

**STATE OF FLORIDA  
FLORIDA ELECTIONS COMMISSION**

**In Re: Sara Shaw**

**Case No.: FEC 17-029**

**TO:** Sara Shaw  
5127 West 1100 North  
Felt, ID 83424

Division of Elections  
500 S Bronough Street, Room 316  
Tallahassee, FL 32399

**NOTICE OF HEARING (CONSENT ORDER)**

A hearing will be held in this case before the Florida Elections Commission on, **August 13, 2019 at 8:30 am**, *or as soon thereafter as the parties can be heard*, at the following location: **Senate Office Building, 404 South Monroe Street, Room 110-S, Tallahassee, Florida 32399**. Due to heightened security access requirements, please bring only essential items into the building and plan to arrive early to allow for delays coming through security.

Failure to appear in accordance with this notice will constitute a waiver of your right to participate in the hearing. Continuances will be granted only upon a showing of good cause.

This hearing will be conducted pursuant to Section 106.25, Florida Statutes, which governs your participation as follows:

**If you are the Respondent**, you may attend the hearing, and you or your attorney will have *5 minutes* to present your case to the Commission. However, some cases (including those in which consent orders or recommendations for no probable cause are being considered) may be decided by an *en masse* vote and, unless you request to be heard or the Commission requests that your case be considered separately on the day of the hearing, your case will *not* be individually heard.

**If you are the Complainant**, you may attend the hearing, but you will *not* be permitted to address the Commission. In addition, some cases (including those in which consent orders or recommendations for no probable cause are being considered) may be decided by an *en masse* vote and, unless the Respondent requests to be heard or the Commission requests that the case be considered separately on the day of the hearing, the case will *not* be individually heard.

**If you are an Appellant**, and you have requested a hearing, you may attend the hearing, and you or your attorney will have *5 minutes* to present your case to the Commission.

Please be advised that both confidential and public cases are scheduled to be heard by the Florida Elections Commission on this date. As an Appellant, Respondent or Complainant in one case, you will *not* be permitted to attend the hearings on other confidential cases.

The Commission will electronically record the meeting. Although the Commission's recording is considered the official record of the hearing, the Respondent may provide, at his own expense, a certified court reporter to also record the hearing.

If you require an accommodation due to a disability, contact Donna Ann Malphurs at (850) 922-4539 or by mail at 107 West Gaines Street, The Collins Building, Suite 224, Tallahassee, Florida 32399, at least 5 days before the hearing.

**See further instructions on the reverse side.**

***Tim Vaccaro***

Executive Director  
Florida Elections Commission  
July 29, 2019

Please refer to the information below for further instructions related to your particular hearing:

If this is a hearing to consider **an appeal from an automatic fine**, the Filing Officer has imposed a fine on you for your failure to file a campaign treasurer's report on the designated due date and, by filing an appeal, you have asked the Commission to consider either (1) that the report was in fact timely filed; or (2) that there were unusual circumstances that excused the failure to file the report timely. You are required to prove your case. If the Commission finds that the report was filed timely or that there were unusual circumstances that excused the failure, it may waive the fine, in whole or in part. The Commission may reduce a fine after considering the factors in Section 106.265, Florida Statutes. If the Commission finds that the report was not timely filed and there were no unusual circumstances, the fine will be upheld.

If this is a hearing to consider a **consent order before a determination of probable cause has been made**, the Commission will decide whether to accept or reject the consent order. If the Commission accepts the consent order, the case will be closed and become public. If the Commission rejects the consent order or does not make a decision to accept or deny the consent order, the case will remain confidential, unless confidentiality has been waived.

If this is a hearing to consider a **consent order after a determination of probable cause has been made**, the Commission will decide whether to accept or reject the consent order. If the Commission accepts the consent order, the case will be closed. If the Commission rejects the consent order or does not make a decision to accept or deny the consent order, the Respondent will be entitled to another hearing to determine if the Respondent committed the violation(s) alleged.

If this is a **probable cause hearing**, the Commission will decide if there is probable cause to believe that the Respondent committed a violation of Florida's election laws. Respondent should be prepared to explain how the staff in its recommendation incorrectly applied the law to the facts of the case. *Respondent may not testify, call others to testify, or introduce any documentary or other evidence at the probable cause hearing.* The Commission will only decide whether Respondent should be *charged* with a violation and, before the Commission determines whether a violation has occurred or a fine should be imposed, Respondent will have an opportunity for another hearing at which evidence may be introduced.

If this is an **informal hearing**, it will be conducted pursuant Sections 120.569 and 120.57(2), Florida Statutes; Chapter 28 and Commission Rule 2B-1.004, Florida Administrative Code. At the hearing, the Commission will decide whether the Respondent committed the violation(s) charged in the Order of Probable Cause. The Respondent will be permitted to testify. However, the Respondent may not call witnesses to testify.

Respondent may argue why the established facts in the Staff Recommendation do not support the violations charged in the Order of Probable Cause. At Respondent's request, the Commission may determine whether Respondent's actions in the case were willful. The Respondent may also address the appropriateness of the recommended fine. If Respondent claims that his limited resources make him unable to pay the statutory fine, *he must provide the Commission with written proof of his financial resources* at the hearing. A financial affidavit form is available from the Commission Clerk.

**STATE OF FLORIDA  
FLORIDA ELECTIONS COMMISSION**

**Florida Elections Commission,  
Petitioner,**

v.

**Agency Case No.: FEC 17-029  
F.O. No.: FOFEC**

**Sara Shaw, Mosby,  
Respondent.**

---

**CONSENT FINAL ORDER**

Respondent, Sara Shaw, Mosby, and the Florida Elections Commission (Commission) agree that this Consent Order resolves all of the issues between the parties in this case. The parties jointly stipulate to the following facts, conclusions of law, and order.

**FINDINGS OF FACT**

1. On January 27, 2017, a complaint was filed with the Commission alleging that Respondent violated Florida's election laws.
2. On May 31, 2019, the Commission entered an Order of Probable Cause finding there was probable cause to charge the Respondent with one count of violating Section 106.141(1), Florida Statutes, and one count of violating Section 106.19(1)(c), Florida Statutes.
3. Respondent has expressed a desire to enter into negotiations directed toward reaching a consent agreement.
4. Respondent and staff stipulate to the following facts:
  - a. Respondent was a 2016 candidate for State Representative, District 43. She was eliminated in the primary election.

b. Respondent failed to file the campaign's 2016 Termination Report reflecting the disposition of all remaining campaign funds within 90 days of being eliminated.

#### CONCLUSIONS OF LAW

5. The Commission has jurisdiction over the parties to and subject matter of this cause, pursuant to Section 106.26, Florida Statutes.

6. The Commission staff and the Respondent stipulate that staff can prove the facts in paragraph four above by clear and convincing evidence and to the Commission's ability to impose a civil penalty in this case.

#### ORDER

7. The Respondent and the staff of the Commission have entered into this Consent Order freely and voluntarily.

8. The Respondent shall bear her own attorney's fees and costs that are in any way associated with this case.

9. The Commission will consider this Consent Order at its next available meeting.

10. Respondent voluntarily waives the right to any further proceedings under Chapters 104, 106, and 120, Florida Statutes, and the right to appeal this Consent Order.

