

FILED

18 DEC -4 PM 1:13

STATE OF FLORIDA
ELECTIONS COMMISSION

**STATE OF FLORIDA
FLORIDA ELECTIONS COMMISSION**

**Florida Elections Commission,
Petitioner,**

Case No.: FEC 17-091

v.

**Stephen Michael Roberts,
Respondent.**

ORDER OF PROBABLE CAUSE

THIS MATTER was heard by the Florida Elections Commission (Commission) at its regularly scheduled meeting on November 15, 2018, in Tallahassee, Florida.

On October 8, 2018, Staff recommended to the Commission that there was probable cause to believe that the Florida Election Code was violated. The facts articulated in Staff's Recommendation are adopted by reference and incorporated herein. Based on the Complaint, Report of Investigation, Staff's Recommendation, and oral statements (if any) made at the probable cause hearing, the Commission finds that there is **probable cause** to charge Respondent with the following violations:

Count 1:

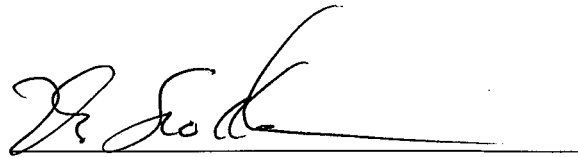
On or about February 6, 2018, Respondent violated Section 106.141(1), Florida Statutes, when Respondent failed to file his 2016 Termination Report reflecting the disposition of all remaining campaign funds.

Count 2:

On or about February 6, 2018, Respondent violated Section 106.19(1)(c), Florida Statutes, when Respondent falsely reported or deliberately failed to include information on his 2016 Termination Report that was required to be reported by Chapter 106 when he failed to file his 2016 Termination Report.

The Commission further finds there is **no probable cause** to charge Respondent with violating Section 106.07(5), Florida Statutes.

DONE AND ORDERED by the Florida Elections Commission on November 15, 2018.



M. Scott Thomas, Chairman
Florida Elections Commission

Copies furnished to:

Eric M. Lipman, General Counsel

Stephen Michael Roberts, Respondent

Susan Bucher, Complainant

NOTICE OF RIGHT TO A HEARING

As the Respondent, you may elect to resolve this case in several ways. First, you may elect to resolve this case by consent order where you and Commission staff agree to resolve the violation(s) and agree to the amount of the fine. The consent order is then presented to the Commission for its approval. To discuss a consent order, contact the FEC attorney identified in the Order of Probable Cause.

Second, you may request an informal hearing held before the Commission, if you do not dispute any material fact in the Staff Recommendation. You have 30 days from the date the Order of Probable Cause is filed with the Commission to request such a hearing. The date this order was filed appears in the upper right-hand corner of the first page of the order. At the hearing, you will have the right to make written or oral arguments to the Commission concerning the legal issues related to the violation(s) and the potential fine. At the request of Respondent, the Commission will consider and determine willfulness at an informal hearing. Otherwise, live witness testimony is unnecessary.

Third, you may request a formal hearing held before an administrative law judge in the Division of Administrative Hearings (DOAH), if you dispute any material fact in the Staff Recommendation. You have 30 days from the date the Order of Probable Cause is filed with the

Commission to request such a hearing. The date this order was filed appears in the upper right-hand corner of the first page of the order. At the hearing, you will have the right to present evidence relevant to the violation(s) listed in this order, to cross-examine opposing witnesses, to impeach any witness, and to rebut the evidence presented against you.

If you do not elect to resolve the case by consent order or request a formal hearing at the DOAH or an informal hearing before the Commission within 30 days of the date this Order of Probable Cause is filed with the Commission, the case will be sent to the Commission for a formal or informal hearing, depending on whether the facts are in dispute. The date this order was filed appears in the upper right-hand corner of the first page of the order.

To request a hearing, please send a written request to the Commission Clerk, Donna Ann Malphurs. The address of the Commission Clerk is 107 W. Gaines Street, Collins Building, Suite 224, Tallahassee, Florida 32399-1050. The telephone number is (850) 922-4539. The Clerk will provide you with a copy of Chapter 28-106, *Florida Administrative Code*, and other applicable rules upon request. No mediation is available.

THIS FILE CONTAINS INFORMATION
THAT IS CONFIDENTIAL
AND EXEMPT FROM DISCLOSURE
PURSUANT TO
CHAPTER 119, FLORIDA STATUTES

**STATE OF FLORIDA
FLORIDA ELECTIONS COMMISSION**

In Re: Stephen Michael Roberts

Case No.: FEC 17-091

TO: Stephen Michael Roberts
17686 Key Lime Blvd.
Loxahatchee, FL 33470-2914

Susan Bucher
Palm Beach County SOE
240 South Military Trail
West Palm Beach, FL 33415

NOTICE OF HEARING (PROBABLE CAUSE DETERMINATION)

A hearing will be held in this case before the Florida Elections Commission on, **November 15, 2018 at 8:30 am, or as soon thereafter as the parties can be heard**, at the following location: **Senate Office Building, 404 South Monroe Street, Room 110-S, Tallahassee, Florida 32399.**

Failure to appear in accordance with this notice will constitute a waiver of your right to participate in the hearing. Continuances will be granted only upon a showing of good cause.

This hearing will be conducted pursuant to Section 106.25, Florida Statutes, which governs your participation as follows:

If you are the Respondent, you may attend the hearing, and you or your attorney will have *5 minutes* to present your case to the Commission. However, some cases (including those in which consent orders or recommendations for no probable cause are being considered) may be decided by an *en masse* vote and, unless you request to be heard or the Commission requests that your case be considered separately on the day of the hearing, your case will *not* be individually heard.

If you are the Complainant, you may attend the hearing, but you will *not* be permitted to address the Commission. In addition, some cases (including those in which consent orders or recommendations for no probable cause are being considered) may be decided by an *en masse* vote and, unless the Respondent requests to be heard or the Commission requests that the case be considered separately on the day of the hearing, the case will *not* be individually heard.

If you are an Appellant, and you have requested a hearing, you may attend the hearing, and you or your attorney will have *5 minutes* to present your case to the Commission.

Please be advised that both confidential and public cases are scheduled to be heard by the Florida Elections Commission on this date. As an Appellant, Respondent or Complainant in one case, you will *not* be permitted to attend the hearings on other confidential cases.

The Commission will electronically record the meeting. Although the Commission's recording is considered the official record of the hearing, the Respondent may provide, at his own expense, a certified court reporter to also record the hearing.

If you require an accommodation due to a disability, contact Donna Ann Malphurs at (850) 922-4539 or by mail at 107 West Gaines Street, The Collins Building, Suite 224, Tallahassee, Florida 32399, at least 5 days before the hearing.

See further instructions on the reverse side.

Eric M. Lipman
General Counsel for Executive Director
Florida Elections Commission
October 29, 2018

Please refer to the information below for further instructions related to your particular hearing:

If this is a hearing to consider **an appeal from an automatic fine**, the Filing Officer has imposed a fine on you for your failure to file a campaign treasurer's report on the designated due date and, by filing an appeal, you have asked the Commission to consider either (1) that the report was in fact timely filed; or (2) that there were unusual circumstances that excused the failure to file the report timely. You are required to prove your case. If the Commission finds that the report was filed timely or that there were unusual circumstances that excused the failure, it may waive the fine, in whole or in part. The Commission may reduce a fine after considering the factors in Section 106.265, Florida Statutes. If the Commission finds that the report was not timely filed and there were no unusual circumstances, the fine will be upheld.

If this is a hearing to consider a **consent order before a determination of probable cause has been made**, the Commission will decide whether to accept or reject the consent order. If the Commission accepts the consent order, the case will be closed and become public. If the Commission rejects the consent order or does not make a decision to accept or deny the consent order, the case will remain confidential, unless confidentiality has been waived.

If this is a hearing to consider a **consent order after a determination of probable cause has been made**, the Commission will decide whether to accept or reject the consent order. If the Commission accepts the consent order, the case will be closed. If the Commission rejects the consent order or does not make a decision to accept or deny the consent order, the Respondent will be entitled to another hearing to determine if the Respondent committed the violation(s) alleged.

If this is a **probable cause hearing**, the Commission will decide if there is probable cause to believe that the Respondent committed a violation of Florida's election laws. Respondent should be prepared to explain how the staff in its recommendation incorrectly applied the law to the facts of the case. *Respondent may not testify, call others to testify, or introduce any documentary or other evidence at the probable cause hearing.* The Commission will only decide whether Respondent should be *charged* with a violation and, before the Commission determines whether a violation has occurred or a fine should be imposed, Respondent will have an opportunity for another hearing at which evidence may be introduced.

If this is an **informal hearing**, it will be conducted pursuant Sections 120.569 and 120.57(2), Florida Statutes; Chapter 28 and Commission Rule 2B-1.004, Florida Administrative Code. At the hearing, the Commission will decide whether the Respondent committed the violation(s) charged in the Order of Probable Cause. The Respondent will be permitted to testify. However, the Respondent may not call witnesses to testify.

Respondent may argue why the established facts in the Staff Recommendation do not support the violations charged in the Order of Probable Cause. At Respondent's request, the Commission may determine whether Respondent's actions in the case were willful. The Respondent may also address the appropriateness of the recommended fine. If Respondent claims that his limited resources make him unable to pay the statutory fine, *he must provide the Commission with written proof of his financial resources* at the hearing. A financial affidavit form is available from the Commission Clerk.

**STATE OF FLORIDA
FLORIDA ELECTIONS COMMISSION**

In Re: Stephen Michael Roberts

Case No.: FEC 17-091

STAFF RECOMMENDATION FOLLOWING INVESTIGATION

Pursuant to Section 106.25(4)(c), Florida Statutes, undersigned staff counsel files this written recommendation for disposition of the complaint in this case recommending that there is **probable cause** to charge Respondent with violating **Sections 106.141(1) and 106.19(1)(c), Florida Statutes**, and there is **no probable cause** to charge Respondent with violating **Section 106.07(5), Florida Statutes**. Based upon a thorough review of the Report of Investigation submitted on August 30, 2018, the following facts and law support this staff recommendation.

1. On March 2, 2017, the Florida Elections Commission ("Commission") received a complaint from Susan Bucher, the Palm Beach County Supervisor of Elections ("Complainant" or "PBSOE") alleging that Stephen Michael Roberts ("Respondent") violated Chapter 106, Florida Statutes.

2. Respondent was an unsuccessful candidate for the Indian Trail Improvement District, Seat 2, in the 2016 election. Respondent's Appointment of Campaign Treasurer and Designation of Campaign Depository for Candidates form was filed with the Palm Beach County Supervisor of Elections (PBSOE) on June 23, 2016. Respondent appointed himself as his own campaign treasurer. (ROI Exhibit 1)¹

3. On January 23, 2018, the Executive Director sent Respondent notification that Commission staff would investigate the following sections of law:

Section 106.07(5), Florida Statutes: As alleged in the complaint, Respondent, a 2016 candidate for the Indian Trail Improvement District, Seat 2, may have certified that one or more campaign treasurer reports were true, correct, and complete when they were not.

Section 106.141(1), Florida Statutes: As alleged in the complaint, Respondent, a 2016 candidate for the Indian Trail Improvement District, Seat 2, failed to timely file his 2016 Termination Report reflecting the disposition of all remaining campaign funds.

¹ The Report of Investigation shall be referred to herein as "ROI."

Section 106.19(1)(c), Florida Statutes: As alleged in the complaint, Respondent, a 2016 candidate for the Indian Trail Improvement District, Seat 2, may have falsely reported or deliberately failed to include information in one or more campaign treasurer reports, as required by Chapter 106.

4. On or about June 23, 2016, Respondent acknowledged receiving a "Notice of Publications" that stated:

As a candidate in Palm Beach County, the Supervisor of Elections office is notifying [me] of the publications that are available on the Division of Elections website for use during [my] campaign. The Division of Elections website is: dos.myflorida.com/elections.

Division of Elections > Forms & Publications > Publications

- Candidate and Campaign Treasurer Handbook²
- Candidate Petition Handbook
- Compilation of Election Laws
- Constitution of Florida
- Election Dates Calendar 2015-2017³

(ROI Exhibit 9)

5. On June 23, 2016, Respondent also signed a Statement of Candidate form indicating that he had been provided access to read and understand the requirements of Chapter 106, Florida Statutes. (ROI Exhibit 2)

Sections 106.07(5), 106.141(1), and 106.19(1)(c), Florida Statutes:

6. Complainant alleged Respondent failed to timely file a 2016 Termination Report ("TR") reflecting the disposition of all remaining campaign funds in his campaign account within 90 days of being defeated. The complaint also alleged that Respondent certified his TR was true, correct, and complete when it was not and deliberately failed to list information on his 2016 TR required to be reported by Chapter 106, when he did not file a 2016 TR.

² The Handbook explains the requirements for completing and filing Termination Reports. (ROI Exhibit 10, pages 14-17)

³ It appears that the PBSOE's office used the same calendar of reporting dates as the Division of Elections. (Attachments A and B)

7. Section 106.141(1), Florida Statutes, provides:

Except as provided in subsection (6), each candidate who withdraws his or her candidacy, becomes an unopposed candidate, or is eliminated as a candidate or elected to office shall, within 90 days, dispose of the funds on deposit in his or her campaign account and file a report reflecting the disposition of all remaining funds. Such candidate may not accept any contributions, nor may any person accept contributions on behalf of such candidate, after the candidate withdraws his or her candidacy, becomes unopposed, or is eliminated or elected.

8. Respondent's TR covering the dates of November 4, 2016, through February 6, 2017, was due on February 6, 2017. On January 30, 2017, Ms. Alexia Goods from the PBSOE's office sent an email reminding Respondent that his TR was due on February 6, 2017. The email reminder instructed Respondent that after filing his report electronically, Respondent should sign the summary page and file it with the PBSOE either by hand-delivery or mail, so it was received by the PBSOE's office or clearly postmarked no later than the due date to be considered timely filed. The email also stated that Respondent should make certain that the total contributions equaled the total expenditures. However, on February 6, 2017, Respondent failed to a TR or a notice of no activity. (Attachments A and C)⁴

9. On February 14, 2017, the PBSOE notified Respondent that his TR was past due. The email notification instructed Respondent that after filing his report electronically, Respondent should sign the summary page and file it with the PBSOE either by hand-delivery or mail. The email also stated that Respondent could call the PBSOE's office if he needed assistance. (Attachment D)

10. On February 15, 2017, Ms. Goods sent an email to Respondent that stated, "Per our conversation [yesterday], I advised you that I will follow up with you in regards to the fine rate for filing a later Termination Report. The fine rate is \$50 for each day late. (Note: Termination Report due date was February 6, 2017." (Attachment E)

11. During an April 3, 2018 telephone call, Respondent told Investigator Hinson that that the campaign was over, there was no money because he financed his campaign "out-of-pocket," and he'll just declare bankruptcy in lieu of paying any civil penalty imposed by the Commission. (ROI Exhibit 8, Phone Log Entry 7)

12. Based on the information above, it appears Respondent failed to file a TR showing the disposition of all remaining campaign funds within 90 days of being defeated in the November 8, 2017 general election. It also appears that Respondent deliberately failed to list information on his TR required to be reported by Chapter 106 by failing to file a TR.

⁴ Because Respondent had financial activity during the termination reporting period, he was required to file a 2016 TR even though Respondent disposed of all remaining campaign funds prior to the TR prescribed due date.

Additionally, it appears Respondent did not certify his 2016 TR was true, correct, and complete because as of October 3, 2018, Respondent has failed to file a 2016 Termination Report.

13. "Probable Cause" is defined as a reasonable ground of suspicion supported by circumstances sufficiently strong to warrant a cautious person in the belief that the person has committed the offense charged. *Schmitt v. State*, 590 So.2d 404, 409 (Fla. 1991). Probable cause exists where the facts and circumstances, of which an [investigator] has reasonably trustworthy information, are sufficient in themselves for a reasonable man to reach the conclusion that an offense has been committed. *Department of Highway Safety and Motor Vehicles v. Favino*, 667 So.2d 305, 309 (Fla. 1st DCA 1995).

14. The above facts show that Respondent was a candidate for the Indian Trail Improvement District, Seat 2, in the 2016 election. Respondent's Appointment of Campaign Treasurer and Designation of Campaign Depository for Candidates form was filed with the Palm Beach County Supervisor of Elections' office on June 23, 2016.

