106.265(1), Fla. Stat.

If you have any questions, please contact the help desk at (850) 245-6280.

Sincerely,

A handwritten signature in black ink, appearing to read "Kristi Reid Bronson".

Kristi Reid Bronson, Chief  
Bureau of Election Records

cc: Christopher Duncan  
Candidate for State Senator, District 17

English

Customer Service

USPS Mobile

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Have questions? We're here to help.



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Tracking Number: 9114901496450382475695

Updated Delivery Day: Monday, July 18, 2016

### Product & Tracking Information

Postal Product:

Features:  
USPS Tracking®

DATE & TIME

STATUS OF ITEM

LOCATION

July 18, 2016 , 9:50 am

Delivered, PO Box

PALM BAY, FL 32911

Your item has been delivered and is available at a PO Box at 9.50 am on July 18, 2016 in PALM BAY, FL 32911.

July 18, 2016 , 3:27 am

Arrived at Post Office

PALM BAY, FL 32907

July 17, 2016 , 1:54 am

Arrived at USPS Facility

ORLANDO, FL 32824

July 15, 2016 . 9:57 pm

Arrived at USPS Facility

TALLAHASSEE, FL 32301

### Available Actions

Text Updates

Email Updates

### Track Another Package

Tracking (or receipt) number

Track It

### Manage Incoming Packages

Track all your packages from a dashboard.  
No tracking numbers necessary.

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