11. This Consent Order is enforceable under Sections 106.265 and 120.69, Florida Statutes. Respondent expressly waives any venue privileges and agrees that if enforcement of this Consent Order is necessary, venue shall be in Leon County, Florida, and Respondent shall pay all fees and costs associated with enforcement.

12. Payment of the civil penalty by cashier's check, or money order good for at least 120 days, or attorney trust account check, is a condition precedent to the Commission's

consideration of this Consent Order.

**PENALTY**

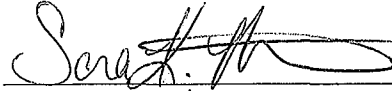
**WHEREFORE**, based upon the foregoing facts and conclusions of law, the Commission finds that the Respondent violated Section 106.141(1), Florida Statutes, on one occasion, and imposes a civil penalty in the amount of \$100.

Therefore it is

**ORDERED** that the Respondent shall remit to the Commission a civil penalty in the amount of **\$100**, inclusive of fees and costs. The civil penalty shall be paid by cashier's check, or money order good for at least 120 days, or attorney trust account check. The civil penalty shall be payable to the Florida Elections Commission and sent to 107 West Gaines Street, Collins Building, Suite 224, Tallahassee, Florida, 32399-1050.

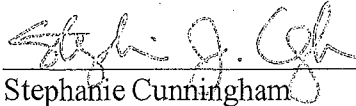
**[THIS SPACE INTENTIONALLY LEFT BLANK]**

Respondent hereby agrees and consents to the terms of this Consent Order on  
July 12, 2019.



Sara Shaw - Mosby  
5127 West 1100 North  
Felt, ID 83424

Commission staff hereby agrees and consents to the terms of this Consent Order on  
July 23 2019.



Stephanie Cunningham  
Assistant General Counsel  
Florida Elections Commission  
107 West Gaines Street  
The Collins Building, Suite 224  
Tallahassee, FL 32399-1050

Approved by the Florida Elections Commission at its regularly scheduled meeting held  
on \_\_\_\_\_, in Tallahassee, Florida.

\_\_\_\_\_  
Chairperson  
Florida Elections Commission

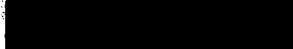
Copies furnished to:  
Stephanie Cunningham, Assistant General Counsel  
Sara Shaw, Respondent (Sara Mosby)  
Division of Elections, Complainant



UNITED STATES  
POSTAL SERVICE

POSTAL MONEY ORDER

Serial Number



Year, Month, Day

Post Office

U.S. Dollars and Cents

0000000000 \* 1000 \* 00

Pay to

Florida Elections Commission

1000000

Address

107 West Gaines Street

From

Sara Shaw Mosby

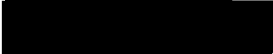
Memo

Collins Bldg, Ste 224 Tallahassee, FL 32399-5127  
FEC 17-029

5127 W 11000 N Felt, ID 83429

© 2008 United States Postal Service. All Rights Reserved.

SEE REVERSE WARNING • NEGOTIABLE ONLY IN THE U.S. AND POSSESSIONS



FILED

19 MAY 31 AM 10:34

STATE OF FLORIDA  
ELECTIONS COMMISSION

**STATE OF FLORIDA  
FLORIDA ELECTIONS COMMISSION**

**Florida Elections Commission,  
Petitioner,**

**Case No.: FEC 17-029**

v.

**Sara Shaw,  
Respondent.**

---

**ORDER OF PROBABLE CAUSE**

**THIS MATTER** was heard by the Florida Elections Commission (Commission) at its regularly scheduled meeting on May 14, 2019, in Tallahassee, Florida.

On April 1, 2019, Staff recommended to the Commission that there was probable cause to believe that the Florida Election Code was violated. The facts articulated in Staff's Recommendation are adopted by reference and incorporated herein. Based on the Complaint, Report of Investigation, Staff's Recommendation, and oral statements (if any) made at the probable cause hearing, the Commission finds that there is **probable cause** to charge Respondent with the following violation(s):

**Count 1:**

On or about November 28, 2016, Respondent violated Section 106.141(1), Florida Statutes, when Respondent failed to file the campaign's 2016 Termination Report listing the disposition of all remaining campaign funds within 90 days of being eliminated.

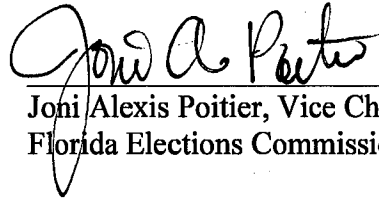
**Count 2:**

On or about November 28, 2016, Respondent violated Section 106.19(1)(c), Florida Statutes, when Respondent deliberately failed to include information required to be reported by Chapter 106 on the campaign's 2016 Termination Report.



The Commission finds that there is **no probable cause** to charge Respondent with violating Section 106.07(7), Florida Statutes.

**DONE AND ORDERED** by the Florida Elections Commission on May 14, 2019.



---

Joni Alexis Poitier, Vice Chair  
Florida Elections Commission

Copies furnished to:  
Cole H. Kekelis, Assistant General Counsel  
Sara Shaw, Respondent  
Division of Elections, Complainant

### NOTICE OF RIGHT TO A HEARING

As the Respondent, you may elect to resolve this case in several ways. First, you may elect to resolve this case by consent order where you and Commission staff agree to resolve the violation(s) and agree to the amount of the fine. The consent order is then presented to the Commission for its approval. To discuss a consent order, contact the FEC attorney identified in the Order of Probable Cause.

Second, you may request an informal hearing held before the Commission, if you **do not** dispute any material fact in the Staff Recommendation. You have 30 days from the date the Order of Probable Cause is filed with the Commission to request such a hearing. The date this order was filed appears in the upper right-hand corner of the first page of the order. At the hearing, you will have the right to make written or oral arguments to the Commission concerning the legal issues related to the violation(s) and the potential fine. At the request of Respondent, the Commission will consider and determine willfulness at an informal hearing. Otherwise, live witness testimony is unnecessary.

Third, you may request a formal hearing held before an administrative law judge in the Division of Administrative Hearings (DOAH), if you dispute any material fact in the Staff Recommendation. You have 30 days from the date the Order of Probable Cause is filed with the Commission to request such a hearing. The date this order was filed appears in the upper right-hand corner of the first page of the order. At the hearing, you will have the right to present evidence relevant to the violation(s) listed in this order, to cross-examine opposing witnesses, to impeach any witness, and to rebut the evidence presented against you.

If you do not elect to resolve the case by consent order or request a formal hearing at the DOAH

or an informal hearing before the Commission within 30 days of the date this Order of Probable Cause is filed with the Commission, the case will be sent to the Commission for a formal or informal hearing, depending on whether the facts are in dispute. The date this order was filed appears in the upper right-hand corner of the first page of the order.

To request a hearing, please send a written request to the Commission Clerk, Donna Ann Malphurs. The address of the Commission Clerk is 107 W. Gaines Street, Collins Building, Suite 224, Tallahassee, Florida 32399-1050. The telephone number is (850) 922-4539. The Clerk will provide you with a copy of Chapter 28-106, *Florida Administrative Code*, and other applicable rules upon request. No mediation is available.