15. The above facts also show that Respondent's 2016 Termination Report was due on February 6, 2017. On January 30, 2017, Ms. Goods from the Palm Beach Supervisor of Elections' office sent Respondent an email reminder that his 2016 Termination Report was due on February 6, 2017. However, Respondent failed to file a notice of no activity or a Termination Report on the due date. On February 14, 2017, Ms. Good sent Respondent notification that he had not filed his 2016 Termination Report.

16. Additionally, the above facts show that despite acknowledging that he had been provided with information about obtaining election resources, an email reminder about the 2016 Termination Report due date, and a notification that he had not filed his Termination Report, as of October 3, 2018, Respondent has failed to file a 2016 Termination Report.

Based on the foregoing, I recommend the Commission find probable cause to charge Respondent with the following violations:

Count 1:

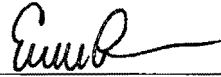
On or about February 6, 2018, Respondent violated Section 106.141(1), Florida Statutes, when Respondent failed to file his 2016 Termination Report reflecting the disposition of all remaining campaign funds.

Count 2:

On or about February 6, 2018, Respondent violated Section 106.19(1)(c), Florida Statutes, when Respondent falsely reported or deliberately failed to include information on his 2016 Termination Report that was required to be reported by Chapter 106 when he failed to file his 2016 Termination Report.

I further recommend that there is no probable cause to charge Respondent with violating Section 106.07(5), Florida Statutes.

Respectfully submitted on October 8, 2018.



Eric M. Lipman
General Counsel on behalf of the
Executive Director

Stephen Michael Roberts

2016 Election Cycle

Indian Trail Improvement District Seat 2

Candidate Finance Report Summary

Report		Num	Contributions		Loans	Expenditures	
Submitted	Period		Monetary	In-Kind		Num	Amount
07/29/2016	<u>2016-P3</u>	2	\$0.00	\$0.00	\$322.00	1	\$243.80
08/05/2016	<u>2016-P4</u>	1	\$50.00	\$0.00	\$0.00	1	\$71.17
08/12/2016	<u>2016-P5</u>	0	\$0.00	\$0.00	\$0.00	0	\$0.00
08/19/2016	<u>2016-P6</u>	1	\$0.00	\$0.00	\$130.44	1	\$130.44
08/26/2016	<u>2016-P7</u>	1	\$0.00	\$50.00	\$0.00	0	\$0.00
09/09/2016	<u>2016-G1</u>	0	\$0.00	\$0.00	\$0.00	0	\$0.00
09/23/2016	<u>2016-G2</u>	0	\$0.00	\$0.00	\$0.00	0	\$0.00
10/07/2016	<u>2016-G3</u>	0	\$0.00	\$0.00	\$0.00	0	\$0.00
10/15/2016	<u>2016-G4</u>	0	\$0.00	\$0.00	\$0.00	0	\$0.00
10/21/2016	<u>2016-G5</u>	2	\$0.00	\$50.00	\$140.00	0	\$0.00
10/28/2016	<u>2016-G6</u>	0	\$0.00	\$0.00	\$0.00	1	\$137.50
11/04/2016	<u>2016-G7</u>	1	\$500.00	\$0.00	\$0.00	2	\$125.74
Totals (12 reports)			\$550.00	\$100.00	\$592.44		\$708.65

- Contributions as Excel (CSV)
- Expenditures as Excel (CSV)
- FundTransfers as Excel (CSV)
- Distributions as Excel (CSV)

ATTACHMENT A

Calendar of Reporting Dates

for 2016 Candidates registered with the Division of Elections

<u>Cover Period</u>	<u>Report Code</u>	<u>Due Date</u>
12/01/15 - 12/31/15	2015 M12	01/11/16
01/01/16 - 01/31/16	2016 M1	02/10/16
02/01/16 - 02/29/16	2016 M2	03/10/16
03/01/16 - 03/31/16	2016 M3	04/11/16
04/01/16 - 04/30/16	2016 M4	05/10/16
05/01/16 - 05/31/16	2016 M5	06/10/16

06/01/16 - 06/24/16	2016 P1	07/01/16
06/25/16 - 07/08/16	2016 P2	07/15/16
07/09/16 - 07/22/16	2016 P3	07/29/16
07/23/16 - 07/29/16	2016 P4	08/05/16
07/30/16 - 08/05/16	2016 P5	08/12/16
08/06/16 - 08/12/16	2016 P6	08/19/16
08/13/16 - 08/25/16	2016 P7	08/26/16

08/26/16 - 09/02/16	2016 G1	09/09/16
09/03/16 - 09/16/16	2016 G2	09/23/16
09/17/16 - 09/30/16	2016 G3	10/07/16
10/01/16 - 10/07/16	2016 G4	10/14/16
10/08/16 - 10/14/16	2016 G5	10/21/16
10/15/16 - 10/21/16	2016 G6	10/28/16
10/22/16 - 11/03/16	2016 G7	11/04/16

Termination Reports

<u>Cover Period</u>	<u>Report Code</u>	<u>Due Date</u>
After May Qualifying	TR	08/04/16
After June Qualifying	TR	09/22/16
Primary Election	TR	11/28/16
General Election	TR	02/06/17

ATTACHMENT B



Alexia Goods <alexia@pbcelections.org>

Termination Report Filing Reminder

10 messages

Alexia Goods <alexia@pbcelections.org>

Mon, Jan 30, 2017 at 10:27 AM

To: "Argue, Betty J" <bettyargue.alerts@gmail.com>, "Berger, Mary Lou" <hellomarylou@bellsouth.net>, Bonita Z Plested <bonitaplested@yahoo.com>, "Dana M. Santino" <dsantino@dmslawgroup.com>, Daniel Sohn <sohnforsupervisor@gmail.com>, Dennis R Frisch <DENFRISCH@aol.com>, "Engracia C Bondonese (WRI)" <gbondonese@gmail.com>, "Enright, Dr. Jean L" <jylenright@gmail.com>, Gregg Lerman <Gregg@gregglermanlaw.com>, "Kerner, David M." <dkerner@shw-law.com>, Kim LeeBove <kim.leebove@gmail.com>, Michelle Damone <mdamone70@aol.com>, Patricia Edmonson <solandwater2016@gmail.com>, Sean Hogan <sean@votehogan2016.com>, "Self, David L" <dave@wyldwestannuials.com>, "Self, Deborah D" <DSelf@aol.com>, Steve Roberts <Steve.SFHomes@gmail.com>, Taniel S Koushakjian <tanielshant@gmail.com>, Taniel S Koushakjian <JCPConsulting@gmail.com>, Tom Sutterfield <Treasurer@tomsutterfield.com>, Tom Sutterfield <SchoolBoard@tomsutterfield.com>, "Valeche, Hal Robert" <halrv@comcast.net>, ROBERT DAVID LONG <voteforroblong@gmail.com>, ROBERT DAVID LONG <amitdharmani@gmail.com>

Bcc: Amber Sacks <amber@pbcelections.org>

Good morning,

Pursuant to Florida Statutes section 106.141, each candidate who withdraws his or her candidacy, becomes unopposed, is eliminated as a candidate or elected to office shall, within 90 days, dispose of the funds in his or her campaign account and file a report reflecting the disposition of all remaining funds.

The due date for filing your **Termination Report** is **February 6, 2017**. Therefore after you have submitted your report through C-Finance you must **sign** the summary page. The summary page must be received in our office or clearly postmarked no later than the due date to be considered timely filed.

In addition please make certain when submitting your Termination Report the **contributions and expenditures equal**.

If you may need further assistance please contact our Candidate Department.

Thank you.

--

Alexia Goods

PBC Supervisor of Elections

Elections Specialist/Candidate Department

Phone: 561/656-6200/Fax: 561/656-6220

alexia@pbcelections.org

Mail Delivery Subsystem <mailer-daemon@googlemail.com>

Mon, Jan 30, 2017 at 10:27 AM

To: alexia@pbcelections.org

ATTACHMENT C



Alexia Goods <alexia@pbcelections.org>

PAST DUE NOTICE FOR TERMINATION REPORT

2 messages

Alexia Goods <alexia@pbcelections.org>

Tue, Feb 14, 2017 at 2:20 PM

To: Daniel Sohn <sohnforsupervisor@gmail.com>, "Engracia C Bondonese (WRI)" <gbondonese@gmail.com>, Bonita Z Plested <bonitaplested@yahoo.com>, Michelle Damone <mdamone70@aol.com>, Steve Roberts <Steve.SFHomes@gmail.com>, Tom Sutterfield <SchoolBoard@tomsutterfield.com>, Tom Sutterfield <Treasurer@tomsutterfield.com>

Bcc: Amber Sacks <amber@pbcelections.org>

Good afternoon,

Please be advised the due date for filing your **Termination Report is past the due date of February 6, 2017**. Pursuant to Florida Statute section 106.141, each candidate who withdraws his or her candidacy, becomes unopposed, is eliminated as a candidate or elected to office shall, within 90 days, dispose of the funds in his or her campaign account and file a report reflecting the disposition of all remaining funds.

Therefore after you have submitted your report through C-Finance you must sign the summary page and file it with our office either by mail or hand-delivered. In addition please make certain when submitting your Termination Report the **contributions and expenditures equal**.

If you may need further assistance please contact our Candidate Department.

Thank you.

--

Alexia Goods

PBC Supervisor of Elections

Elections Specialist/Candidate Department

Phone: 561/656-6200/Fax: 561/656-6220

alexia@pbcelections.org

Tom Sutterfield <schoolboard@tomsutterfield.com>

Wed, Feb 15, 2017 at 12:46 PM

To: Alexia Goods <alexia@pbcelections.org>

I have a copy of the signed form that was turned into your office.

Tom Sutterfield for School Board

(561)239-4011

<http://www.tomsutterfield.com/>

Political advertisement paid for and approved by Tom Sutterfield for School Board

[Quoted text hidden]

[Quoted text hidden]

ATTACHMENT A large, stylized letter 'D' icon used to denote an attachment.

PLEASE NOTE: Under Florida law, email addresses are public records. If you do not want your email address released in response to a public records request, do not send electronic mail to this entity.



Alexia Goods <alexia@pbcelections.org>

Termination Report Fine Rate

1 message

Alexia Goods <alexia@pbcelections.org>
To: Steve Roberts <Steve.SFHomes@gmail.com>
Bcc: Amber Sacks <amber@pbcelections.org>

Wed, Feb 15, 2017 at 4:29 PM

Good afternoon Mr. Roberts,

Per our conversation on yesterday I advised you that I will follow up with you in regards to the fine rate for filing a late Termination Report. The fine rate is \$50 for each day late. (Note: Termination Report due date was February 6, 2017)

I also verified with you that the email address our office have on file is the correct email address.

If further assistance is needed please contact our Candidate Department.

Thank you.

Alexia Goods

PBC Supervisor of Elections

Elections Specialist/Candidate Department

Phone: 561/656-6200/Fax: 561/656-6220

alexia@pbcelections.org

DOC021517.pdf
529K

ATTACHMENT

FLORIDA ELECTIONS COMMISSION
REPORT OF INVESTIGATION
Case No.: FEC 17-091

Respondent: Stephen Michael Roberts
Counsel for Respondent: None

Complainant: Susan Bucher
Counsel for Complainant: None

On March 2, 2017, the Florida Elections Commission ("Commission") received a sworn complaint alleging that Respondent violated Chapter 106, Florida Statutes. Commission staff investigated whether Respondent violated the following statutes:

Section 106.07(5), Florida Statutes, prohibiting a candidate from certifying to the correctness of a campaign treasurer's report that is incorrect, false, or incomplete;

Section 106.141(1), Florida Statutes, failure of a candidate to dispose of funds remaining in his campaign account within 90 days after he withdrew, became unopposed, was eliminated or elected and to file a report reflecting the disposition of all remaining funds; and;

Section 106.19(1)(c), Florida Statutes, prohibiting a person or organization from falsely reporting or deliberately failing to report information required by Chapter 106, Florida Statutes.

I. Preliminary Information:

1. Respondent was a first-time candidate to the Indian Trail Improvement District Supervisor, Seat 1. Incumbent Gary Dunkley defeated Respondent in the November 8, 2016 general election.

2. On June 23, 2016, Respondent filed his Form DS-DE 9 and Statement of Candidate, and became a 2016 candidate. He served as his campaign treasurer; he accepted appointment on June 23, 2016. To review his Form DS-DE 9, and Statement of Candidate, refer to Exhibits 1 and 2, respectively.

3. Complainant is the Palm Beach County Supervisor of Elections ("PBCSOE"); she served as Respondent's filing officer. Her office requires candidates to complete their campaign treasurer's reports ("CTRs") online, print out the Summary Page of the relevant CTR, sign it, and either mail it or drop it off to the PBCSOE no later than the due date.

4. By letter dated January 23, 2018, the Commission's Executive Director informed Respondent that she had determined one or more of Complainant's allegations were legally

sufficient, and Commission staff would investigate Respondent's alleged violation of Florida's election laws.

II. Alleged Violation of Section 106.07(5), Florida Statutes:

5. I investigated whether Respondent violated this section of the elections laws by failing to report information.

6. Complainant alleges that Respondent was required to dispose of campaign funds, and file a termination report ("TR") which was due on February 6, 2017, but failed to do so. She enclosed Respondent's 2016 G7 Report Summary Page¹ for our review. The TOTAL Monetary Contributions To Date is \$1,142.44; the TOTAL Monetary Expenditures To Date is \$708.65; the difference is \$433.79, and appears to indicate Respondent was required to file a termination report ("TR"). Each of Complainant's concerns will be discussed in the paragraphs that follow.

7. I subpoenaed bank records for Respondent's campaign depository, SunTrust Bank, Inc., and compared them to his 2016 G7 Report covering the reporting period of October 22 through November 3, 2016. The bank records and CTR do not agree. To review the bank account signature card, refer to Exhibit 3. To review his monthly bank statements, refer to Exhibit 4. To review the deposit items, refer to Exhibit 5. To review the campaign expenditure(s) by check, refer to Exhibit 6. To review Respondent's 2016 G7 Report, refer to Exhibit 7.

8. Table 1 identifies Respondent's missing and incorrect entries on his 2017 G7 Report. (Note: SunTrust Bank, Inc. identifies a debit card purchase as a "Check Card Purchase" on the monthly bank statements. As identified in Table 1, the date filed is the date Respondent's CTR was submitted electronically.)

(This space intentionally left blank.)

¹ Respondent's CTRs have two Summary Pages: A Summary Page is produced when the CTR is filed electronically; then the Summary Page is printed, signed by the campaign treasurer and candidate, submitted to the filing officer via hand or mail delivery, and date-and-time-stamped when received by the filing officer. However, two written notices-of-no-activity for Respondent's 2016 P1 and P2 reporting period have one Summary Page.

TABLE 1: MISSING AND INCORRECT INFORMATION ON RESPONDENT'S CTR			
Date Filed	Reporting Period	Missing Information	Incorrect Information
11/04/16	10/22 to 11/03/16 2016 G7 – Original	<ul style="list-style-type: none"> • Failed to report \$200 ATM cash contribution/deposit item on 10/27/16. • Failed to report \$11.20 expenditure to WalMart Supercenter (campaign debit card) on 10/27/16. • Failed to report \$14.38 expenditure to Moores True Value Hardware (campaign debit card) on 10/31/16. • Failed to report \$12.00 expenditure for Bank Maintenance Fee on 10/31/16. 	<ul style="list-style-type: none"> • Reported \$500.00 check contribution from Mayfair Builders; however, no contribution/deposit item found in Respondent's campaign bank records. • Reported \$75.68 Credit Card Purchase ("CCP") to Hendrick Services rather than Monetary ("MON") expenditure (campaign debit card) on 10/30/16. • Reported \$50.06 CCP to Facebook; however, Respondent's campaign was not authorized to have/use a <u>campaign credit card</u>, and the transaction was not found in his campaign bank records.

9. Respondent never responded to notice of the instant complaint. On July 5, 2018 by mail, and August 13, 2018 by email, I sent questionnaires to Respondent to ascertain why he did not file his 2017 TR, after notice by the filing officer, and for him to offer an explanation for the apparent discrepancies between his CTR and his Bank records; however, at the time of this report of investigation ("ROI"), Respondent has not completed the questionnaire, and it was not returned by the USPS.