**STATE OF FLORIDA  
FLORIDA ELECTIONS COMMISSION**

**In Re: Sara Shaw**

---

**Case No.: FEC 17-029**

**TO:** Sara Shaw  
5127 West 1100 North  
Felt, ID 83424

Division of Elections  
500 S Bronough Street, Room 316  
Tallahassee, FL 32399

**NOTICE OF HEARING (PROBABLE CAUSE DETERMINATION)**

A hearing will be held in this case before the Florida Elections Commission on, **May 14, 2019 at 9:30 am**, *or as soon thereafter as the parties can be heard*, at the following location: **Senate Office Building, 404 South Monroe Street, Room 110-S, Tallahassee, Florida 32399**. Due to heightened security access requirements, please bring only essential items into the building and plan to arrive early to allow for delays coming through security.

Failure to appear in accordance with this notice will constitute a waiver of your right to participate in the hearing. Continuances will be granted only upon a showing of good cause.

This hearing will be conducted pursuant to Section 106.25, Florida Statutes, which governs your participation as follows:

**If you are the Respondent**, you may attend the hearing, and you or your attorney will have *5 minutes* to present your case to the Commission. However, some cases (including those in which consent orders or recommendations for no probable cause are being considered) may be decided by an *en masse* vote and, unless you request to be heard or the Commission requests that your case be considered separately on the day of the hearing, your case will *not* be individually heard.

**If you are the Complainant**, you may attend the hearing, but you will *not* be permitted to address the Commission. In addition, some cases (including those in which consent orders or recommendations for no probable cause are being considered) may be decided by an *en masse* vote and, unless the Respondent requests to be heard or the Commission requests that the case be considered separately on the day of the hearing, the case will *not* be individually heard.

**If you are an Appellant**, and you have requested a hearing, you may attend the hearing, and you or your attorney will have *5 minutes* to present your case to the Commission.

Please be advised that both confidential and public cases are scheduled to be heard by the Florida Elections Commission on this date. As an Appellant, Respondent or Complainant in one case, you will *not* be permitted to attend the hearings on other confidential cases.

The Commission will electronically record the meeting. Although the Commission's recording is considered the official record of the hearing, the Respondent may provide, at his own expense, a certified court reporter to also record the hearing.

If you require an accommodation due to a disability, contact Donna Ann Malphurs at (850) 922-4539 or by mail at 107 West Gaines Street, The Collins Building, Suite 224, Tallahassee, Florida 32399, at least 5 days before the hearing.

**See further instructions on the reverse side.**

***Tim Vaccaro***

Executive Director  
Florida Elections Commission  
April 30, 2019

Please refer to the information below for further instructions related to your particular hearing:

If this is a hearing to consider **an appeal from an automatic fine**, the Filing Officer has imposed a fine on you for your failure to file a campaign treasurer's report on the designated due date and, by filing an appeal, you have asked the Commission to consider either (1) that the report was in fact timely filed; or (2) that there were unusual circumstances that excused the failure to file the report timely. You are required to prove your case. If the Commission finds that the report was filed timely or that there were unusual circumstances that excused the failure, it may waive the fine, in whole or in part. The Commission may reduce a fine after considering the factors in Section 106.265, Florida Statutes. If the Commission finds that the report was not timely filed and there were no unusual circumstances, the fine will be upheld.

If this is a hearing to consider a **consent order before a determination of probable cause has been made**, the Commission will decide whether to accept or reject the consent order. If the Commission accepts the consent order, the case will be closed and become public. If the Commission rejects the consent order or does not make a decision to accept or deny the consent order, the case will remain confidential, unless confidentiality has been waived.

If this is a hearing to consider a **consent order after a determination of probable cause has been made**, the Commission will decide whether to accept or reject the consent order. If the Commission accepts the consent order, the case will be closed. If the Commission rejects the consent order or does not make a decision to accept or deny the consent order, the Respondent will be entitled to another hearing to determine if the Respondent committed the violation(s) alleged.

If this is a **probable cause hearing**, the Commission will decide if there is probable cause to believe that the Respondent committed a violation of Florida's election laws. Respondent should be prepared to explain how the staff in its recommendation incorrectly applied the law to the facts of the case. *Respondent may not testify, call others to testify, or introduce any documentary or other evidence at the probable cause hearing.* The Commission will only decide whether Respondent should be *charged* with a violation and, before the Commission determines whether a violation has occurred or a fine should be imposed, Respondent will have an opportunity for another hearing at which evidence may be introduced.

If this is an **informal hearing**, it will be conducted pursuant Sections 120.569 and 120.57(2), Florida Statutes; Chapter 28 and Commission Rule 2B-1.004, Florida Administrative Code. At the hearing, the Commission will decide whether the Respondent committed the violation(s) charged in the Order of Probable Cause. The Respondent will be permitted to testify. However, the Respondent may not call witnesses to testify.

Respondent may argue why the established facts in the Staff Recommendation do not support the violations charged in the Order of Probable Cause. At Respondent's request, the Commission may determine whether Respondent's actions in the case were willful. The Respondent may also address the appropriateness of the recommended fine. If Respondent claims that his limited resources make him unable to pay the statutory fine, *he must provide the Commission with written proof of his financial resources* at the hearing. A financial affidavit form is available from the Commission Clerk.

THIS FILE CONTAINS INFORMATION  
THAT IS CONFIDENTIAL  
AND EXEMPT FROM DISCLOSURE  
PURSUANT TO  
CHAPTER 119, FLORIDA STATUTES

**STATE OF FLORIDA  
FLORIDA ELECTIONS COMMISSION**

**In Re: Sara Shaw**

**Case No.: FEC 17-029**

**STAFF RECOMMENDATION FOLLOWING INVESTIGATION**

Pursuant to Section 106.25(4)(c), Florida Statutes, undersigned counsel files this written recommendation for disposition of the complaint in this case recommending that there is **probable cause** to charge Respondent with violating **Sections 106.141(1) and 106.19(1)(c), Florida Statutes**, and **no probable cause** to charge Respondent with violating **Section 106.07(7), Florida Statutes**. Based upon a thorough review of the Report of Investigation submitted on March 15, 2019, the following facts and law support this staff recommendation:

1. On January 27, 2017, the Florida Elections Commission (“Commission”) received a referral from the Department of State, Division of Elections (“Division”) alleging that Sara Shaw, (“Respondent”) violated Chapter 106, Florida Statutes.
2. Respondent was a 2016 candidate for State Representative, District 43. She was eliminated in the primary election.
3. By letter dated October 4, 2018, the Executive Director notified Respondent that Commission staff would investigate the following statutory provisions:

**Section 106.141(1), Florida Statutes:** As alleged in the complaint, Respondent, a 2016 candidate for State Representative, District 43, failed to timely file her 2016 Termination Report (“TR”) reflecting the disposition of all remaining campaign funds.

**Section 106.19(1)(c), Florida Statutes:** As alleged in the complaint, Respondent, a 2016 candidate for State Representative, District 43, may have falsely reported or deliberately failed to include information in her 2016 TR, as required by Chapter 106.

**Section 106.07(7), Florida Statutes:** As alleged in the complaint, Respondent, a 2016 candidate for State Representative, District 43, may have failed to notify the filing officer on the prescribed reporting date that no report would be filed on that date because she had not received funds, made contributions, or expended reportable funds during the 2016 termination reporting period.

4 On August 7, 2015, Respondent filed an Appointment of Campaign Treasurer and Designation of Campaign Depository form ("DS-DE 9") with the Division. Respondent appointed herself as her own campaign treasurer. (ROI Exhibit 2)<sup>1</sup>

5. By letter dated August 10, 2015, Kristi Reid Bronson, Division of Elections, Chief, Bureau of Election Records, notified Respondent that her DS-DE 9 was filed with the Division on August 10, 2015.<sup>2</sup> The letter also contained a sealed envelope containing Respondent's confidential PIN number to access the Division's electronic filing system. (ROI Exhibit 3)

6. Ms. Bronson's August 10, 2015, letter also informed Respondent that all of the Division's publications and reporting forms were available on the Division's website, including Chapters 104 and 106, Florida Statutes, the *Candidate and Campaign Treasurer Handbook*, and the *Calendar of Reporting Dates*. The letter notified Respondent that it was her responsibility to read, understand, and follow the requirements of Florida's election laws. (*Id.*)

7. On August 7, 2015, Respondent filed a Statement of Candidate form with the Division in which she acknowledged that she had been provided access to read and understand the requirements of Chapter 106, Florida Statutes. (ROI Exhibit 8)

8. On November 28, 2016, Respondent's 2016 TR was due. However, Respondent failed to file it. On November 29, 2016, the Division sent Respondent written notification that the campaign's 2016 TR or the required notification that no reportable activity occurred, whichever was applicable, had not been filed. (ROI Exhibit 4)

9. On January 11, 2017, the Division sent Respondent a letter marked "Final Notice" that the campaign's 2016 TR or the required notification that no reportable activity occurred, whichever was applicable, had not been filed. The final notice was delivered on January 14, 2017. (ROI Exhibit 5)

10. The investigation secured Respondent's campaign account records from her campaign depository, PNC Bank. The records revealed that there were multiple reportable financial transactions during the TR reporting period, which was from August 26, 2016, through November 28, 2016. The final transactions were two checks disposing of all remaining campaign funds. The checks both posted to the account on September 15, 2016.<sup>3</sup> (ROI Exhibit 6)

11. Section 106.141(1), Florida Statutes, requires a candidate to dispose of the funds on deposit in his campaign account and file a report reflecting the disposition of all remaining funds within 90 days of withdrawing his candidacy, becoming an unopposed candidate, being eliminated as a candidate, or being elected to office.

---

<sup>1</sup> The Report of Investigation shall be referred to herein as "ROI."

<sup>2</sup> The DS-DE 9 is date-stamped received by the Division on August 7, 2015, so the August 10, 2015, date on the acknowledgment letter was likely a scrivener's error. (ROI Exhibits 2-3)

<sup>3</sup> The first check, dated September 6, 2016, was in the amount of \$600 to Peter Sendtko for "campaign help;" the second check, dated September 10, 2016, was in the amount of \$270.83 to Voices for Osceola's Children for "GAL." (Attachment A)

12. Section 106.19(1)(c), Florida Statutes, prohibits a candidate from falsely reporting or deliberately failing to include any information required by Chapter 106.

13. Pursuant to Section 106.07(7), Florida Statutes, in any reporting period during which a candidate has not received funds, made any contributions, or expended any reportable funds, the filing of the required report for that period is waived. However, the candidate is required to notify the filing officer in writing on the prescribed reporting date that no report is being filed on that date.

14. Since a review of Respondent's bank records revealed several reportable financial transactions that occurred during the 2016 TR reporting period, Respondent was required to timely file the campaign's 2016 TR reporting all transactions associated with disposition of all remaining campaign funds.

15. However, Respondent did not timely file the campaign's 2016 TR, and as of March 5, 2019, Respondent had still not filed the campaign's 2016 TR. (ROI Exhibit 7)

16. Respondent was offered multiple opportunities to respond to Commission staff's investigation but failed to do so.

17. Based upon the information above, Respondent failed to file the campaign's 2016 TR showing the disposition of all remaining campaign funds by the required due date, and Respondent failed to disclose multiple financial transactions made during the 2016 TR reporting period.

18. "Probable Cause" is defined as a reasonable grounds of suspicion supported by circumstances sufficiently strong to warrant a cautious person in the belief that the person has committed the offense charged. *Schmitt v. State*, 590 So.2d 404, 409 (Fla. 1991). Probable cause exists where the facts and circumstances, of which an [investigator] has reasonably trustworthy information, are sufficient in themselves for a reasonable man to reach the conclusion that an offense has been committed. *Department of Highway Safety and Motor Vehicles v. Favino*, 667 So.2d 305, 309 (Fla. 1<sup>st</sup> DCA 1995).

19. The above facts show that Respondent was a 2016 candidate for State Representative, District 43. She was defeated in the primary election and was thus eliminated from the race. Respondent failed to file the campaign's 2016 TR listing the disposition of all remaining funds on deposit in her campaign account within 90 days of being eliminated. A review of Respondent's bank records revealed that, although all remaining campaign funds were disposed of during the TR reporting period, there were multiple financial transactions that should have been reported on the TR but were not disclosed by Respondent.

**[THIS SPACE INTENTIONALLY LEFT BLANK]**



Based upon these facts and circumstances, I recommend that the Commission find no probable cause to charge Respondent with violating Section 106.07(7), Florida Statutes, and find probable cause to charge Respondent with the following:

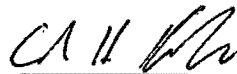
**Count 1:**

On or about November 28, 2016, Respondent violated Section 106.141(1), Florida Statutes, when Respondent failed to file the campaign's 2016 TR listing the disposition of all remaining campaign funds within 90 days of being eliminated.

**Count 2:**

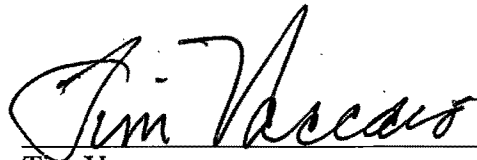
On or about November 28, 2016, Respondent violated Section 106.19(1)(c), Florida Statutes, when Respondent deliberately failed to include information required to be reported by Chapter 106 on the campaign's 2016 TR.

Respectfully submitted on April 2, 2019,



\_\_\_\_\_  
Cole H. Kekelis  
Assistant General Counsel

I reviewed this Staff Recommendation this 1<sup>st</sup> day of April 2019.



\_\_\_\_\_  
Tim Vaccaro  
Executive Director

EFORM124924

CAMPAIGN OF SARA SHAW FOR STAT DATE Sept 16, 2016 8-9/430

PAY TO THE ORDER OF Peter Sendtko \$ 600.00

Six hundred dollars and 00 700 DOLLARS

PNC Bank, National Association  
Pittsburgh  
KISSIMMEE 00580

MEMO Campaign help Sara Shaw

[REDACTED]

FEDERAL RESERVE BOARD  
GOVERNORS FED. CO

DO NOT WRITE, STAMP OR SIGN BELOW THIS LINE  
RESERVED FOR FINANCIAL INSTITUTION USE

MP

ENDORSE HERE

X [Signature]

[REDACTED]

Posting Date 2016 Sep 15

Account Number [REDACTED]

ATTACHMENT A, page 1 of 2

FD-08124934

CAMPAIGN OF SARA SHAW FOR STAT

DATE 9/10/16

8-9/430

PAY TO THE ORDER OF Voices for Osceola's Children \$ 270.53  
Two hundred seventy dollars and 53/100 DOLLARS