10. I found no records of previous complaints to indicate that Respondent had violated this section of Florida's election laws.

III. Alleged Violation of Section 106.141(1), Florida Statutes:

11. I investigated whether Respondent violated this section of the election laws by not disposing of surplus campaign funds within 90 days of his defeat in the November 8, 2016 general election and not filing a report reflecting the disposition of the remaining funds. (**Note:** As discussed in Table 1, Respondent's 2016 G7 Report covered the reporting period of October 22 through November 3, 2016; Respondent's 2017 TR covered the reporting period of November 4, 2016 through February 6, 2017.)

12. Complainant alleges that Respondent was required to dispose of campaign funds, and file a TR which was due on February 6, 2017, but failed to do so.

13. Table 2 identifies the amount of funds available to the campaign beginning the first day of Respondent's 2016 G7 Report cover period (October 22 through November 3, 2016) through the 2017 TR cover period (November 4, 2016 through February 6, 2017), and the closing of Respondent's campaign account. The transactions identified in Table 2 beginning on November 4, 2016 should have been disclosed by Respondent in a 2017 TR, but no report was filed. To review Respondent's monthly bank statements, refer to Exhibit 4. To review the deposit items, refer to Exhibit 5. To review the campaign (check) expenditure(s), refer to Exhibit 6. To review Respondent's 2016 G7 Report, refer to Exhibit 7.

TABLE 2: FUNDS IN CAMPAIGN ACCOUNT				
Transaction Date	Transaction Type	Description of Transaction	Amount	Funds Available to Campaign
10/22/16	Amount of funds Available to Campaign. ²			\$116.10
10/27/16	ATM	Deposit	\$200.00	\$316.10
10/28/16	Debit Card ³	Walmart Supercenter	\$11.20	\$304.90
10/31/16	Debit Card	Hendrick Services	\$75.68	\$229.22
10/31/16		Bank Maintenance Fee	\$12.00	\$217.22
11/01/16	Debit Card	Moore's True Value Hardware	\$14.38	\$202.84
11/01/16	Debit Card	Walmart Supercenter	\$74.54	\$128.30
11/04/16	Amount of funds on deposit at beginning of the TR cover period			\$128.30
11/10/16	ATM	Cash Withdrawal	\$120.00	\$8.30
11/30/16		Bank Maintenance Fee	\$12.00	-\$3.70
12/30/16		Bank Maintenance Fee	\$12.00	-\$15.70
01/10/16		Bank Maintenance Fee REFUND	\$12.00	-\$3.70
01/10/16		Bank Maintenance Fee REFUND	\$12.00	\$8.30
01/31/16		Bank Maintenance Fee	\$12.00	-\$3.70
02/03/17		Cash Deposit	\$3.70	\$0.00
03/31/17		Account CLOSED	\$0.00	\$0.00

² The amount noted is the starting point for the table and is based upon the Monthly Bank Statement for the period of October 1 through 31, 2016.

³ As previously discussed in Table 1, SunTrust Bank, Inc. identifies a debit card purchase as a "Check Card Purchase" on the monthly bank statements.

14. On November 10, 2016, it appears Respondent walked away from the ATM with a \$120 cash withdrawal. On February 3, 2017, Respondent made a \$3.70 cash deposit to cover an overdraft in his campaign account after Bank Maintenance Fees were imposed by the Bank beginning November 30, 2016 through January 31, 2017, during the 2017 TR cover period. And on March 31, 2017, it appears SunTrust Bank, Inc. closed the campaign account.

15. I found no records of previous complaints to indicate that Respondent had violated this section of Florida's election laws.

IV. Alleged Violation of Section 106.19(1)(c), Florida Statutes:

16. I investigated whether Respondent violated this section of the election laws by falsely reporting or deliberately failing to include information required by Chapter 106, Florida Statutes, when he failed to file a TR.

17. To review information regarding this section of the election laws, refer to paragraphs 6 through 14 in this ROI.

18. I found no records of previous complaints to indicate that Respondent had violated this section of Florida's election laws.

V. FEC History:

19. Respondent had no history of additional complaints filed against him with the Commission at the time of our receipt of this complaint.

Conclusion:

20. On August 24, 2018, I phoned Respondent to conduct a final interview by telephone, and give him an opportunity to respond to the information gathered during the course of the investigation concerning the allegations made in the complaint; however, Respondent said he's unavailable and wants to wait until he sees my written ROI. To review the phone log, refer to Exhibit 8.

21. Complainant provided a copy of her office's Notice of Publications completed by Respondent on June 23, 2016. Respondent acknowledged that relevant publications were available on the Division of Elections' website for his use including the *Candidate and Campaign Treasurer Handbook*; *Candidate Petition Handbook*; *Compilation of Election Laws*; *Constitution of Florida*; and *Election Dates Calendar 2015-2017*. To review the Notice of Publications for Respondent, refer to Exhibit 9.

22. As previously discussed in this ROI, Respondent has not responded to notice of the complaint or to my written queries to ascertain what information he received from the filing officer; however, his Notice of Publications (Exhibit 9) is included in this ROI. He signed his Statement of Candidate (Exhibit 2) for his 2016 campaign on June 23, 2016. Respondent has no history of previous Statements of Candidate. To review relevant pages of the *Candidate and Campaign Treasurer Handbook*, refer to Exhibit 10.

Respectfully submitted on August 30, 2018.



Helen Hinson
Investigation Specialist

Current address of Respondent

Mr. Stephen Michael Roberts
17686 Key Lime Boulevard
Loxahatchee, Florida 33470-2914

Current address of Complainant

The Honorable Susan Bucher
240 South Military Trail
West Palm Beach, Florida 33415-3148

Name and Address of Filing Officer:

The Honorable Susan Bucher
Palm Beach County Supervisor of Elections
240 South Military Trail
West Palm Beach, Florida 33415-3148

Copy furnished to:

Mr. David B. Flagg, Investigations Manager

FLORIDA ELECTIONS COMMISSION
REPORT OF INVESTIGATION
Stephen Michael Roberts -- FEC 17-091

LIST OF EXHIBITS	
Exhibits #s	Description of Exhibits
Exhibit 1	Respondent's Form DS-DE 9
Exhibit 2	Respondent's Statement of Candidate
Exhibit 3	Bank Account Signature Card
Exhibit 4	Monthly Bank Statements
Exhibit 5	Deposit Items
Exhibit 6	Campaign (check) Expenditure(s)
Exhibit 7	Respondent's 2016 G7 Report
Exhibit 8	Phone Log
Exhibit 9	Notice of Publications completed by Respondent on June 23, 2016
Exhibit 10	Relevant pages from the <i>Candidate and Campaign Treasurer Handbook</i>

APPOINTMENT OF CAMPAIGN TREASURER
AND DESIGNATION OF CAMPAIGN
DEPOSITORY FOR CANDIDATES

(Section 106.021(1), F.S.)

(PLEASE PRINT OR TYPE)

SUPERVISOR OF ELECTIONS

2016 JUN 23 AM 11:56

PALM BEACH COUNTY, FL

NOTE: This form must be on file with the qualifying officer before opening the campaign account.

OFFICE USE ONLY

1. CHECK APPROPRIATE BOX(ES):

☒ Initial Filing of Form Re-filing to Change: ☐ Treasurer/Deputy ☐ Depository ☐ Office ☐ Party

2. Name of Candidate (in this order: First, Middle, Last)

Stephen Michael Roberts

3. Address (include post office box or street, city, state, zip code)

17686 Keylime Blvd
Loxahatchee FL 33470

4. Telephone

(561) 935-8848

5. E-mail address

Steve.SFHomes@gmail.com

6. Office sought (include district, circuit, group number)

Indian Trail Improvement district seat 2

7. If a candidate for a nonpartisan office, check if applicable:

☐ My intent is to run as a Write-In candidate.

8. If a candidate for a partisan office, check block and fill in name of party as applicable: My intent is to run as a

☐ Write-In ☒ No Party Affiliation ☐ _____ Party candidate.

9. I have appointed the following person to act as my ☒ Campaign Treasurer ☐ Deputy Treasurer

10. Name of Treasurer or Deputy Treasurer

Stephen Roberts

11. Mailing Address

17686 Keylime Blvd

12. Telephone

(561) 935-8848

13. City

Loxahatchee

14. County

Palm Beach

15. State

FL

16. Zip Code

33470

17. E-mail address

Steve.SFHomes@gmail.com

18. I have designated the following bank as my

☒ Primary Depository ☐ Secondary Depository

19. Name of Bank

SunTrust Bank

20. Address

9951 Okeechobee Blvd

21. City

West Palm Beach

22. County

Palm Beach

23. State

Florida

24. Zip Code

33411

UNDER PENALTIES OF PERJURY, I DECLARE THAT I HAVE READ THE FOREGOING FORM FOR APPOINTMENT OF CAMPAIGN TREASURER AND DESIGNATION OF CAMPAIGN DEPOSITORY AND THAT THE FACTS STATED IN IT ARE TRUE.

25. Date

6-23-2016

26. Signature of Candidate

☒ 

27. Treasurer's Acceptance of Appointment (fill in the blanks and check the appropriate block)

I, Stephen Roberts, do hereby accept the appointment
(Please Print or Type Name)

designated above as:

☒ Campaign Treasurer

☐ Deputy Treasurer

6-23-16

Date

☒


Signature of Campaign Treasurer or Deputy Treasurer

**STATEMENT OF
CANDIDATE**

(Section 106.023, F.S.)

(Please print or type)

OFFICIAL USE ONLY

SUPERVISOR OF ELECTIONS

2016 JUN 23 AM 11:56

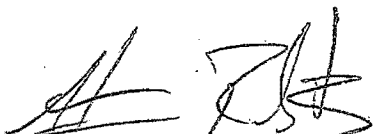
PALM BEACH COUNTY, FL

I, Stephen Roberts

candidate for the office of Indian Trail Improvement District Seat 2;

have been provided access to read and understand the requirements of
Chapter 106, Florida Statutes.

X



Signature of Candidate

6-23-2016

Date

Each candidate must file a statement with the qualifying officer within 10 days after the Appointment of Campaign Treasurer and Designation of Campaign Depository is filed. Willful failure to file this form is a first degree misdemeanor and a civil violation of the Campaign Financing Act which may result in a fine of up to \$1,000, (ss. 106.19(1)(c), 106.265(1), Florida Statutes).



Business Account Signature Card

Region Number		Account Number	
040			
Account Title			
STEPHEN ROBERTS for			
Indian Trail Improvement District			
Seat 2			
Organization Type		Tax ID Number	
OTHER		020589519	
Authorized Signature(s)			
Name/Title		Signature	
1. Stephen Roberts Candidate			
2.			
3.			
4.			
5.			
6.			
Date Opened		Date Revised	
07/15/2016			
Cost Center Number		Officer Number	
6070632		00029429	
Work Phone Number		Prepared By	
		Maria A Coppola-Gomez	
		Maintenance type	
		<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Change	

SunTrust Bank ("Bank")

It is agreed that all transactions between the Bank and the entity listed in the above Account Title ("Depositor") shall be governed by the rules and regulations for this account and the above signed as the authorized agent(s) of the Depositor hereby acknowledge(s) receipt of such rules and regulations and the funds availability policy. The Depositor also acknowledges the funds availability policy has been explained.

Check appropriate box for federal tax classification; check only one of the following seven boxes:

- ☐ Individual/sole proprietor or single-member LLC ☐ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/Estate
☐ Limited liability company

Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ____ Note: For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.

☒ Other (see instructions) Campaign form

Exemptions: See instructions ☐ Exempt payee code (if any) ____ Exemption from FATCA reporting code (if any) ☒ N/A
(Applies to accounts maintained outside the U.S.)

Certification Under penalties of perjury, I, as authorized agent of the Depositor certify that:

- 020589519 is the correct taxpayer identification number for the Depositor (or the Depositor is waiting for a number to be issued); and
- The Depositor is not subject to backup withholding because: (a) the Depositor is exempt from backup withholding, or (b) the Depositor has not been notified by the Internal Revenue Service (IRS) that it is subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified the Depositor that it is no longer subject to backup withholding; and
- The Depositor is a U.S. citizen or other U.S. person (defined in the instructions); and
- The FATCA code(s) entered on this form (if any) indicating that the Depositor is exempt from FATCA reporting is correct.

Certification Instructions: You must cross out item 2 above if the Depositor has been notified by the IRS that the depositor is currently subject to backup withholding because the depositor has failed to report all interest and dividends on the depositor's tax return.

Signature of U.S. Person

Date

7/15/16

- Locations with DCOR scanning software submit with cover sheet via local scanner
 - Locations without DCOR scanning software send to Output Review, FL-Orlando-7021
- *FATCA= Foreign Account Tax Compliance Act

SUNTRUST BANK
PO BOX 305183
NASHVILLE TN 37230-5183

SUNTRUST

PAGE 1 OF 1
66/E00/0175/0/ 40
10/31/2016

ACCOUNT
STATEMENT

STEPHEN ROBERTS FOR
INDIAN TRAIL IMPROVEMENT DISTRICT
SEAT 2
17686 KEY LIME BLVD
LOXAHATCHEE FL 33470-2914

QUESTIONS? PLEASE CALL
1-800-786-8787

SAVE ON GAS, HOTELS, DINING AND MORE FOR YOUR BUSINESS. PAY WITH YOUR SUNTRUST
MASTERCARD(R) BUSINESS DEBIT CARD TO SAVE ON THE THINGS THAT MAKE DOING BUSINESS
EASIER. YOU'LL RECEIVE MASTERCARD EASY SAVINGS(R) REBATES ON TOP OF OTHER
MERCHANT DISCOUNTS AND CARD REWARDS PROGRAMS. VISIT EASYSAVINGS.COM

ACCOUNT TYPE ACCOUNT SUMMARY ACCOUNT NUMBER STATEMENT PERIOD
PRIMARY BUSINESS CHECKING 10/01/2016 - 10/31/2016

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT
BEGINNING BALANCE	\$116.10	AVERAGE BALANCE	\$144.08
DEPOSITS/CREDITS	\$200.00	AVERAGE COLLECTED BALANCE	\$144.08
CHECKS	\$.00	NUMBER OF DAYS IN STATEMENT PERIOD	31
WITHDRAWALS/DEBITS	\$98.88		
ENDING BALANCE	\$217.22		

DEPOSITS/CREDITS

DATE	AMOUNT	SERIAL #	DESCRIPTION
10/27	200.00		ATM DEPOSIT

DEPOSITS/CREDITS: 1 TOTAL ITEMS DEPOSITED: 0

WITHDRAWALS/DEBITS

DATE	AMOUNT	SERIAL #	DESCRIPTION
10/28	11.20		CHECK CARD PURCHASE TR DATE 10/27 WM SUPERCENTER #1541 WEST PALM BEACH FL
10/31	75.68		CHECK CARD PURCHASE TR DATE 10/30 SQ *SQ *HENDRICK SERVI Loxahatchee FL
10/31	12.00		MAINTENANCE FEE

WITHDRAWALS/DEBITS: 3

BALANCE ACTIVITY HISTORY

DATE	BALANCE	COLLECTED	DATE	BALANCE	COLLECTED
		BALANCE			BALANCE
10/01	116.10	116.10	10/28	304.90	304.90
10/27	316.10	316.10	10/31	217.22	217.22

MEMBER FDIC

EXHIBIT 4 (1 of 6)

SUNTRUST BANK
PO BOX 305183
NASHVILLE TN 37230-5183

SUNTRUST

PAGE 1 OF 1
66/E00/0175/0/ 40
11/30/2016

ACCOUNT
STATEMENT

STEPHEN ROBERTS FOR
INDIAN TRAIL IMPROVEMENT DISTRICT
SEAT 2
17686 KEY LIME BLVD
LOXAHATCHEE FL 33470-2914

QUESTIONS? PLEASE CALL
1-800-786-8787

BEGINNING NOVEMBER 4, 2016, YOU WILL NOTICE A CHANGE TO HOW TRANSACTIONS POST TO YOUR ACCOUNT. PLEASE VISIT SUNTRUST.COM/BUSINESSPOSTINGPROCESS FOR MORE INFORMATION.