PNC Bank, National Association  
Pittsburgh  
KISSIMMEE 00580

MEMO GAL Smith



FEDERAL RESERVE BOARD OF GOVERNORS REG. NO. 100-108-100

ENDORSE HERE  
X FOR DEPOSIT ONLY  
FRIENDS OF OSCEOLA CHILDREN, INC.  
DBA VOICES FOR OSCEOLA CHILDREN, INC.  
CREDIT TO ACCOUNT  
DO NOT WRITE, STAMP OR SIGN BELOW THIS LINE  
RESERVED FOR FINANCIAL INSTITUTION USE

Posting Date 2016 Sep 15  
Account Number [REDACTED]

**FLORIDA ELECTIONS COMMISSION**  
**REPORT OF INVESTIGATION**  
**Case No.: FEC 17-029**

**Respondent: Sara Shaw**

Counsel for Respondent: n/a

**Complainant: Division of Elections**

Counsel for Complainant: n/a

On January 27, 2017, the Florida Elections Commission ("Commission") received a referral from the Division of Elections alleging that Respondent violated Chapter 106, Florida Statutes. Commission staff investigated whether Respondent violated the following statutes:

Section 106.07(7), Florida Statutes, failure of a candidate who did not receive any contributions or make any expenditures during a reporting period to notify timely the filing officer, in writing, that no report is being filed;

Section 106.141(1), Florida Statutes, failure of a candidate to file a termination report reflecting the disposition of all remaining funds in her campaign account within 90 days after she withdrew, became unopposed, was eliminated, or elected; and

Section 106.19(1)(c), Florida Statutes, prohibiting a person or organization from falsely reporting or deliberately failing to report information required by Chapter 106, Florida Statutes.

**I. Preliminary Information:**

1. Respondent was a candidate for the office of State Representative, District 43 in the 2016 election. Respondent was defeated in her bid for election when she received 29.0 % of the vote during the Democratic Primary. Respondent was not a first-time candidate.

2. Complainant is the Division of Elections.

3. Respondent's filing officer is Kristi Willis, Chief, Bureau of Election Records.

4. On January 27, 2017, a DOE staff representative, Kristi Willis, submitted a sworn-affidavit alleging that Respondent failed to report campaign activity, or in the alternative failed to notify the filing officer that no report would be filed, for the 2016 TR reporting period. To view a copy of the sworn-affidavit from Complainant, refer to exhibit 1.

5. On August 7, 2015, Respondent filed her original Appointment of Campaign Treasurer and Designation of Campaign Depository (DS-DE 9) form with his filing officer. Respondent appointed herself as her own campaign treasurer. To view a copy of Respondent's

DS-DE 9 form, refer to exhibit 2.

6. On August 10, 2015, Complainant mailed Respondent a letter acknowledging receipt of her DS-DE 9 form and informing her that her name had been placed on the 2016 active candidate list. This letter also informed Respondent of her confidential "PIN" number to access the Division of Election's electronic filing system (EFS) for submitting campaign treasurer's reports and waivers. To view a copy of August 10, 2015 acknowledgement letter, refer to exhibit 3.

7. On November 29, 2016 Complainant mailed Respondent a failure-to-file letter informing Respondent that a 2016 TR Report had not been filed. This letter was sent to the address Respondent provided on her DS-DE 9 form (Exhibit 2). To view a copy of the November 29, 2016 failure-to-file letter, refer to exhibit 4.

8. On January 11, 2017, Complainant mailed Respondent a certified mail "Final Notice" informing her that a 2016 TR Report still had not been filed. This letter was also sent to the address Respondent provided on her original DS-DE 9 form (Exhibit 2). A delivery confirmation receipt verified that the letter was delivered to the address provided. To view a copy of the January 11, 2017 "Final Notice" with delivery confirmation receipt, refer to exhibit 5.

9. The allegations that Respondent failed to report campaign activity, or in the alternative, failed to notify the filing officer, in writing, that no report would be filed for the 2016 Termination Report (TR) period will be discussed in the following paragraphs.

## **II. Alleged Violation of Section 106.07(7), Florida Statutes:**

10. I investigated whether Respondent violated this section of the election laws by not notifying the filing officer in writing, that no report would be filed due to not having any financial activity during the 2016 TR reporting period.

11. On October 22, 2018, I subpoenaed Respondent's campaign account records from PNC Bank, N.A., the campaign depository listed on her DS-DE 9 form (Exhibit 2). This account reflects numerous financial transactions occurring during the 2016 TR reporting period<sup>1</sup>. To view a copy of the monthly bank statements from PNC Bank, N.A. reflecting financial activity, refer to exhibit 6.

12. Based upon a review of Respondent's campaign account records, it appears Respondent was not required to notify her filing officer in writing that no report would be filed for the 2016 TR reporting period; there was activity during this period. The campaign account records reflect that Respondent made five expenditures totaling \$1,118.67 during the TR reporting period<sup>2</sup>. It appears that Respondent was required to disclose these transactions by filing a 2016 TR Report. As of the date of this report, a 2016 TR Report has not been filed. To view a copy of Respondent's filing history, refer to exhibit 7.

---

<sup>1</sup> The 2016 TR reporting period covers the time-period of August 26, 2016 through November 28, 2016.

<sup>2</sup> The withdrawal that disposed of the remainder of the campaign's funds was made on September 15, 2016.

13. There is no record that Respondent has previously been investigated for violating this section of the election laws.

**III. Alleged Violation of Section 106.141(1), Florida Statutes:**

14. I investigated whether Respondent violated this section of the election laws by not filing her 2016 TR Report, due by November 28, 2016, that reflected a disposition of all remaining funds in her campaign account within 90 days after she was eliminated from the election.

15. To review the circumstances regarding Respondent's financial activity, refer to paragraphs 11 and 12 of this report.

16. There is no record that Respondent has previously been investigated for violating this section of the election laws.

**IV. Alleged Violation of Section 106.19(1)(c), Florida Statutes:**

17. I investigated whether Respondent violated this section of the election laws by falsely reporting or deliberately failing to include information required by Chapter 106, Florida Statutes, when she failed to file a 2016 TR Report on the prescribed reporting date, disclosing all activity during the reporting period.

18. To review the circumstances regarding Respondent's financial activity, refer to paragraphs 11 and 12 of this report.

19. There is no record that Respondent has previously been investigated for violating this section of the election laws.

**V. FEC History:**

20. Respondent has been involved in four prior investigations. In FEC 16-071; no probable cause was found for alleged violation of 106.07(7); closed case on March 13, 2017. In FEC 16-428; 16-533; and 16-580; these cases were all processed for automatic-default final orders and closed with no records of the automatic-fines being paid for any of the cases.

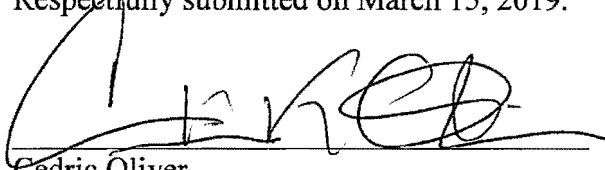
**Conclusion:**

21. Respondent was offered several opportunities to make comments regarding the findings of this investigation. Respondent did not respond to any of these attempts.

22. In the August 10, 2015 acknowledgement letter, Complainant informed Respondent that all of the Division's publications and reporting forms are available on the Division of Elections' website. In the letter, Respondent was admonished as follows: **It is your responsibility to read, understand, and follow the requirements of Florida's election laws. Therefore, please print a copy of the following documents: Chapters 104 and 106, Florida Statutes, Candidate and Campaign Treasurer Handbook, Calendar of Reporting Dates, and Rule 1S-2.017, Florida Administrative Code.** To view a copy of the August 10, 2015 acknowledgement letter, refer to exhibit 3.

23. On August 7, 2015, Respondent filed her Statement of Candidate for State Representative, District 43 acknowledging that she had been provided access to read and understand the requirements of Chapter 106, Florida Statutes. To view a copy of the Statement of Candidate, refer to exhibit 8.

Respectfully submitted on March 15, 2019.



Cedric Oliver  
Investigation Specialist

**Current address of Respondent**

Ms. Sara Shaw  
5127 W 1100 N  
Felt, ID 83424

**Current address of Complainant**

Division of Elections  
500 South Bronough Street, Room 316  
Tallahassee, Fl 32399

**Name and Address of Filing Officer:**

Ms. Kristi Willis  
Bureau of Election Records Chief  
500 South Bronough Street, Room 316  
Tallahassee, Fl 32399

Copy furnished to: Mr. David Flagg, Investigations Manager

**FLORIDA ELECTIONS COMMISSION**  
**REPORT OF INVESTIGATION**  
**Sara Shaw -- FEC 17-029**

<b>LIST OF EXHIBITS</b>	
<b>Exhibits #s</b>	<b>Description of Exhibits</b>
Exhibit 1	Sworn-affidavit from Complainant
Exhibit 2	Respondent's DS-DE 9 form
Exhibit 3	August 10, 2015 Acknowledgement Letter
Exhibit 4	November 29, 2016 failure-to-file letter
Exhibit 5	January 11, 2017 Final Notice with delivery confirmation receipt
Exhibit 6	Relevant pages from Respondent's campaign depository, PNC Bank N.A.
Exhibit 7	Respondent's filing history
Exhibit 8	Statement of Candidate



**AFFIDAVIT**

**STATE OF FLORIDA**

**County of Leon**

**Kristi Reid Bronson, being duly sworn, says:**

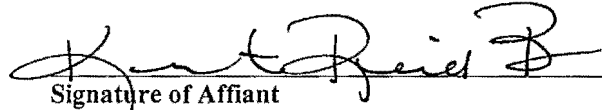
1. I am the Chief of the Bureau of Election Records of the Division of Elections (Division). In that capacity, I oversee the Division's duties related to the filing of campaign finance reports.
2. This affidavit is made upon my personal knowledge, including information obtained from review of the attached records, of which I am the custodian.
3. I am of legal age and competent to testify to the matters stated herein.
4. Sara Shaw (64850) was a 2016 candidate for the office of State Representative.
5. On August 7, 2015, Ms. Shaw filed the Appointment of Campaign Treasurer and Designation of Campaign Depository with the Division. She appointed herself as treasurer. (See attached documents and acknowledgment letter.)
6. The 2016 TR campaign treasurer's report was due on November 28, 2016. On November 29, 2016, the Division mailed Ms. Shaw notification that the 2016 TR treasurer's report had not been filed. (See attached letter.)
7. On January 12, 2017, the Division mailed Ms. Shaw final notification with delivery confirmation that the 2016 TR treasurer's report had not been filed. (See attached letter with delivery confirmation.)
8. Ms. Shaw did not notify the Division of Elections prior to or on the prescribed reporting date for the 2016 TR report that no report was to be filed.

**EXHIBIT**

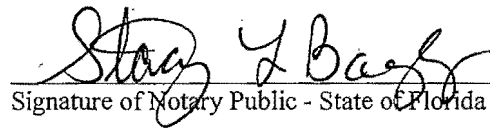
1 (1 of 2)

9. As of January 25, 2017, Ms. Shaw has not filed the 2016 TR report.

I hereby swear or affirm that the foregoing information is true and correct to the best of my knowledge.

  
Signature of Affiant

Sworn to (or affirmed) and subscribed before me this 25<sup>th</sup> day of January, 2017.

  
Signature of Notary Public - State of Florida

Print, Type, or Stamp Commissioned Name of Notary Public

Personally Known

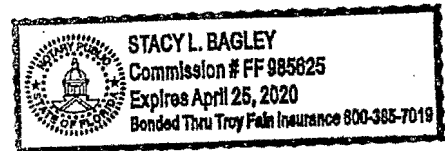


EXHIBIT 1 (2012)

**APPOINTMENT OF CAMPAIGN TREASURER  
AND DESIGNATION OF CAMPAIGN  
DEPOSITORY FOR CANDIDATES**  
(Section 106.021(1), F.S.)

(PLEASE PRINT OR TYPE)

NOTE: This form must be on file with the qualifying officer before opening the campaign account.

RECEIVED  
DEPARTMENT OF STATE  
2015 AUG -7 AM 10:39

DIVISION OF ELECTIONS  
TALLAHASSEE, FL

OFFICE USE ONLY

1. CHECK APPROPRIATE BOX(ES):

Initial Filing of Form    Re-filing to Change:  Treasurer/Deputy     Depository     Office     Party

2. Name of Candidate (in this order: First, Middle, Last)

Sara, Katherine, Shaw

3. Address (include post office box or street, city, state, zip code)

[REDACTED]

4. Telephone

[REDACTED]

5. E-mail address

SaraforFlorida@gmail.com

6. Office sought (include district, circuit, group number)

State Representative District 43

7. If a candidate for a nonpartisan office, check if applicable:

My intent is to run as a Write-In candidate.

8. If a candidate for a partisan office, check block and fill in name of party as applicable: My intent is to run as a

Write-In     No Party Affiliation     Democrat    Party candidate.

9. I have appointed the following person to act as my  Campaign Treasurer     Deputy Treasurer

10. Name of Treasurer or Deputy Treasurer

Sara Shaw

11. Mailing Address

[REDACTED]

12. Telephone

[REDACTED]

13. City

[REDACTED]

14. County

[REDACTED]

15. State

[REDACTED]

16. Zip Code

[REDACTED]

17. E-mail address

SaraforFlorida@gmail.com

18. I have designated the following bank as my  Primary Depository     Secondary Depository

19. Name of Bank

PNC

20. Address

1818 North John Young Parkway

21. City

Kissimmee

22. County

Osceola

23. State

Florida

24. Zip Code

34744

UNDER PENALTIES OF PERJURY, I DECLARE THAT I HAVE READ THE FOREGOING FORM FOR APPOINTMENT OF CAMPAIGN TREASURER AND DESIGNATION OF CAMPAIGN DEPOSITORY AND THAT THE FACTS STATED IN IT ARE TRUE.

25. Date

8/3/15

26. Signature of Candidate



27. Treasurer's Acceptance of Appointment (fill in the blanks and check the appropriate block)

I, Sara Shaw, do hereby accept the appointment  
(Please Print or Type Name)

designated above as:  Campaign Treasurer     Deputy Treasurer.

8/3/15  
Date

  
Signature of Campaign Treasurer or Deputy Treasurer



**FLORIDA DEPARTMENT of STATE**

**RICK SCOTT**  
Governor

**KEN DETZNER**  
Secretary of State

August 10, 2015

Ms. Sara Shaw  
[REDACTED]

Dear Ms. Shaw:

This will acknowledge receipt of the Appointment of Campaign Treasurer and Designation of Campaign Depository for the office of State Representative, which was placed on file in our office on August 10, 2015. Your name has been placed on the 2016 active candidate list.