ACCOUNT SUMMARY

ACCOUNT TYPE	ACCOUNT NUMBER	STATEMENT PERIOD
PRIMARY BUSINESS CHECKING		11/01/2016 ~ 11/30/2016

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT
BEGINNING BALANCE	\$217.22	AVERAGE BALANCE	\$43.90
DEPOSITS/CREDITS	\$.00	AVERAGE COLLECTED BALANCE	\$43.90
CHECKS	\$.00	NUMBER OF DAYS IN STATEMENT PERIOD	30
WITHDRAWALS/DEBITS	\$220.92		
ENDING BALANCE	\$3.70-		

DATE	AMOUNT	SERIAL #	WITHDRAWALS/DEBITS DESCRIPTION
11/01	14.38		CHECK CARD PURCHASE TR DATE 10/31 MOORESTRUEVALUEHARDWAR LOXAHATCHEE FL
11/01	74.54		CHECK CARD PURCHASE TR DATE 10/31 WM SUPERCENTER #3348 LAKE PARK FL
11/10	120.00		ATM CASH WITHDRAWAL TR DATE 11/10 BAYWINDS #2 WEST PALM BCHFL I604FL616
11/30	12.00		MAINTENANCE FEE

WITHDRAWALS/DEBITS: 4

BALANCE ACTIVITY HISTORY

DATE	BALANCE	COLLECTED BALANCE	DATE	BALANCE	COLLECTED BALANCE
11/01	128.30	128.30	11/30	-3.70	-3.70
11/10	8.30	8.30			

THE ENDING DAILY BALANCES PROVIDED DO NOT REFLECT PENDING TRANSACTIONS OR HOLDS THAT MAY HAVE BEEN OUTSTANDING WHEN YOUR TRANSACTIONS POSTED THAT DAY. IF YOUR AVAILABLE BALANCE WASN'T SUFFICIENT WHEN TRANSACTIONS POSTED, FEES MAY HAVE BEEN ASSESSED.

SAVE ON GAS, HOTELS, DINING AND MORE FOR YOUR BUSINESS. PAY WITH YOUR SUNTRUST MASTERCARD* BUSINESS DEBIT CARD TO SAVE ON THE THINGS THAT MAKE DOING BUSINESS EASIER. YOU'LL RECEIVE MASTERCARD EASY SAVINGS* REBATES ON TOP OF OTHER MERCHANT DISCOUNTS AND CARD REWARDS PROGRAMS. VISIT EASYSAVINGS.COM

MEMBER FDIC

EXHIBIT 4 (2 of 6)

SUNTRUST BANK
PO BOX 305183
NASHVILLE TN 37230-5183

SUNTRUST

PAGE 1 OF 1
66/E00/0175/0/ 40
12/31/2016

ACCOUNT
STATEMENT

STEPHEN ROBERTS FOR
INDIAN TRAIL IMPROVEMENT DISTRICT
SEAT 2
17686 KEY LIME BLVD
LOXAHATCHEE FL 33470-2914

QUESTIONS? PLEASE CALL
1-800-786-8787

IS IT TIME TO MAKE A PERSONAL COMMITMENT TO IMPROVE YOUR FINANCIAL HEALTH?
THEN IT'S TIME TO TAKE ACTION.
JOIN US AT ONUP.COM TO LEARN HOW TO MOVE FROM FINANCIAL STRESS TO CONFIDENCE.

ACCOUNT TYPE	ACCOUNT NUMBER	STATEMENT PERIOD	
PRIMARY BUSINESS CHECKING		12/01/2016 - 12/31/2016	
DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT
BEGINNING BALANCE	\$3.70-	AVERAGE BALANCE	\$4.47-
DEPOSITS/CREDITS	\$.00	AVERAGE COLLECTED BALANCE	\$4.47-
CHECKS	\$.00	NUMBER OF DAYS IN STATEMENT PERIOD	31
WITHDRAWALS/DEBITS	\$12.00		
ENDING BALANCE	\$15.70-		

DATE	AMOUNT	SERIAL #	DESCRIPTION
12/30	12.00		MAINTENANCE FEE

WITHDRAWALS/DEBITS: 1

DATE	BALANCE	COLLECTED	DATE	BALANCE	COLLECTED
12/01	-3.70	-3.70	12/30	-15.70	-15.70

THE ENDING DAILY BALANCES PROVIDED DO NOT REFLECT PENDING TRANSACTIONS OR HOLDS THAT MAY HAVE BEEN OUTSTANDING WHEN YOUR TRANSACTIONS POSTED THAT DAY. IF YOUR AVAILABLE BALANCE WASN'T SUFFICIENT WHEN TRANSACTIONS POSTED, FEES MAY HAVE BEEN ASSESSED.

SAVE ON GAS, HOTELS, DINING AND MORE FOR YOUR BUSINESS. PAY WITH YOUR SUNTRUST MASTERCARD[®] BUSINESS DEBIT CARD TO SAVE ON THE THINGS THAT MAKE DOING BUSINESS EASIER. YOU'LL RECEIVE MASTERCARD EASY SAVINGS[®] REBATES ON TOP OF OTHER MERCHANT DISCOUNTS AND CARD REWARDS PROGRAMS. VISIT EASYSAVINGS.COM

MEMBER FDIC

EXHIBIT 4 (3 of 6)

SUNTRUST BANK
PO BOX 305183
NASHVILLE TN 37230-5183

SUNTRUST

PAGE 1 OF 1
66/T00/0175/01/10
01/31/2017

ACCOUNT
STATEMENT

STEPHEN ROBERTS FOR
INDIAN TRAIL IMPROVEMENT DISTRICT
SEAT 2
17686 KEY LIME BLVD
LOXAHATCHEE FL 33470-2914

QUESTIONS? PLEASE CALL
1-800-786-8787

IS IT TIME TO MAKE A PERSONAL COMMITMENT TO IMPROVE YOUR FINANCIAL HEALTH?
THEN IT'S TIME TO TAKE ACTION.
JOIN US AT ONUP.COM TO LEARN HOW TO MOVE FROM FINANCIAL STRESS TO CONFIDENCE.

ACCOUNT SUMMARY
ACCOUNT TYPE ACCOUNT NUMBER STATEMENT PERIOD
PRIMARY BUSINESS CHECKING [REDACTED] 01/01/2017 - 01/31/2017

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT
BEGINNING BALANCE	\$15.70-	AVERAGE BALANCE	\$.94
DEPOSITS/CREDITS	\$24.00	AVERAGE COLLECTED BALANCE	\$.94
CHECKS	\$.00	NUMBER OF DAYS IN STATEMENT PERIOD	31
WITHDRAWALS/DEBITS	\$12.00		
ENDING BALANCE	\$3.70-		

DEPOSITS/CREDITS		
DATE	AMOUNT	SERIAL #
01/10	12.00	
01/10	12.00	

DEPOSITS/CREDITS: 2 TOTAL ITEMS DEPOSITED: 0

WITHDRAWALS/DEBITS		
DATE	AMOUNT	SERIAL #
01/31	12.00	

WITHDRAWALS/DEBITS: 1

BALANCE ACTIVITY HISTORY

DATE	BALANCE	COLLECTED	DATE	BALANCE	COLLECTED
01/01	-15.70	-15.70	01/31	-3.70	-3.70
01/10	8.30	8.30			

THE ENDING DAILY BALANCES PROVIDED DO NOT REFLECT PENDING TRANSACTIONS OR HOLDS THAT MAY HAVE BEEN OUTSTANDING WHEN YOUR TRANSACTIONS POSTED THAT DAY. IF YOUR AVAILABLE BALANCE WASN'T SUFFICIENT WHEN TRANSACTIONS POSTED, FEES MAY HAVE BEEN ASSESSED.

MEMBER FDIC

EXHIBIT 4(4 of 6)

SUNTRUST BANK
PO BOX 305183
NASHVILLE TN 37230-5183

SUNTRUST

PAGE 1 OF 1
66/E00/0175/0/ 40
02/28/2017

ACCOUNT
STATEMENT

STEPHEN ROBERTS FOR
INDIAN TRAIL IMPROVEMENT DISTRICT
SEAT 2
17686 KEY LIME BLVD
LOXAHATCHEE FL 33470-2914

QUESTIONS? PLEASE CALL
1-800-786-8787

IS IT TIME TO MAKE A PERSONAL COMMITMENT TO IMPROVE YOUR FINANCIAL HEALTH?
THEN IT'S TIME TO TAKE ACTION.
JOIN US AT ONUP.COM TO LEARN HOW TO MOVE FROM FINANCIAL STRESS TO CONFIDENCE.

ACCOUNT SUMMARY		STATEMENT PERIOD	
ACCOUNT TYPE	ACCOUNT NUMBER		
PRIMARY BUSINESS CHECKING		02/01/2017 - 02/28/2017	
DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT
BEGINNING BALANCE	\$3.70-	AVERAGE BALANCE	\$.26-
DEPOSITS/CREDITS	\$3.70	AVERAGE COLLECTED BALANCE	\$.26-
CHECKS	\$.00	NUMBER OF DAYS IN STATEMENT PERIOD	28
WITHDRAWALS/DEBITS	\$.00		
ENDING BALANCE	\$.00		

DEPOSITS/CREDITS

DATE	AMOUNT	SERIAL #	
02/03	3.70		DEPOSIT

DEPOSITS/CREDITS: 1 TOTAL ITEMS DEPOSITED: 0

BALANCE ACTIVITY HISTORY				
DATE	BALANCE	COLLECTED	DATE	BALANCE
		BALANCE		
02/01	-3.70	-3.70	02/03	.00

THE ENDING DAILY BALANCES PROVIDED DO NOT REFLECT PENDING TRANSACTIONS OR HOLDS THAT MAY HAVE BEEN OUTSTANDING WHEN YOUR TRANSACTIONS POSTED THAT DAY. IF YOUR AVAILABLE BALANCE WASN'T SUFFICIENT WHEN TRANSACTIONS POSTED, FEES MAY HAVE BEEN ASSESSED.

EMBRACE LIFE. START A SAVINGS PLAN AND BE PREPARED FOR WHAT'S NEXT.
WITH THE RIGHT SAVINGS ACCOUNT, YOU CAN ENSURE AN UNEXPECTED EXPENSE DOESN'T TAKE YOU OFF COURSE.
GO TO SUNTRUST.COM/SAVINGS, CALL (866) 495-5413 OR VISIT YOUR LOCAL BRANCH TO GET STARTED.
SUNTRUST BANK, MEMBER FDIC.

MEMBER FDIC

EXHIBIT 4 (5 of 6)

SUNTRUST BANK
PO BOX 305183
NASHVILLE TN 37230-5183

SUNTRUST

PAGE 1 OF 1
66/E00/0175/0/ 40
03/31/2017

ACCOUNT
STATEMENT

STEPHEN ROBERTS FOR
INDIAN TRAIL IMPROVEMENT DISTRICT
SEAT 2
17686 KEY LIME BLVD
LOXAHATCHEE FL 33470-2914

QUESTIONS? PLEASE CALL
1-800-786-8787

IS IT TIME TO MAKE A PERSONAL COMMITMENT TO IMPROVE YOUR FINANCIAL HEALTH?
THEN IT'S TIME TO TAKE ACTION.
JOIN US AT ONUP.COM TO LEARN HOW TO MOVE FROM FINANCIAL STRESS TO CONFIDENCE.

ACCOUNT SUMMARY
ACCOUNT TYPE ACCOUNT NUMBER STATEMENT PERIOD
PRIMARY BUSINESS CHECKING [REDACTED] 03/01/2017 - 03/31/2017
CLOSED

DESCRIPTION AMOUNT DESCRIPTION AMOUNT
BEGINNING BALANCE \$.00 AVERAGE BALANCE \$.00
DEPOSITS/CREDITS \$.00 AVERAGE COLLECTED BALANCE \$.00
CHECKS \$.00 NUMBER OF DAYS IN STATEMENT PERIOD 31
WITHDRAWALS/DEBITS \$.00
ENDING BALANCE \$.00

BALANCE ACTIVITY HISTORY
DATE BALANCE COLLECTED DATE BALANCE COLLECTED
BALANCE BALANCE
03/01 .00 .00 03/31 .00 .00

THE ENDING DAILY BALANCES PROVIDED DO NOT REFLECT PENDING TRANSACTIONS OR HOLDS THAT MAY HAVE BEEN OUTSTANDING WHEN YOUR TRANSACTIONS POSTED THAT DAY. IF YOUR AVAILABLE BALANCE WASN'T SUFFICIENT WHEN TRANSACTIONS POSTED, FEES MAY HAVE BEEN ASSESSED.

MEMBER FDIC

EXHIBIT 4 (6 of 6)

STSC
SUBPOENA SERVICES
FL-ORLANDO-7136

COPY REFERENCE: 20180201000343 CX
02/02/18 11:19:32 3 WEB JOBT80202103214
0030071061+ 20161027 07
200.00 000000

ATM Checking Deposit

\$200.00

Date-Time: 2016-10-27 13:42:27
Terminal ID: 1604
Transaction Sequence: 000008253
Client Account: [REDACTED]

510001751 [REDACTED]

STSC
SUBPOENA SERVICES
FL-ORLANDO-7136

COPY REFERENCE: 20180201000343 CX
02/02/18 11:19:32 4 WEB JOBT80202103214
0031197148+ 20170203 07
3.70 000000



Date

2/3/17

Account Name (print)

Steve Roberts

Signature (only if receiving cash from deposit)

Checks and other items are received for deposit subject to the provisions of the Uniform Commercial Code and any applicable collection agreement. Deposits may not be available for immediate withdrawal.

314409 (4/08) M6603 60231487

Account No.



Deposit Ticket

☒ Cash

☐ Coin

3.70

C
H
E
C
K
S

Subtotal

☐ Less Cash Received

\$

3.70

05100017510

110 CASH DEPOSIT
Bus. Date 3Feb.2017 AM
46 3.70 CASH
40063202 198777 3 3.70 TOTAL
Transaction Date: 3Feb.2017 09:05:29

EXHIBIT 5(2 of 3)

STSC
SUBPOENA SERVICES
FL-ORLANDO-7136

COPY REFERENCE: 20180201000343 CX
02/02/18 11:19:32 4 WEB JOBT80202103214
0031197148+ 20170203 07
3.70 000000



HARLAND CLARKE M2465 (06/05) 12535108

CASH IN TICKET
DEBIT

SunTrust Bank, South Florida
BAYWIND

\$

CASH IN \$ 3.70

Cash Box Number

5

09:05:29 02/03/2017 *
AM

46
40063202 198777 5

EXHIBIT 5 (3 of 3)

STSC
SUBPOENA SERVICES
FL-ORLANDO-7136

COPY REFERENCE: 20180201000342 CX
02/02/18 11:19:32 1 WEB JOBT80202103214
0075480834 20160725 07
121.90 000000

Steve Roberts for Indian Land Improvement District
17686 Keyline Blvd
Loxahatchee, FL 33470

63-215/631

98

DATE 7-16-16

PAY TO Hendrick Services \$ 121.90
THE ORDER OF One Hundred Twenty One dollars —⁹⁰/₁₀₀ DOLLARS ☒ Check for Deposit Only



SUNTRUST

ACH RT 081000104

MEMO Campana Signs

[Handwritten signature]

MP

SPECIALTY INK

ENDORSE

FOR DEPOSIT ONLY
HENDRICK SERVICES
17708 46TH CT. N.
LOXAHATCHEE, FL 33470

EXHIBIT

6

FLORIDA DEPARTMENT OF STATE DIVISION OF ELECTIONS
CAMPAIGN TREASURER'S REPORT SUMMARY

OFFICE USE ONLY
 2016 NOV -7 PM 1:41

PALM BEACH COUNTY, FL

P/m 11-4-1609

(1) Stephen Michael Roberts
Name

(2) 17686 Key Lime Blvd
Address (number and street)

Loxahatchee, FL 33470
City, State, Zip Code

☐ CHECK IF ADDRESS HAS CHANGED

(3) ID Number: _____

(4) Check appropriate box(es):

- | | |
|--|---|
| <input checked="" type="checkbox"/> Candidate (office sought): _____ | Indian Trail Improvement District Seat 2 |
| <input type="checkbox"/> Political Committee | <input type="checkbox"/> CHECK IF PC HAS DISBANDED |
| <input type="checkbox"/> Committee of Continuous Existence | <input type="checkbox"/> CHECK IF CCE HAS DISBANDED |
| <input type="checkbox"/> Party Executive Committee | <input type="checkbox"/> CHECK IF NO OTHER ELECTIONEERING COMMUNICATION REPORTS WILL BE FILED |
| <input type="checkbox"/> Electioneering Communication | |

(5) REPORT IDENTIFIERS

Cover Period: From 10 / 22 / 2016 To 11 / 3 / 2016 Report Type G7

☒ Original ☐ Amendment ☐ Special Election Report ☐ Independent Expenditure Report

(6) CONTRIBUTIONS THIS REPORT

Cash & Checks \$ 500.00

Loans \$ 0.00

Total Monetary \$ 500.00

In-Kind \$ 0.00

(7) EXPENDITURES THIS REPORT

Monetary Expenditures \$ 125.74

Transfers to Office Account \$ 0.00

Total Monetary \$ 125.74

(8) Other Distributions

\$ 0.00

(9) TOTAL Monetary Contributions To Date

\$ 1,142.44

(10) TOTAL Monetary Expenditures To Date

\$ 708.65

(11) CERTIFICATION

It is a first degree misdemeanor for any person to falsify a public record (ss. 839.13, F.S.)