**Campaign Treasurer's Reports**

Your first campaign treasurer's report will be due on **January 12, 2015**. The report will cover the period of December 1-31, 2014 (M12). All candidates who file reports with the Division of Elections are required to file by means of the Division's Electronic Filing System (EFS).

**Credentials and Sign-ons**

Below is the web address to access the EFS and your user identification number. The enclosed sealed envelope contains your initial password. Once you have logged in using the initial password, you will be immediately prompted to change it to a confidential sign-on. You, your campaign treasurer, and deputy treasurers are responsible for protecting these passwords from disclosure and are responsible for all filings using these credentials, unless the Division is notified that your credentials have been compromised.

**EFS Website Address:** <https://efs.dos.state.fl.us>  
**Identification Number:** 64850



Division of Elections  
R.A. Gray Building, Suite 316 • 500 South Bronough Street • Tallahassee, Florida 32399  
850.245.6240 • 850.245.6260 (Fax) [dos.myflorida.com/elections/](http://dos.myflorida.com/elections/)  
Promoting Florida's History and Culture [VivaFlorida.org](http://VivaFlorida.org)



EXHIBIT 3 (of 3)

Sara Shaw  
August 10, 2015  
Page Two

### **Pin Numbers**

Pin numbers are confidential secure credentials that allow you to submit reports and update personal information. The enclosed sealed envelope contains your confidential pin numbers.

Each candidate is required to provide the Division of Elections with confidential personal information that may be used to allow access in the event that password is forgotten or lost. When you enter the campaign account screen, there will be a drop down box where you pick a question (such as *What is your mother's maiden name?*) and supply an answer. All passwords and answers to questions are stored as encrypted data and cannot be viewed by Division staff and given out over the phone. Please notify the Division if your credentials have been compromised.

### **Timely Filing**

All reports filed must be completed and filed through the EFS no later than midnight, Eastern Standard Time, of the due date. Reports not filed by midnight of the due date are late filed and subject to the penalties in Section 106.07(8), Florida Statutes. In the event that the EFS is inoperable on the due date, the report will be accepted as timely filed if filed no later than midnight of the first business day the EFS becomes operable. No fine will be levied during the period the EFS was inoperable.

Any candidate failing to file a report on the designated due date shall be subject to a fine of \$50 per day for the first 3 days late and, thereafter, \$500 per day for each late day, not to exceed 25% of the total receipts or expenditures, whichever is greater, for the period covered by the late report. However, for reports immediately preceding each primary and general election, the fine shall be \$500 per day for each late day, not to exceed 25% of the total receipts or expenditures, whichever is greater, for the period covered by the late report.

### **Electronic Receipts**

The person submitting the report on the EFS will be issued an electronic receipt indicating and verifying the report was filed. Each campaign treasurer's report filed by means of the EFS is considered to be under oath by the candidate and campaign treasurer and such persons are subject to the provisions of Section 106.07(5), Florida Statutes.

EXHIBIT

3(2 of 3)

Sara Shaw  
August 10, 2015  
Page Three

**Instructions and Assistance**

An online instruction guide is available to you on the EFS to assist with navigation, data entry, and submission of reports. The Division of Elections will also provide assistance to all users by contacting the EFS Help Desk at (850) 245-6280.

**All of the Division's publications and reporting forms are available on the Division of Elections' website at <http://dos.myflorida.com/elections/>. It is your responsibility to read, understand, and follow the requirements of Florida's election laws. Therefore, please print a copy of the following documents: Chapters 104 and 106, Florida Statutes, *Candidate and Campaign Treasurer Handbook*, *Calendar of Reporting Dates*, and Rule 1S-2.017, Florida Administrative Code.**

Please let me know if you need additional information.

Sincerely,



Kristi Reid Bronson, Chief  
Bureau of Election Records

KRB/js

Enclosures

EXHIBIT 3(3043)



FLORIDA DEPARTMENT OF STATE

**Ken Detzner**  
Secretary of State

DIVISION OF ELECTIONS

November 29, 2016

Sara Shaw  
PO Box 450897  
Kissimmee, FL 34745-4745

CAN 64850

Dear Ms. Shaw:

Our records indicate that you have not filed either your termination report or notification that no reportable activity occurred, whichever is applicable. The filing date was November 28, 2016.

The law (s. 106.07(8)(b), Fla. Stat.) requires the filing officer to immediately notify you about the missed filing date and the automatic fines that will be assessed for late filing of a report, if a report was due.

- If reportable activity occurred and you have not filed a report, statutory fines are automatically assessed as \$50 per day until you file your report. The total fine for the untimely report cannot exceed 25% of the total receipts or expenditures, whichever is greater, for the cover period. Even if you end up filing your report late, we cannot waive the statutory fines. We will notify you of the specific amount of fines owed once you file your report. You have 20 days from the day you receive the notice to pay the fine or to appeal the fine to the Florida Elections Commission.
- If no reportable activity occurred for the report date(s), you are still required to notify us in writing, via the Division's Electronic Filing System, that no reportable activity occurred. No automatic fines are statutorily assessed.

However, in all cases, failure to file and/or untimely filing of a report of reportable activity, or if applicable, a notice that no reportable activity occurred, may constitute an apparent violation of chapter 106, Fla. Stat. If we refer such matter to the Florida Elections Commission, the Commission may subsequently impose a civil penalty for each violation under chapter 106.265(1), Fla. Stat.

If you have any questions, please contact the help desk at (850) 245-6280.

Sincerely,

Kristi Reid Bronson, Chief  
Bureau of Election Records



FLORIDA DEPARTMENT OF STATE

Ken Detzner  
Secretary of State

DIVISION OF ELECTIONS

**Final Notice**

**Delivery Confirmation:**

USPS TRACKING #  
& CUSTOMER  
RECEIPT

**9114 9014 9645 0956 1027 43**  
For Tracking or Inquiries go to USPS.com  
or call 1-800-222-1811

January 11, 2017

Sara Shaw  
PO Box 450897  
Kissimmee, FL 34745-4745

CAN 64850

Dear Ms. Shaw:

A recent review of the Division's records show that the Division has not yet received your termination report or the required notification that no reportable activity occurred, whichever is applicable.

The Division has previously notified you of the unfiled report or notification. Please file your report or notification. If the report or notification is not filed within 7 days of receipt of this letter, the Division will refer this matter to the Florida Elections Commission, which may impose civil penalties of up to \$1000 per violation, apart from that automatic fine provisions of s. 106.07(8)(b), Fla. Stat.) that are applicable only to campaign finance reports.

As we stated in the prior notices, even if you now file the report or notification, the untimely filing of a report of reportable activity, or if applicable, a notice that no reportable activity occurred, may constitute an apparent violation of chapter 106, Fla. Stat. If we refer such matter to the Florida Elections Commission, the Commission may subsequently impose a civil penalty for each violation under chapter 106.265(1), Fla. Stat.

If you have any questions, please contact the help desk at (850) 245-6280.

Sincerely,

A handwritten signature in black ink, appearing to read "Kristi Reid Bronson".

Kristi Reid Bronson, Chief  
Bureau of Election Records



English

Customer Service

USPS Mobile

Register / Sign In



# USPS Tracking®

Still Have Questions?