I certify that I have examined this report and it is true, correct, and complete.

(Type name) Stephen Roberts

☐ Individual (only for electioneering commun.) ☒ Treasurer ☐ Deputy Treasurer

X [Signature]
 Signature

I certify that I have examined this report and it is true, correct, and complete.

(Type name) Steve Roberts

☒ Candidate ☐ Chairperson (only for PC, PTY & electioneering commun. organization)

X [Signature]
 Signature

CFID: 7295

FLORIDA DEPARTMENT OF STATE DIVISION OF ELECTIONS
CAMPAIGN TREASURER'S REPORT SUMMARY

(1) Stephen Michael Roberts
Name

(2) 17686 Key Lime Blvd
Address (number and street)

Loxahatchee, FL 33470

City, State, Zip Code

☐ CHECK IF ADDRESS HAS CHANGED

(3) ID Number: _____

(4) **Check appropriate box(es):**

☒ Candidate (office sought): _____

Indian Trail Improvement District Seat 2

☐ Political Committee

☐ CHECK IF PC HAS DISBANDED

☐ Committee of Continuous Existence

☐ CHECK IF CCE HAS DISBANDED

☐ Party Executive Committee

☐ Electioneering Communication

☐ CHECK IF NO OTHER ELECTIONEERING
 COMMUNICATION REPORTS WILL BE FILED

(5) REPORT IDENTIFIERS

Cover Period: From 10 / 22 / 2016 To 11 / 3 / 2016 Report Type G7

☒ Original ☐ Amendment ☐ Special Election Report ☐ Independent Expenditure Report

(6) CONTRIBUTIONS THIS REPORT

Cash & Checks \$ 500.00

Loans \$ 0.00

Total Monetary \$ 500.00

In-Kind \$ 0.00

(7) EXPENDITURES THIS REPORT

Monetary Expenditures \$ 125.74

Transfers to Office Account \$ 0.00

Total Monetary \$ 125.74

(8) Other Distributions
 \$ 0.00

(9) TOTAL Monetary Contributions To Date

\$ 1,142.44

(10) TOTAL Monetary Expenditures To Date

\$ 708.65

(11) CERTIFICATION

It is a first degree misdemeanor for any person to falsify a public record (ss. 839.13, F.S.)

I certify that I have examined this report and it is true, correct, and complete.

(Type name)

☐ Individual (only for electioneering commun.) ☐ Treasurer ☐ Deputy Treasurer

X

Signature

I certify that I have examined this report and it is true, correct, and complete.

(Type name)

☐ Candidate ☐ Chairperson (only for PC, PTY & electioneering commun. organization)

X

Signature

CPID: 7299

CAMPAIGN TREASURER'S REPORT - ITEMIZED CONTRIBUTIONS

(1) Name Stephen Michael Roberts (2) I.D. Number _____

(3) Cover Period 10 / 22 / 2016 through 11 / 3 / 2016 (4) Page 1 of 1

(5) Date	(6) Sequence Number	(7) Full Name (Last, Suffix, First, Middle) Street Address & City, State, Zip Code	(8) Contributor		(9)	(10)	(11)	(12)
			Type	Occupation	Contribution Type	In-kind Description	Amendment	Amount
10 / 26 / 16	1	Mayfair Builders 157624 74th Street North Loxahatchee, FL 33470	B	Constructio n	CHE			\$500.00
/ /								
/ /								
/ /								
/ /								
/ /								
/ /								
/ /								
/ /								

CAMPAIGN TREASURER'S REPORT - ITEMIZED EXPENDITURES

(1) Name Stephen Michael Roberts (2) I.D. Number _____
 (3) Cover Period 10 / 22 / 2016 through 11 / 3 / 2016 (4) Page 1 of 1

(5) Date	(7) Full Name (Last, Suffix, First, Middle) Street Address & City, State, Zip Code	(8) Purpose (add office sought if contribution to a candidate)	(9) Expenditure Type	(10) Amendment	(11) Amount
(6) Sequence Number					
10 / 30 / 16	Hendrick Services 17708 46th Ct N Loxahatchee, FL 33470	Printing	CCP		\$75.68
2					
10 / 28 / 16	Facebook 1 Hacker Way Menlo Park, CA 94025	Ad Expense	CCP		\$50.06
2					
/ /					
/ /					
/ /					
/ /					
/ /					
/ /					

FLORIDA ELECTIONS COMMISSION
PHONE LOG
Case No.: FEC 17-091

Respondent: Stephen Michael Roberts

Complainant: The Honorable Susan Bucher

1. **Date and time:** 01/30/18; 9:50 a.m.
Name: Stephen Michael Roberts—Respondent
Phone #: 561-935-8848
Summary: I phoned and reached his voice-mailbox ("Steve Roberts"); I provided my name, reason for my call, my contact number, and requested he return my call as we received complaint from his filing officer for his 2016 campaign regarding his TR.
Memo to File? No
Entered by: HH
2. **Date and time:** 01/30/18; 11:30 a.m.
Name: Natalie @ PBCSOE
Phone #: 561-656-6200, x-6
Summary: I phoned, identified myself including the spelling of my last name, requested to speak with Alexia Goods (PBCSOE Elections Specialist, Candidate Department), was informed she's on another call and "Natalie" requested to take a message; I explained I had sent Ms. Goods an email yesterday, had no response, and I was following up with a call. Natalie requested my contact information and I provided same; she said she'd send Ms. Goods the message.
Memo to File? No
Entered by: HH
3. **Date and time:** 01/30/18; 12:47 p.m.
Name: Alexia Goods, PBCSOE Elections Specialist, Candidate Department
Phone #: 561-656-6200 (caller I.D.)
Summary: She returned my call, explained that Respondent paid his qualifying fee by cash although he accepted appointment as his campaign treasurer, and filed Form DS-DE 9 on June 23, 2016. Alexia said they're checking their warehouse for a receipt, but it does not appear he used a campaign check for any payments to their office.
Memo to File? No
Entered by: HH
4. **Date and time:** 01/30/18; 1:35 p.m.
Name: Alexia Goods, PBCSOE Elections Specialist, Candidate Department
Phone #: 561-656-6200
Summary: I called, requested to speak with Ms. Goods, my call was transferred to her office; she identified herself, I identified myself and my call was disconnected.
Memo to File? No
Entered by: HH

EXHIBIT

8 (1 of 4)

5. **Date and time:** 01/30/18; 1:45 p.m.
Name: Alexia Goods, PBCSOE Elections Specialist, Candidate Department
Phone #: 561-656-6200
Summary: I called, explained my call was disconnected, and again, requested to speak with Ms. Goods; my call was transferred to her office; she identified herself, I identified myself and confirmed Respondent's DOB; she said he did not provide his SSN.
Memo to File? No
Entered by: HH
6. **Date and time:** 04/02/18; 5:59 p.m.
Name: Stephen Michael Roberts—Respondent
Phone #: 561-935-8848
Summary: I phoned and reached Respondent; he said he didn't do much as far as campaign finances for his 2016 campaign. He offered that he basically financed his campaign out-of-pocket. I reviewed some questions and concerns I had with his CTRs based upon my review of his bank records, and asked if he would be willing to complete a questionnaire; Respondent agreed, and provided his email address: sroberts77@gmail.com.
Memo to File? No
Entered by: HH
7. **Date and time:** 04/03/18; 11:55 a.m.
Name: Stephen Michael Roberts—Respondent
Phone #: 561-935-8848
Summary: Respondent phoned to acknowledge his receipt of my email; he explained that I called last night when he was sleeping, not fully awake and did not understand what my investigation was about (although he signed green card acknowledging receipt of the instant complaint, etc.). I explained why a termination report is required, what it should include, indicated he had campaign finance activity that should have been disclosed in his 2017 TR after he was defeated in the November 8, 2016 general election.
- I have reviewed his CTRs and compared them to his campaign bank records, and have found discrepancies that I would like him to respond to by completing my questionnaire. Respondent agreed to respond, but noted again that the campaign is over, there was no money as he financed his campaign out-of-pocket, and he'll just declare bankruptcy in lieu of paying any civil penalties if they're ordered. I offered that Florida's election laws are designed to prevent candidates from raising and spending campaign money without the public being informed or candidates running off with the money; he said he understood that I have a job to do. He wished me a "good night."
- Memo to File?** No
Entered by: HH
8. **Date and time:** 07/05/18; 2:37 p.m.
Name: PBCSOE Elections Specialist, Candidate Department
Phone #: 561-656-6200
Summary: I phoned, identified myself, requested to speak to Alexia Goods, was informed she is off today, and asked if someone else could help me; he requested my name including

EXHIBIT 8 (2 of 4)

the spelling, and contact number, and explained he'll give my information to his supervisor Robin Rorapaugh to return my call.

Memo to File? No

Entered by: HH

9. **Date and time:** 07/05/18; 2:52 p.m.

Name: Robin Rorapaugh, PBCSOE office

Phone #: #: 561-656-6263 (caller I.D.)

Summary: She returned my call, I identified myself, she provided her name, and she asked how she could help me; I requested Respondent's current mailing address; she confirmed we have the same address. I asked when he last voted; she said he last voted early for the 2016 general election.

Memo to File? No

Entered by: HH

10. **Date and time:** 08/01/18; 1:50 p.m.

Name: Amber Sacks, PBCSOE office

Phone #: 561-656-6200

Summary: I phoned and requested information regarding candidates in general and specifically Respondent; she said candidates must acknowledge receipt of the Notice of Publications, a listing of links to the Division of Elections' website. She'll have to check Respondent's file as she does not recall specifics; I requested copies of the relevant Calendar of Reporting Dates, and the Notice of Publications completed by Respondent. She confirmed my email address, and agreed to provide same.

Memo to File? No

Entered by: HH

11. **Date and time:** 08/10/18; 1:15 p.m.

Name: Alexia Goods, PBCSOE Elections Specialist, Candidate Department

Phone #: 561-656-6200

Summary: I phoned, requested copies of each Summary Page for Respondent's CTRs as they are not available online. Ms. Goods required me to put my request in writing (email) and she would return them by email.

Memo to File? No

Entered by: HH

12. **Date and time:** 08/24/18; 1:20 p.m.

Name: Stephen Michael Roberts—Respondent

Phone #: 561-935-8848

Summary: I phoned Respondent, identified myself, explained that I have completed my investigation, want to conduct a final interview by telephone now if it's convenient, and give him an opportunity to respond to the information gathered during the course of the investigation concerning the allegations made in the complaint since he has not responded to my earlier queries by mail and email; Respondent said it's not convenient as he's at work, doesn't have time, and doesn't get off until 6 p.m. I said I get off at 6 p.m., and agreed to stay late and call Respondent then; Respondent said he lives an hour away, it'll be seven o'clock, and asked me to wait until sometime next week or email my ROI to him.

EXHIBIT 8 (3 of 4)

I explained that I'm unable to email a draft of my ROI to him now; however, if he wants to wait until he sees my written report before he makes any comments he may do so; Respondent said he'd rather wait until he sees my written report; I asked Respondent if his contact information including mailing address have changed, and Respondent declared "it's still the same."

Memo to File? No

Entered by: HH

13. **Date and time:**

Name:

Phone #:

Summary:

Memo to File? No

Entered by:

14. **Date and time:**

Name:

Phone #:

Summary:

Memo to File? No

Entered by:

15. **Date and time:**

Name:

Phone #:

Summary:

Memo to File? No

Entered by:

16. **Date and time:**

Name:

Phone #:

Summary:

Memo to File? No

Entered by:

17. **Date and time:**

Name:

Phone #:

Summary:

Memo to File? No

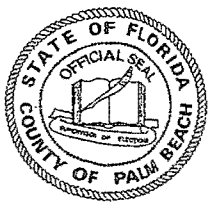
Entered by:

18. **Date and time:**

Name:

Phone #:

EXHIBIT 8 (4 of 4)



Palm Beach County

SUPERVISOR OF ELECTIONS

2016 JUN 23 AM 11:56

PALM BEACH COUNTY, FL

SUSAN BUCHER
Supervisor of Elections

240 SOUTH MILITARY TRAIL
WEST PALM BEACH, FL 33415
POST OFFICE BOX 22308
WEST PALM BEACH, FL 33416

TELEPHONE: (561) 656-6200
FAX NUMBER: (561) 656-6287
WEBSITE: www.pbcelections.org

NOTICE OF PUBLICATIONS

As a candidate in Palm Beach County, the Supervisor of Elections office is notifying you of the publications that are available on the Division of Elections website for use during your campaign. The Division of Elections website is: dos.myflorida.com/elections

Division of Elections > Forms & Publications > Publications

- Candidate and Campaign Treasurer Handbook
- Candidate Petition Handbook
- Compilation of Election Laws
- Constitution of Florida
- Election Dates Calendar 2015-2017

I acknowledge that I have received written notice of the publications.

Steve Roberts

Name of Candidate

[Signature]

Signature of Candidate

Indian Trails Improvement District seat 2

Office Seeking

6-23-16

Date Received

AS

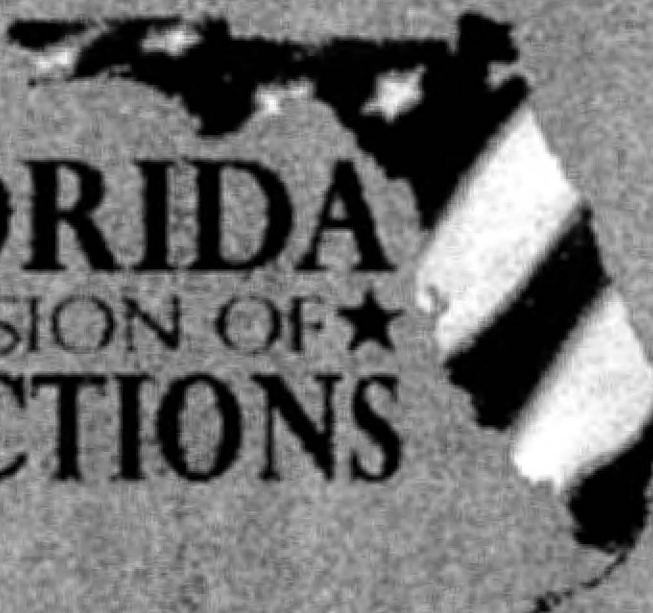
Deputy's Initials

EXHIBIT

9

2016 Candidate & Campaign Treasurer Handbook

FLORIDA
★ DIVISION OF ★
ELECTIONS



Florida Department of State
Division of Elections
R. A. Gray Building, Room 316
500 South Bronough Street
Tallahassee, FL 32399-0250
850.245.6240

(Rev. 01/22/16)

Candidate & Campaign Treasurer Handbook

Table of Contents

Chapter 1: Background	1
Chapter 2: The Campaign Financing Act	2
Chapter 3: Offices to be Elected in 2016	3
Chapter 4: Glossary of Terms	4
Chapter 5: Becoming a Candidate	7
What to File	7
Filing Officer	8
Resign-to-Run	8
Federal Hatch Act for State and Local Employees	9
Federal Hatch Act for Federal Employees	9
Changing Parties for Partisan Offices	10
Changing the Designation of Office	10
Pro Rata Refund Example	11
Chapter 6: Statement of Solicitation	12
Who Must File Form DS-DE 102, Statement of Solicitation	12
When to File	12
Penalty for Late Filing	12
Public Website and Mission Statement	12
Additional Reporting	12
Chapter 7: Prohibited Acts	13
Speaking at Political Meetings	13
Using State-Owned Aircraft or Motor Vehicle	13
Using Services of State, County, Municipal, or District Officers or Employees	13
Making Contributions in the Name of Another	13
Solicitation from Religious, Charitable and Civic Organizations	13
Accepting Contributions in a Government-Owned Building	14
Making Malicious Statements	14
Making False Representation of Military Service	14
Certifying a False Report	14
Limitations on Political Activity for Judicial Candidates	14
Judicial Candidates and the Judicial Ethics Advisory Committee (JEAC)	15
Chapter 8: Campaign Treasurers	16
Appointing Campaign Treasurers and Deputy Treasurers	16
Duties and Responsibilities	16

Candidate & Campaign Treasurer Handbook

Resignation or Removal.....	18
Chapter 9: Campaign Depositories	19
Primary Campaign Depository.....	19
Secondary Campaign Depository.....	19
Separate Interest-Bearing Accounts and Certificates of Deposit.....	20
Campaign Checks.....	20
Debit Cards	21
Credit Cards	21
Chapter 10: Contributions	22
Unauthorized Contributions	22
Anonymous Contributions.....	22
In-Kind Contributions.....	23
Loans.....	23
Cash Contributions	23
Debit and Credit Card Contributions	24
Contribution Limits for Candidates	24
Foreign Contributions.....	25
Violations	25
Deadlines for Accepting Contributions.....	25
Chapter 11: Expenditures	26
Definition	26
General Requirements.....	26
Checks	26
Living Expenses	27
Petty Cash Funds	27
Limits on Petty Cash Fund Amounts.....	27
Independent Expenditures	27
Credit Cards	29
Debit Cards	29
Electioneering Communications.....	30
Expenditures for Electioneering Communications	31
Chapter 12: Political Advertising.....	32
Candidate Disclaimers	32
Exceptions to Disclaimer Requirements.....	33
Disclaimer for Write-in Candidates	35

Candidate & Campaign Treasurer Handbook

Non-incumbent Advertisements	36
Advertisement Provided In-kind.....	36
Chapter 13: Other Disclaimers.....	37
Endorsements in Political Advertisements.....	37
Independent Expenditure Disclaimers	38
Disclaimers for Other than Independent Expenditures	39
Disclaimers on Novelty Items	40
Language Other Than English	40
Electioneering Communications Disclaimers	40
Other Political Disclaimer Examples	41
Miscellaneous Advertisements.....	42
Use of Closed Captioning and Descriptive Narrative in all Television Broadcasts	42
Chapter 14: Fund Raisers	43
Contributions from Fund Raisers.....	43
Expenditures for Fund Raisers.....	43
Tickets.....	43
Chapter 15: Telephone Solicitation	44
Telephone Solicitation	44
Registered Agent	45
Chapter 16: Filing Campaign Reports.....	46
Where to File	46
When to File	46
Penalty for Late Filing	47
Notice of No Activity.....	47
Incomplete Reports	47
Reporting Total Sums	48
Reporting Contributions	48
Returning Contributions	48
Reporting Expenditures	49
Special Requirements for Judicial Retention Candidates.....	49
Chapter 17: Termination Reports	51
Prior to Disposing of Surplus Funds.....	51
Disposing of Surplus Funds.....	51
Money from Separate Interest-Bearing Account or Certificate of Deposit.....	52
Campaign Loans Report.....	52

Candidate & Campaign Treasurer Handbook

Chapter 18: Reporting for Individuals Seeking a Publicly Elected Position on a Party Executive Committee....	54
Where to File	54
When to File	54
Termination Reports Not Required	54
Penalty for Late Filing	54
Incomplete Reports	55
Reporting Requirements	55
Chapter 19: Electronic Filing of Campaign Reports	56
Accessing the EFS.....	56
Creating Reports.....	56
Submitting Reports.....	56
Electronic Receipts	57
Chapter 20: Office Accounts	58
Using the Office Account	58
Reporting Office Account Funds.....	59
Chapter 21: Carryover Campaign Funds	61
Chapter 22: Recordkeeping	62
Contributions.....	62
Expenditures.....	62
Preservation of Accounts.....	63
Inspections.....	63
Chapter 23: Bookkeeping Suggestions.....	64
Chapter 24: Florida Elections Commission	65
Automatic Fine Appeal Process	65
Complaint Process	65
Appendix A: Frequently Asked Questions.....	66
Candidates	66
Campaign Finance.....	68
Appendix B: 2016 Deadlines for Accepting Contributions.....	73

telephone calls are not contributions to be counted toward the contribution limits, but must still be reported by the candidate. All other contributions are counted toward the contribution limits;

- A candidate for statewide (Governor, Cabinet and Supreme Court Justice) office may not accept contributions from a national, state, or county executive committee of a political party, including any subordinate committee of a national, state, or county committee of a political party, or affiliated party committee, which contributions in the aggregate exceed \$250,000; or
- Accept contributions after the date he or she withdraws his or her candidacy, is defeated, becomes unopposed or is elected.

(Sections 106.08 and 106.19, F.S.)

Foreign Contributions

Federal law prohibits contributions from foreign nationals to any federal, state, or local candidate, unless the foreign national possesses a green card. Further information can be accessed by contacting the Federal Election Commission at 1-800-424-9530 or on their website at <http://www.fec.gov>.

Violations

Any candidate, campaign manager, campaign treasurer, or deputy treasurer of any candidate, agent or person acting on behalf of any candidate, or other person who knowingly and willfully:

- Accepts a contribution in excess of the limits prescribed by Section 106.08, F.S.;
- Fails to report any contribution required to be reported by Chapter 106, F.S.;
- Falsely reports or deliberately fails to include any information required by Chapter 106, F.S.; or
- Makes or authorizes any expenditure in violation of Section 106.11(4), F.S., or any other expenditure prohibited by Chapter 106, F.S.; is guilty of a misdemeanor of the first degree, punishable as provided in Section 775.082 or Section 775.083, F.S.

(Section 106.19, F.S.)

2016 Deadlines for Accepting Contributions

Any contribution received by a candidate with opposition in an election, or the campaign treasurer or deputy campaign treasurer, **on the day of that election or less than five days prior to the day of that election** must be returned to the contributor. It may not be used or expended by or on behalf of the candidate.

(See Appendix B for 2016 Deadlines)

Chapter 11: Expenditures

Definition

An expenditure is a purchase, payment, distribution, loan, advance, transfer of funds by a campaign treasurer or deputy campaign treasurer between a primary depository and a separate interest-bearing account or certificate of deposit, or gift of money or anything of value made for the purpose of influencing the results of an election or making an electioneering communication.

General Requirements

A candidate shall:

- Pay all campaign expenditures by a check drawn on the campaign account (except petty cash);
- Pay the qualifying fee by a check drawn on the campaign account;
- Pay for all expenses authorized or incurred for the purchase of goods or services upon final delivery and acceptance of the goods or services; and
- Pay for public utilities such as telephone, electric, gas, water and like services when the bill is received. Utility companies providing services to candidates must charge a deposit sufficient to meet all anticipated charges during a billing period.

IMPORTANT: No candidate, campaign manager, treasurer, deputy treasurer, or any person acting on behalf of the foregoing,

shall authorize any expenses, unless there are sufficient funds on deposit in the primary depository account of the candidate to pay the full amount of the authorized expense, to honor all other checks draw on such account, which checks are outstanding, and to meet all expenses previously authorized but not yet paid.

Sufficient funds means that the funds at issue have been delivered for deposit to the financial institution at which such account is maintained and not that such funds are available for withdrawal in accordance with the deposit rules or the funds availability policies of such financial institution.

Checks

IMPORTANT: Only a campaign treasurer or deputy campaign treasurer is allowed to sign checks drawn on the campaign account. The campaign treasurer or deputy campaign treasurer who signs a check shall be responsible for the completeness and accuracy of the information on the check and for ensuring it is an authorized expenditure. ***Candidates are prohibited from signing campaign checks unless they have appointed themselves campaign treasurer or deputy treasurer.***

A candidate or other individual may be reimbursed for expenses incurred in connection with the campaign by a check drawn on the campaign account and reported pursuant to Section 106.07(4), F.S. The full name and address of each person to whom the candidate or other individual made payment for which reimbursement was made by check drawn upon the campaign account shall be reported

Chapter 16: Filing Campaign Reports

Each campaign treasurer designated by a candidate shall file regular reports of all contributions received and all expenditures made by or on behalf of such candidate.

The candidate and his or her campaign treasurer shall certify as to the correctness of each report. Each person so certifying shall bear the responsibility for the accuracy and veracity of each report. Any campaign treasurer or candidate who willfully certifies the correctness of any report while knowing that such report is incorrect, false or incomplete commits a misdemeanor of the first degree.

(Section 106.07, F.S.)

Where to File

A campaign treasurer is required to file campaign treasurer's reports with the officer with whom the candidate registers.

Candidates filing reports with the Division are required to file by means of the Electronic Filing System. If the candidate's filing officer is other than the Division, contact the appropriate filing officer to find out the requirements.

The web address for filing online with the Division is: <https://efs.dos.state.fl.us/>
(Section 106.07(2), F.S.)

When to File

Reports must be filed on the 10th day following the end of each calendar month from the time the candidate registers, except that if the 10th day occurs on a Saturday, Sunday or legal holiday, the report shall be filed on the next business day that is not a Saturday, Sunday or legal holiday.

A statewide candidate must file reports:

1. On the 60th day immediately preceding the primary election, and each week thereafter, with the last weekly report being filed on the 4th day immediately preceding the general election.
2. On the 10th day immediately preceding the general election, and each day thereafter, with the last daily report being filed the 5th day immediately preceding the general election.

All other candidates must file reports on the 60th day immediately preceding the primary election and bi-weekly on each Friday thereafter through and including the 4th day immediately preceding the general election, with additional reports due on the 25th and 11th days before the primary election and the general election.

For candidates that file with the Division, see the "Reporting Dates Calendar" at:

<http://dos.myflorida.com/elections/forms-publications/publications/>

An individual seeking a publicly elected position on a political party executive committee who receives a contribution or makes an expenditure must file a single report of all contributions and expenditures on the 4th day immediately preceding the primary election. (*See Chapter 18, Reporting for Individuals Seeking a Publicly Elected Position on a Party Executive Committee.*)

Unless the electronic filing requirements of Section 106.0705, Florida Statutes, apply, reports shall be filed no later than 5 p.m. of the day designated. A report postmarked by the U.S. Postal Service no later than midnight of the day designated is deemed timely filed. A report received by the filing officer within 5 days after the designated due date that was delivered by the U.S. Postal Service is deemed timely filed unless it has a postmark indicating the report was mailed after the designated due date. A certificate of mailing obtained from and dated by the U.S. Postal Service at the time of mailing or a receipt from an established courier company, which bears a date on or before the date on which the report is due, is proof of mailing in a timely manner. Reports filed with the Division through the Electronic Filing System (EFS) are due no later than midnight, Eastern Time, of the due date.

(Sections 106.07, 106.0705
and 106.141, F.S.)

Penalty for Late Filing

Any candidate failing to file a report on the designated due date shall be subject to a fine of \$50 per day for the first three days late and, thereafter, \$500 per day for each late day, not to exceed 25 percent of the total receipts or expenditures, whichever is

greater, for the period covered by the late report. However, for the reports immediately preceding the primary and general election, the fine shall be \$500 per day for each late day, not to exceed 25 percent of the total receipts or expenditures, whichever is greater, for the period covered by the late report. For a candidate's termination report, the fine shall be \$50 per day for each late day, not to exceed 25 percent of the total receipts or expenditures, whichever is greater for the period covered by the late report. All fines must be paid from the candidate's **personal funds** – not campaign funds.

(Section 106.07(2) and (8), F.S.)

Notice of No Activity

In any reporting period during which a candidate has not received funds or made any expenditures, the filing of the required report for that period is waived. **However, the candidate must notify the filing officer in writing on or before the prescribed reporting date that no report is being filed on that date.** (A notice of no activity filed with the Division must be filed electronically using the EFS.) The next report filed must specify that the report covers the entire period between the last submitted report and the report being filed.

(Section 106.07, F.S.)

Incomplete Reports

If a campaign treasurer files a report that is deemed incomplete, it shall be accepted on a conditional basis. The campaign treasurer will be notified by the filing officer as to why the report is incomplete. The campaign

treasurer must file an addendum to the incomplete report within seven days of notification. The addendum must include all necessary information to complete the report.

(Section 106.07(2), F.S.)

Reporting Total Sums

Each campaign treasurer's report required by Chapter 106, F.S., shall contain the total sums of all loans, in-kind contributions, and other receipts by or for such candidate, and total sums of all expenditures made by such candidate during the reporting period. The reporting forms shall be designed to elicit separate totals for in-kind contributions, loans, and other receipts.

(Section 106.07, F.S.)

Reporting Contributions

Each report must contain:

- Full name, address, specific occupation, amount, and date of each person making a contribution. Reports must provide as clear a description as practicable of the principal type of business conducted for corporations contributing. **The principal type of business or the occupations are not required if the contribution is \$100 or less, or from a relative provided the relationship is reported.**
- Name, address, amount, and date of each political committee making any transfer of funds.
- Full name, address, specific occupation, principal place of business of the lender

and endorser, date and amount of each loan.

- Statement of each contribution, rebate, refund, or other receipts not listed in 1. through 3. above.

(Sections 106.07(4) and 112.312(21), F.S.)

Returning Contributions

Contributions **must be returned** to the contributor **if**:

- A candidate receives a contribution in excess of the limitations provided by law.
- A candidate with opposition in an election receives a contribution on the day of that election or less than five days prior to the date of that election.
- A candidate receives a contribution once he or she is elected, defeated, becomes unopposed, or withdraws his or her candidacy.

If the contribution to be returned has not been deposited into the campaign account, report the contribution as a contribution returned using form DS-DE 02.

If the contribution has been deposited into the campaign account:

- Report the contribution; and
- Write a check from the campaign account to the contributor for the amount of the contribution and report this on the itemized contribution report using the contribution type "Refund."

This amount is reported as a negative. The candidate may also wish to submit a written explanation to the filing officer.

(Section 106.08, F.S.)

Reporting Expenditures

Each report must contain:

- Full name and address of each person to whom expenditures have been made along with the amount, date, and clear purpose of the expenditure. Name, address, and office sought by each candidate on whose behalf such expenditure was made.
- Full name and address of each person to whom an expenditure for personal services, salary or reimbursed authorized expenses was made along with the amount, date, and clear purpose of the expenditure.
- Total amount withdrawn and the total amount spent from the petty cash fund. Each expenditure from the petty cash fund need not be individually reported but complete records of petty cash expenditures must be kept.
- Transaction information for each credit card purchase. Credit cards may be used by statewide (Governor, Cabinet and Supreme Court Justice) candidates only. (See *Division of Elections Opinion 05-07*.)
- Amount and nature of debts and obligations owed by or to the candidate, which relate to the conduct of any political campaign.

- The amount and nature of any separate interest-bearing accounts or certificates of deposit. Identification of the financial institution in which such accounts or certificates of deposit are located must be identified.

- The primary purposes of an expenditure made indirectly through a campaign treasurer for goods and services such as communications media placement or procurement services, campaign signs, insurance, and other expenditures that include multiple components as part of the expenditure. The primary purpose of an expenditure shall be that purpose, including integral and directly related components, that comprises 80 percent of such expenditure.

- Total sum of expenditures during the reporting period.

(Section 106.07, F.S.)

Special Requirements for Judicial Retention Candidates

A candidate for retention as a Justice of the Supreme Court or a Judge of a District Court of Appeal who has not received any contributions or made any expenditures, may file a sworn statement on Form DS-DE 96, Affidavit of Intention, at the time of qualifying that he or she does not anticipate receiving contributions or making expenditures in connection with his or her candidacy for retention to office.

Such candidate must file a final report within 90 days following the general election for which the candidate's name appeared on the ballot for retention. The candidate may

use Form DS-DE 97, Affidavit of Compliance, for this purpose.

A candidate for retention to judicial office who, after filing Form DS-DE 96 receives any contributions or makes any expenditures in connection with his or her candidacy for retention must immediately file a statement to that effect with the qualifying officer and must begin filing reports as an opposed candidate pursuant to Section 106.07, F.S.

(Sections 105.08(2) and 106.141, F.S.)

Chapter 17: Termination Reports

Once a candidate withdraws, becomes unopposed, is eliminated, or elected to office, he or she may **only** expend funds from the campaign account to:

- Purchase "thank you" advertising for up to 75 days after he or she withdraws, becomes unopposed, is eliminated, or elected to office.
- Pay for items which were obligated before he or she withdrew, became unopposed, was eliminated, or elected to office.
- Pay for expenditures necessary to close down the campaign office and to prepare final campaign reports.
- Dispose of surplus funds as provided in Section 106.141, F.S.

(Section 106.11(5), F.S.)

Because individuals who seek election to a political party executive committee are not "candidates," they do not file termination reports.

Prior to Disposing of Surplus Funds

A candidate may be reimbursed by the campaign for any previously reported contributions by the candidate to the campaign, in full or in part.

A candidate who filed an oath stating that he or she was unable to pay the fee for verification of petition signatures without

imposing an undue burden on his or her personal resources or on resources otherwise available to him or her, must reimburse the state or local government entity, whichever is applicable, for such waived fee prior to disposing of any funds under the surplus provisions contained in Section 106.141(4), F.S.

(Section 106.141, F.S.)

Disposing of Surplus Funds

Once a candidate withdraws, becomes unopposed, is eliminated, or elected to office, the candidate must dispose of the funds on deposit in his or her campaign account and file a campaign treasurer's report (termination report) reflecting the disposition of funds.

A candidate required to dispose of surplus funds must, at the option of the candidate, dispose of such funds within 90 days by any of the following means, or a combination thereof:

1. Return pro rata to each contributor the funds that have not been spent or obligated.
2. Donate the funds that have not been spent or obligated to a charitable organization or organizations that meet the qualifications of Section 501(c)(3) of the Internal Revenue Code.
3. Give not more than \$25,000 of the funds that have not been spent or obligated to the political party of which such candidate is a member.

4. Give the funds that have not been spent or obligated:

- a. In the case of a candidate for state office, to the state to be deposited in the General Revenue Fund; or
- b. In the case of a candidate for office of a political subdivision, to such political subdivision, to be deposited in the general fund thereof.

5. Transfer funds to an office account (*See Chapter 20, Office Accounts*).

6. In the case of a candidate elected to state office, retain up to \$20,000 in the campaign account for re-election to the same office. (*See Chapter 21, Carryover Campaign Funds*)

The termination report must include:

1. The name and address of each person or unit of government to whom any of the funds were distributed and the amounts thereof;
2. The name and address of each person to whom an expenditure was made together with the amount and purpose; and
3. The amount of such funds transferred to an office account together with the name and address of the bank in which the office account is located.

If a refund check is received after all surplus funds have been disposed of, the check may be endorsed by the candidate and the refund disposed of pursuant to Section

106.141, F.S. An amended termination report must be filed with the filing officer.

All reports must be signed by the candidate and the campaign treasurer and certified as true and correct.

(Section 106.141, F.S.)

Money from Separate Interest-Bearing Account or Certificate of Deposit

A campaign treasurer of any candidate who withdraws, becomes unopposed, or is eliminated, or elected to office, and who has funds on deposit in any interest-bearing account or certificate of deposit, must, within seven days, transfer such funds and accumulated interest earned thereon to the primary campaign account for disposal. However, when funds are in an account in which penalties will apply for withdrawal within the seven day period, the campaign treasurer must transfer such funds and accumulated interest earned thereon as soon as the funds can be withdrawn without penalty, or within 90 days after the candidate becomes unopposed, withdraws his or her candidacy, or is elected, or eliminated, whichever comes first.

(Section 106.141, F.S.)

Campaign Loans Report

A person elected to office must report all loans, exceeding \$500 in value, made to him or her and used for campaign purposes, and made in the twelve months preceding his or her election to office, to the filing officer. The report must be made on **Forms DS-DE 73 and 73A, Campaign Loan Report** within ten

days after being elected to office. Loan reports filed with the Division must be filed using the EFS.

Any person who makes a contribution to an individual to pay all or part of a loan incurred in the twelve months preceding the election, to be used for the individual's campaign, may not contribute more than the amount which is allowed in Section 106.08(1), F.S.

(Section 106.075, F.S.)

Chapter 24: Florida Elections Commission

The Florida Elections Commission is a separate and independent entity from the Division. Commissioners are appointed by the Governor from lists of names submitted by legislative leaders.

Automatic Fine Appeal Process

Any candidate may appeal or dispute a fine for a late filed campaign treasurer's report. The appeal must be based upon, but not limited to, unusual circumstances surrounding the failure to file on the designated due date. The candidate may request and is entitled to a hearing before the Florida Elections Commission, which has the authority to waive the fine in whole or in part. The Florida Elections Commission must consider the mitigating and aggravating circumstances contained in Section 106.265(1), F.S., when determining the amount of a fine, if any, to be waived. The appeal must be made within 20 days of the receipt of the notice of payment due. The candidate must, within the 20 day period, notify the filing officer in writing of his or her intention to bring the matter before the Commission.

(Section 106.07(8)(c), F.S.)

Complaint Process

Any person who has information of a violation of Chapters 104 or 106, F.S., shall file a sworn complaint with the Florida Elections Commission, 107 West Gaines Street, Suite 224, Tallahassee, Florida 32399-1050 or call 850- 922-4539. A complaint form may be obtained from the Florida Elections Commission or downloaded from the Commission's website at:

<http://www.fec.state.fl.us>

(Sections 106.25 and 106.28, F.S.)



FLORIDA ELECTIONS COMMISSION

107 W. Gaines Street,
Suite 224 Collins Building
Tallahassee, Florida 32399-1050
Telephone: (850) 922-4539
Fax: (850) 921-0783

www.fec.state.fl.us; fec@myfloridalegal.com

January 23, 2018

Stephen Michael Roberts
17686 Key Lime Blvd.
Loxahatchee, FL 33470

RE: Case No.: FEC 17-091; Respondent: Stephen Michael Roberts

Dear Mr. Roberts:

On March 2, 2017, the Florida Elections Commission received a complaint alleging that you violated Florida's election laws. I have reviewed the complaint and find that it contains one or more **legally sufficient allegations**. The Commission staff will investigate the following alleged violations:

Section 106.07(5), Florida Statutes: As alleged in the complaint, Respondent, a 2016 candidate for the Indian Trail Improvement District, Seat 2, may have certified that one or more campaign treasurer reports were true, correct, and complete when they were not.

Section 106.141(1), Florida Statutes: As alleged in the complaint, Respondent, a 2016 candidate for the Indian Trail Improvement District, Seat 2, failed to timely file his 2016 Termination Report reflecting the disposition of all remaining campaign funds.

Section 106.19(1)(c), Florida Statutes: As alleged in the complaint, Respondent, a 2016 candidate for the Indian Trail Improvement District, Seat 2, may have falsely reported or deliberately failed to include information in one or more campaign treasurer reports, as required by Chapter 106.

When we conclude the investigation, a copy of the Report of Investigation (ROI) will be mailed to you at the above address. Based on the results of the investigation, a staff attorney will make a written recommendation (Staff Recommendation or SR) to the Commission as to whether there is probable cause to charge respondent with violating Chapters 104 or 106, Florida Statutes. You will have an opportunity to respond to both the ROI and the SR. The Commission will then hold

Stephen Michael Roberts

January 23, 2018

Page 2

FEC 17-091

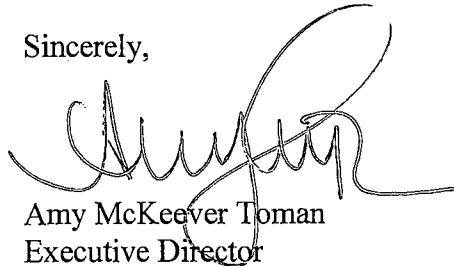
one or more hearings to determine whether the alleged violations occurred and, if so, the amount of the fine to be imposed. You and the complainant will receive notice at least 14 days before any hearing at which your case is to be considered.

Please note that all documents related to this matter will be mailed to the above address unless you **notify us of a new address**. Also, please remember that complaints, Commission investigations, investigative reports, and other documents relating to an alleged violation of Chapters 104 or 106, Florida Statutes, are **confidential** until the Commission finds probable cause or no probable cause.

For additional information, please refer to the "Frequently Asked Questions" section of the Commission's website (www.fec.state.fl.us).

If you have additional questions, please contact **Helen Hinson**, the investigator assigned to this case at extension 115.

Sincerely,

A handwritten signature in black ink, appearing to read "Amy Toman", with a large, sweeping flourish extending to the right.

Amy McKeever Toman
Executive Director

AMT/enr

STATE OF FLORIDA
FLORIDA ELECTIONS COMMISSION

107 West Gaines Street, Suite 224, Tallahassee, Florida 32399-1050

Telephone Number: (850) 922-4539

www.fec.state.fl.us

RECEIVED

2017 MAR -2 P 1:14

CONFIDENTIAL COMPLAINT FORM

The Commission's records and proceedings in a case are confidential until the Commission rules on probable cause. A copy of the complaint will be provided to the person against whom the complaint is brought.

1. PERSON BRINGING COMPLAINT:

Name: Supervisor of Elections Work Phone: (561) 656-6200
Address: 240 South Military Trail Home Phone: ()
City: West Palm Beach County: Palm Beach State: FL Zip Code: 33415

2. PERSON AGAINST WHOM COMPLAINT IS BROUGHT:

A person can be an individual, political committee, committee of continuous existence, political party, electioneering communication organization, club, corporation, partnership, company, association, or any other type of organization. (If you intend to name more than one individual or entity, please file multiple complaints.)

Name of individual or entity: Stephen Michael Roberts
Address: 17686 Key Lime Blvd. Phone: (561) 935-8848
City: Loxahatchee County: Palm Beach State: FL Zip Code: 33470

If individual is a candidate, list the office or position sought: Indian Trail Improvement Dist., Seat 2

Have you filed this complaint with the State Attorney's Office? (check one) ☐ Yes ☒ No

3. ALLEGED VIOLATION(S):

Please list the provisions of The Florida Election Code that you believe the person named above may have violated. The Commission has jurisdiction only to investigate the following provisions: **Chapter 104, Chapter 106, and Section 105.071, Florida Statutes.** Also, please include:

- ✓ The facts and actions that you believe support the violations you allege,
- ✓ The names and telephone numbers of persons you believe may be witnesses to the facts,
- ✓ A copy or picture of the political advertisements you mention in your statement,
- ✓ A copy of the documents you mention in your statement, and
- ✓ Other evidence that supports your allegations.

Violation of Florida Statutes, Section 106.141(11). Failure to dispose of
campaign funds and file a Termination Report which was due
February 6, 2017. Last report filed was the G7.

Additional materials attached (check one)? ☒ Yes ☐ No

4. OATH

STATE OF FLORIDA
COUNTY OF Palm Beach

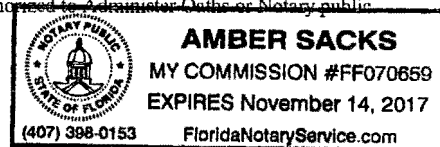
I swear or affirm, that the above information is true and correct to the best of my knowledge.

RECEIVED
2017 MAR -2 P 1:14
STATE OF FLORIDA
ELECTIONS COMMISSION

Susan Bucher
Original Signature of Person Bringing Complaint

Sworn to and subscribed before me this 28th day of
February, 20 17

Amber Sacks
Signature of Officer Authorized to Administer Oaths or Notary public



Amber Sacks

(Print, Type, or Stamp Commissioned Name of Notary Public)

Personally known ☒ Or Produced Identification ☐

Type of Identification Produced _____

Any person who files a complaint while knowing that the allegations are false or without merit commits a misdemeanor of the first degree, punishable as provided in Sections 775.082 and 775.083, Florida Statutes.

**FLORIDA DEPARTMENT OF STATE DIVISION OF ELECTIONS
CAMPAIGN TREASURER'S REPORT SUMMARY**

SUPERVISOR OF ELECTIONS

OFFICE USE ONLY

2016 NOV -7 PM 1:41

PALM BEACH COUNTY, FL

Plm 11-A-1609

(1) Stephen Michael Roberts
Name

(2) 17686 Key Lime Blvd
Address (number and street)
Loxahatchee, FL 33470
City, State, Zip Code

☐ CHECK IF ADDRESS HAS CHANGED

(3) ID Number: _____

(4) Check appropriate box(es):

☒ Candidate (office sought):

Indian Trail Improvement District Seat 2

☐ Political Committee

☐ CHECK IF PC HAS DISBANDED

☐ Committee of Continuous Existence

☐ CHECK IF CCE HAS DISBANDED

☐ Party Executive Committee

☐ Electioneering Communication

☐ CHECK IF NO OTHER ELECTIONEERING
COMMUNICATION REPORTS WILL BE FILED

(5) REPORT IDENTIFIERS

Cover Period: From 10 / 22 / 2016 To 11 / 3 / 2016 Report Type G7

☒ Original ☐ Amendment ☐ Special Election Report ☐ Independent Expenditure Report

(6) CONTRIBUTIONS THIS REPORT

Cash & Checks \$ 500.00

Loans \$ 0.00

Total Monetary \$ 500.00

In-Kind \$ 0.00

(7) EXPENDITURES THIS REPORT

Monetary Expenditures \$ 125.74

Transfers to Office Account \$ 0.00

Total Monetary \$ 125.74

(8) Other Distributions
\$ 0.00

(9) TOTAL Monetary Contributions To Date
\$ 1,142.44

(10) TOTAL Monetary Expenditures To Date
\$ 708.65

(11) CERTIFICATION

It is a first degree misdemeanor for any person to falsify a public record (ss. 839.13, F.S.)

I certify that I have examined this report and it is true, correct, and complete.

(Type name) Stephen Roberts

☐ Individual (only for electioneering commun.) ☒ Treasurer ☐ Deputy Treasurer

X

Signature

I certify that I have examined this report and it is true, correct, and complete.

(Type name) Steve Roberts

☒ Candidate ☐ Chairperson (only for PC, PTY & electioneering commun. organization)

X

Signature

CFID: 7295

APPOINTMENT OF CAMPAIGN TREASURER
AND DESIGNATION OF CAMPAIGN
DEPOSITORY FOR CANDIDATES

(Section 106.021(1), F.S.)

(PLEASE PRINT OR TYPE)

NOTE: This form must be on file with the qualifying
officer before opening the campaign account.

SUPERVISOR OF ELECTIONS

2016 JUN 23 AM 11:56

PALM BEACH COUNTY, FL.

OFFICE USE ONLY

1. CHECK APPROPRIATE BOX(ES):

☒ Initial Filing of Form Re-filing to Change: ☐ Treasurer/Deputy ☐ Depository ☐ Office ☐ Party

2. Name of Candidate (in this order: First, Middle, Last)

Stephen Michael Roberts

3. Address (include post office box or street, city, state, zip code)

17686 Keylime Blvd
Lokahatchee FL 33470

4. Telephone

(561) 935-8848

5. E-mail address

Steve.SFHomes@gmail.com

6. Office sought (include district, circuit, group number)

Indian Trail Improvement district seat 2

7. If a candidate for a nonpartisan office, check if applicable:

☐ My intent is to run as a Write-In candidate.

8. If a candidate for a partisan office, check block and fill in name of party as applicable: My intent is to run as a

☐ Write-In ☒ No Party Affiliation ☐ Party candidate.

9. I have appointed the following person to act as my ☒ Campaign Treasurer ☐ Deputy Treasurer

10. Name of Treasurer or Deputy Treasurer

Stephen Roberts

11. Mailing Address

17686 Keylime Blvd

12. Telephone

(561) 935-8848

13. City

Lokahatchee

14. County

Palm Beach

15. State

FL

16. Zip Code

33470

17. E-mail address

Steve.SFHomes@gmail.com

18. I have designated the following bank as my ☒ Primary Depository ☐ Secondary Depository

19. Name of Bank

SunTrust Bank

20. Address

9951 Okeechobee Blvd

21. City

West Palm Beach

22. County

Palm Beach

23. State

Florida

24. Zip Code

33411

UNDER PENALTIES OF PERJURY, I DECLARE THAT I HAVE READ THE FOREGOING FORM FOR APPOINTMENT OF CAMPAIGN TREASURER AND DESIGNATION OF CAMPAIGN DEPOSITORY AND THAT THE FACTS STATED IN IT ARE TRUE.

25. Date

6-23-2016

26. Signature of Candidate

X [Signature]

27. Treasurer's Acceptance of Appointment (fill in the blanks and check the appropriate block)

I, Stephen Roberts, do hereby accept the appointment
(Please Print or Type Name)

designated above as: ☒ Campaign Treasurer ☐ Deputy Treasurer

6-23-16

Date

X

[Signature]
Signature of Campaign Treasurer or Deputy Treasurer

**STATEMENT OF
CANDIDATE**

(Section 106.023, F.S.)

(Please print or type)

OFFICIALS ONLY

SUPERVISOR OF ELECTIONS

2016 JUN 23 AM 11:56

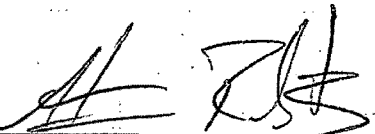
PALM BEACH COUNTY, FL

I, Stephen Roberts

candidate for the office of Indian Trail Improvement District Seat 2;

have been provided access to read and understand the requirements of
Chapter 106, Florida Statutes.

X

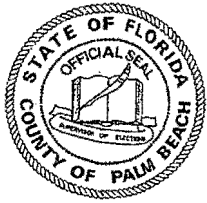


Signature of Candidate

6-23-2016

Date

Each candidate must file a statement with the qualifying officer within 10 days after the Appointment of Campaign Treasurer and Designation of Campaign Depository is filed. Willful failure to file this form is a first degree misdemeanor and a civil violation of the Campaign Financing Act which may result in a fine of up to \$1,000, (ss. 106.19(1)(c), 106.265(1), Florida Statutes).



Palm Beach County

SUPERVISOR OF ELECTIONS

2016 JUN 23 AM 11:56

PALM BEACH COUNTY, FL

SUSAN BUCHER
Supervisor of Elections

240 SOUTH MILITARY TRAIL
WEST PALM BEACH, FL 33415
POST OFFICE BOX 22309
WEST PALM BEACH, FL 33416

TELEPHONE: (561) 656-6200
FAX NUMBER: (561) 656-6287
WEBSITE: www.pbcelections.org

NOTICE OF PUBLICATIONS

As a candidate in Palm Beach County, the Supervisor of Elections office is notifying you of the publications that are available on the Division of Elections website for use during your campaign. The Division of Elections website is: dos.myflorida.com/elections

Division of Elections > Forms & Publications > Publications

- Candidate and Campaign Treasurer Handbook
- Candidate Petition Handbook
- Compilation of Election Laws
- Constitution of Florida
- Election Dates Calendar 2015-2017

I acknowledge that I have received written notice of the publications.

Steve Roberts

Name of Candidate

[Signature]

Signature of Candidate

Indian Trails Improvement District seat 2

Office Seeking

6-23-16

Date Received

AS

Deputy's Initials



Alexia Goods <alexia@pbcelections.org>

Termination Report Filing Reminder

10 messages

Alexia Goods <alexia@pbcelections.org>

Mon, Jan 30, 2017 at 10:27 AM

To: "Argue, Betty J" <bettyargue.alerts@gmail.com>, "Berger, Mary Lou" <hellomarylou@bellsouth.net>, Bonita Z Plested <bonitaplested@yahoo.com>, "Dana M. Santino" <dsantino@dmslawgroup.com>, Daniel Sohn <sohnforsupervisor@gmail.com>, Dennis R Frisch <DENFRISCH@aol.com>, "Engracia C Bondonese (WRI)" <gbondonese@gmail.com>, "Enright, Dr. Jean L" <jylenright@gmail.com>, Gregg Lerman <Gregg@gregglermanlaw.com>, "Kerner, David M." <dkerner@shw-law.com>, Kim LeeBove <kim.leebove@gmail.com>, Michelle Damone <mdamone70@aol.com>, Patricia Edmonson <soilandwater2016@gmail.com>, Sean Hogan <sean@votehogan2016.com>, "Self, David L" <dave@wyldwestannuuals.com>, "Self, Deborah D" <DSelf@aol.com>, Steve Roberts <Steve.SFHomes@gmail.com>, Taniel S Koushakjian <tanielshant@gmail.com>, Taniel S Koushakjian <JCPConsulting@gmail.com>, Tom Sutterfield <Treasurer@tomsutterfield.com>, Tom Sutterfield <SchoolBoard@tomsutterfield.com>, "Valeche, Hal Robert" <halrv@comcast.net>, ROBERT DAVID LONG <voteorroblong@gmail.com>, ROBERT DAVID LONG <amitdharmani@gmail.com>
Bcc: Amber Sacks <amber@pbcelections.org>

Good morning,

Pursuant to Florida Statutes section 106.141, each candidate who withdraws his or her candidacy, becomes unopposed, is eliminated as a candidate or elected to office shall, within 90 days, dispose of the funds in his or her campaign account and file a report reflecting the disposition of all remaining funds.

The due date for filing your **Termination Report** is **February 6, 2017**. Therefore after you have submitted your report through C-Finance you must **sign** the summary page. The summary page must be received in our office or clearly postmarked no later than the due date to be considered timely filed.

In addition please make certain when submitting your Termination Report the **contributions and expenditures equal**.

If you may need further assistance please contact our Candidate Department.

Thank you.

00-0000

Alexia Goods

PBC Supervisor of Elections

Elections Specialist/Candidate Department

Phone: 561/656-6200/Fax: 561/656-6220

alexia@pbcollections.org

Mail Delivery Subsystem <mailer-daemon@googlemail.com>

Mon, Jan 30, 2017 at 10:27 AM

To: alexia@pbcelections.org



Address not found

Your message wasn't delivered to **sean@votehogan2016.com** because the address couldn't be found. Check for typos or unnecessary spaces and try again.

LEARN MORE

The response from the remote server was:

The email account that you tried to reach is disabled. Learn more at <https://support.google.com/mail/?p=DisabledUser>

Final-Recipient: rfc822; sean@votehogan2016.com

Action: failed

Status: 5.0.0

Diagnostic-Code: smtp; The email account that you tried to reach is disabled. Learn more at <https://support.google.com/mail/?p=DisabledUser>

Last-Attempt-Date: Mon, 30 Jan 2017 07:27:35 -0800 (PST)

----- Forwarded message -----

From: Alexia Goods <alexia@pbcelections.org>

To: "Argue, Betty J" <bettyargue.alerts@gmail.com>, "Berger, Mary Lou" <hellomarylou@bellsouth.net>, Bonita Z Plested <bonitaplested@yahoo.com>, "Dana M. Santino" <dsantino@dmslawgroup.com>, Daniel Sohn <sohnforsupervisor@gmail.com>, Dennis R Frisch <DENFRISCH@aol.com>, "Engracia C Bondonese (WRI)" <gbondonese@gmail.com>, "Enright, Dr. Jean L" <jylenright@gmail.com>, Gregg Lerman <Gregg@gregglermanlaw.com>, "Kerner, David M." <dkerner@shw-law.com>, Kim LeeBove <kim.leebove@gmail.com>, Michelle Damone <mdamone70@aol.com>, Patricia Edmonson <soilandwater2016@gmail.com>, Sean Hogan <sean@votehogan2016.com>, "Self, David L" <dave@wyldwestannals.com>, "Self, Deborah D" <DSelf@aol.com>, Steve Roberts <Steve.SFHomes@gmail.com>, Taniel S Koushakjian <tanielshant@gmail.com>, Taniel S Koushakjian <JCPConsulting@gmail.com>, Tom Sutterfield <Treasurer@tomsutterfield.com>, Tom Sutterfield <SchoolBoard@tomsutterfield.com>, "Valeche, Hal Robert" <halrv@comcast.net>, ROBERT DAVID LONG <voteorroblong@gmail.com>, ROBERT DAVID LONG <amitdharmani@gmail.com>

Cc:

Bcc:

Date: Mon, 30 Jan 2017 10:27:33 -0500

Subject: Termination Report Filing Reminder

Good morning,

Pursuant to Florida Statutes section *106.141*, each candidate who withdraws his or her candidacy, becomes unopposed, is eliminated as a candidate or elected to office shall, within 90 days, dispose of the funds in his or her campaign account and file a report reflecting the disposition of all remaining funds.

The due date for filing your *Termination Report* is *February 6*, 2017*. Therefore after you have submitted your report through C-Finance you must *sign* the summary page. The summary page must be received in our office or clearly postmarked no later than the due date to be considered timely filed.

In addition please make certain when submitting your Termination

Report the *contributions
and expenditures* *equal*.

If you may need further assistance please contact our Candidate Department.

Thank you.

--

Alexia Goods
PBC Supervisor of Elections
Elections Specialist/Candidate Department
Phone: 561/656-6200/Fax: 561/656-6220
alexia@pbcelections.org

--

PLEASE NOTE: Under Florida law, email addresses are public records. If you do not want your email address released in response to a public records request, do not send electronic mail to this entity. Instead, contact this office by phone or in writing. Florida Statute 668.6076

Treasurer <Treasurer@tomsutterfield.com>
To: Alexia Goods <alexia@pbcelections.org>

Tue, Jan 31, 2017 at 8:32 PM

Hi Alexia,

I signed on to finalize my report but cannot access anything as there is no 'office sought' listed.

This is for Tom Sutterfield
School board 2016 election

HELP!!!!

It's All Good.....

Glenda

Sent from my iPhone
[Quoted text hidden]

[Quoted text hidden]

PLEASE NOTE: Under Florida law, email addresses are public records. If you do not want your email address released in response to a public records request, do not send electronic mail to this entity. Instead, contact this office by phone or in writing. Florida Statute 668.6076

Alexia Goods <alexia@pbcelections.org>
To: Treasurer <Treasurer@tomsutterfield.com>

Wed, Feb 1, 2017 at 9:23 AM

Good morning Mr. Sutterfield,

Thank you for notifying us and please be advised that we are aware of the issue and apologize for any inconvenience that this may cause.

Therefore, I shall notify you once this matter has been resolved.

Thank you.
[Quoted text hidden]

Rob Long <voteforroblong@gmail.com>
To: Alexia Goods <alexia@pbcelections.org>

Fri, Feb 3, 2017 at 12:20 PM

Hi Alexia,

I'm pretty sure that I already submitted my termination report, but I want to make sure that I have all my ducks in a row. Can't afford another fine.

Let me know if you guys need anything else from me.

Thanks!

[Quoted text hidden]

[Quoted text hidden]

PLEASE NOTE: Under Florida law, email addresses are public records. If you do not want your email address released in response to a public records request, do not send electronic mail to this entity. Instead, contact this office by phone or in writing. Florida Statute 668.6076

--
Thanks!



Alexia Goods <alexia@pbcelections.org>
To: Rob Long <voteorroblong@gmail.com>

Fri, Feb 3, 2017 at 12:44 PM

Good afternoon Mr. Long,

I just reviewed your C-Finance account and everything looks balanced.

The only thing you have to do is print out the Summary Page and sign it and either mail it or drop it off to our office no later than the due date **(February 6, 2017)**.

If further assistance is needed please do not hesitate call me or Amber.

Thank you and have a fabulous weekend.

[Quoted text hidden]

Rob Long <voteforroblong@gmail.com>
To: Alexia Goods <alexia@pbcelections.org>

Fri, Feb 3, 2017 at 2:13 PM

Would a scanned copy suffice?

[Quoted text hidden]

Alexia Goods <alexia@pbcollections.org>
To: Rob Long <voteorroblong@gmail.com>

Fri, Feb 3, 2017 at 2:19 PM

Good afternoon Mr. Long,

Photocopies are not accepted. Only the original signature is required.

Thank you.

[Quoted text hidden]

Betty Argue <bettyargue.alerts@gmail.com>

Mon, Feb 6, 2017 at 12:53 PM

To: Alexia Goods <alexia@pbcelections.org>, Amber Sacks <amber@pbcelections.org>

Hi Alexia, I am trying to generate my final expenses for the Termination Report but it will not let me enter the expenses. I get a message that says that there is no report period for this date. Please advise.

Thank you,

Betty Argue

On Mon, Jan 30, 2017 at 10:27 AM, Alexia Goods <alexia@pbcelections.org> wrote:

[Quoted text hidden]

PLEASE NOTE: Under Florida law, email addresses are public records. If you do not want your email address released in response to a public records request, do not send electronic mail to this entity. Instead, contact this office by phone or in writing. Florida Statute 668.6076

Alexia Goods <alexia@pbcelections.org>

Wed, Feb 8, 2017 at 9:56 AM

To: Betty Argue <bettyargue.alerts@gmail.com>

Hello Betty,

I was out of the office, but I understand that Amber assisted you.

If further assistance is needed please do not hesitate to call.

Thank you.

[Quoted text hidden]



Alexia Goods <alexia@pbcelections.org>

PAST DUE NOTICE FOR TERMINATION REPORT

2 messages

Alexia Goods <alexia@pbcelections.org>

Tue, Feb 14, 2017 at 2:20 PM

To: Daniel Sohn <sohnforsupervisor@gmail.com>, "Engracia C Bondonese (WRI)" <gbondonese@gmail.com>, Bonita Z Plested <bonitaplested@yahoo.com>, Michelle Damone <mdamone70@aol.com>, Steve Roberts <Steve.SFHomes@gmail.com>, Tom Sutterfield <SchoolBoard@tomsutterfield.com>, Tom Sutterfield <Treasurer@tomsutterfield.com>

Bcc: Amber Sacks <amber@pbcelections.org>

Good afternoon,

Please be advised the due date for filing your **Termination Report is past the due date of February 6, 2017**. Pursuant to Florida Statute section 106.141, each candidate who withdraws his or her candidacy, becomes unopposed, is eliminated as a candidate or elected to office shall, within 90 days, dispose of the funds in his or her campaign account and file a report reflecting the disposition of all remaining funds.

Therefore after you have submitted your report through C-Finance you must sign the summary page and file it with our office either by mail or hand-delivered. In addition please make certain when submitting your Termination Report the **contributions and expenditures equal**.

If you may need further assistance please contact our Candidate Department.

Thank you.

Alexia Goods

PBC Supervisor of Elections

Elections Specialist/Candidate Department

Phone: 561/656-6200/Fax: 561/656-6220

alexia@pbcelections.org

Tom Sutterfield <schoolboard@tomsutterfield.com>

Wed, Feb 15, 2017 at 12:46 PM

To: Alexia Goods <alexia@pbcelections.org>

I have a copy of the signed form that was turned into your office.

Tom Sutterfield for School Board

(561)239-4011

<http://www.tomsutterfield.com/>

Political advertisement paid for and approved by Tom Sutterfield for School Board

[Quoted text hidden]

[Quoted text hidden]

PLEASE NOTE: Under Florida law, email addresses are public records. If you do not want your email address released in response to a public records request, do not send electronic mail to this entity.



Alexia Goods <alexia@pbcelections.org>

Termination Report Fine Rate

1 message

Alexia Goods <alexia@pbcelections.org>

Wed, Feb 15, 2017 at 4:29 PM

To: Steve Roberts <Steve.SFHomes@gmail.com>

Bcc: Amber Sacks <amber@pbcelections.org>

Good afternoon Mr. Roberts,

Per our conversation on yesterday I advised you that I will follow up with you in regards to the fine rate for filing a late Termination Report. The fine rate is \$50 for each day late. (Note: Termination Report due date was February 6, 2017)

I also verified with you that the email address our office have on file is the correct email address.

If further assistance is needed please contact our Candidate Department.

Thank you.

--

Alexia Goods

PBC Supervisor of Elections

Elections Specialist/Candidate Department

Phone: 561/656-6200/Fax: 561/656-6220

alexia@pbcelections.org

**DOC021517.pdf**

529K