[Browse our FAQs](#)



Get Easy Tracking Updates

[Sign up for My USPS](#)

Tracking Number: 9114901496450956102743

Updated Delivery Day: Saturday, January 14, 2017

## Product & Tracking Information

Postal Product:

Features:  
USPS Tracking®

## Available Actions

[Text Updates](#)

[Email Updates](#)

DATE & TIME	STATUS OF ITEM	LOCATION
January 14, 2017, 11:26 am	Delivered, PO Box	KISSIMMEE, FL 34745
Your item has been delivered and is available at a PO Box at 11:26 am on January 14, 2017 in KISSIMMEE, FL 34745.		
January 14, 2017, 3:22 am	Arrived at Post Office	KISSIMMEE, FL 34744
January 13, 2017, 1:36 pm	Arrived at USPS Facility	ORLANDO, FL 32824
January 12, 2017, 8:41 pm	Arrived at USPS Facility	TALLAHASSEE, FL 32301

## Track Another Package

Tracking (or receipt) number

[Track It](#)

## Manage Incoming Packages

Track all your packages from a dashboard.  
No tracking numbers necessary.

[Sign up for My USPS](#)



### HELPFUL LINKS

- [Contact Us](#)
- [Site Index](#)
- [FAQs](#)

### ON ABOUT.USPS.COM

- [About USPS Home](#)
- [Newsroom](#)
- [USPS Service Updates](#)
- [Forms & Publications](#)
- [Government Services](#)
- [Careers](#)

### OTHER USPS SITES

- [Business Customer Gateway](#)
- [Postal Inspectors](#)
- [Inspector General](#)
- [Postal Explorer](#)
- [National Postal Museum](#)
- [Resources for Developers](#)

### LEGAL INFORMATION

- [Privacy Policy](#)
- [Terms of Use](#)
- [FOIA](#)
- [No FEAR Act EEO Data](#)

Copyright © 2017 USPS. All Rights Reserved.

EXHIBIT 5(2 of 2)

**Business Checking**  
PNC Bank

For the period 07/30/2016 to 08/31/2016

CAMPAIGN OF SARA SHAW FOR STATE



Primary account number: [REDACTED]

Page 1 of 2

Number of enclosures: 0

For 24-hour banking sign on to  
 PNC Bank Online Banking on pnc.com  
FREE Online Bill Pay

For customer service call 1-877-BUS-BNKG  
Monday - Friday: 7 AM - 10 PM ET  
Saturday & Sunday: 8 AM - 5 PM ET

Para servicio en español, 1-877-BUS-BNKG

**Moving?** Please contact your local branch.

Write to: Customer Service  
PO Box 609  
Pittsburgh, PA 15230-9738

Visit us at PNC.com/mybusiness/

TDD terminal: 1-800-531-1648  
For hearing impaired clients only

---

**IMPORTANT INFORMATION ABOUT ATM TRANSACTIONS AND PURCHASES**

Under certain conditions we may allow you to complete a transaction that may cause an overdraft to your business checking or money market account when using your PNC Bank Business Visa® Debit Card at PNC Bank ATMs, non-PNC ATMs, and for merchant purchases. At PNC Bank ATMs we can give you the choice to cancel the transaction if it would cause an overdraft. We are not able to provide you this choice at a non-PNC Bank ATM or when making merchant purchases.

If you would prefer that we not allow overdrafts for card transactions at the ATM or merchant, please call us at 1-877-222-5401, Monday - Friday, 7 a.m. - 10 p.m.; Saturday and Sunday, 8 a.m. - 5 p.m. (ET), to opt-out. If you do so, we will decline transactions if your available funds are not sufficient to cover them.

If you have called previously to opt-out, you do not need to call again.

For more information, please see our Business Checking Accounts and Related Charges and / or Account Agreement for Business Accounts, Payment of Overdrafts for Card Transactions section.

---

**Business Checking Summary**

Campaign Of Sara Shaw For State

Account number: [REDACTED]  
Overdraft Protection has not been established for this account.  
Please contact us if you would like to set up this service.

---

**Balance Summary**

Beginning balance	Deposits and other additions	Checks and other deductions	Ending balance
1,180.67	500.00	788.84	891.83
		Average ledger balance	Average collected balance
		1,507.91	1,495.79

EXHIBIT 6(10/10)

# Business Checking

For 24-hour account information, sign on to  
pnc.com/mybusiness/

For the period 07/30/2016 to 08/31/2016  
CAMPAIGN OF SARA SHAW FOR STATE  
Primary account number: [REDACTED]

Business Checking Account number: [REDACTED] - continued

Page 2 of 2

Deposits and Other Additions			Checks and Other Deductions		
Description	Items	Amount	Description	Items	Amount
Deposits	1	500.00	Check Card Purchases	1	200.09
			POS Purchases	2	561.75
			Service Charges and Fees	1	27.00
<b>Total</b>	<b>1</b>	<b>500.00</b>	<b>Total</b>	<b>4</b>	<b>788.84</b>

## Daily Balance

Date	Ledger balance	Date	Ledger balance	Date	Ledger balance
07/30	1,180.67	08/26	1,118.67	08/30	891.83
08/01	1,653.67	08/29	918.58		

## Activity Detail

### Deposits and Other Additions

#### Deposits

Date posted	Amount	Transaction description	Reference number
08/01	500.00	Deposit	039055063

### Checks and Other Deductions

#### Check Card Purchases

Date posted	Amount	Transaction description	Reference number
08/29	200.09	1948 Debit Card Purchase 360 Marketing and Desi Kissimmee Fl	22534860085421948241

#### POS Purchases

Date posted	Amount	Transaction description	Reference number
08/26	535.00	POS Purchase Minuteman Pres Kissimmee Fl	POS06519830 0595447
08/30	26.75	POS Purchase Minuteman Pres Kissimmee Fl	POS06519830 0475377

#### Service Charges and Fees

Date posted	Amount	Transaction description	Reference number
08/01	27.00	Service Charge Period Ending 07/29/2016	

### Detail of Services Used During Current Period

Note: The total charge for the following services will be posted to your account on 09/01/2016 and will appear on your next statement as a single line item entitled Service Charge Period Ending 08/31/2016.

Description	Volume	Amount	
Account Maintenance Charge	1	12.00	
Combined Transactions	2	.00	Included in Account
Deposited Item - Consolidated	1	.00	Included in Account
Deposit Tickets Processed	1	.00	Included in Account
Image Unassisted View Deposit Item	3	9.00	
Total For Services Used This Period		21.00	
<b>Total Service Charge</b>		<b>21.00</b>	

EXHIBIT 6 (2 of 10)

**Business Checking**  
PNC Bank

For the period 08/01/2016 to 08/30/2016

CAMPAIGN OF SARA SHAW FOR STATE  
[REDACTED]

Primary account number: [REDACTED]

Page 1 of 2

Number of enclosures: 0

For 24-hour banking sign on to  
PNC Bank Online Banking on pnc.com  
FREE Online Bill Pay

For customer service call 1-877-BUS-BNKG  
Monday - Friday: 7 AM - 10 PM ET  
Saturday & Sunday: 8 AM - 5 PM ET

Para servicio en español, 1-877-BUS-BNKG

Moving? Please contact your local branch.

Write to: Customer Service  
PO Box 609  
Pittsburgh, PA 15230-9738

Visit us at PNC.com/mybusiness/

TDD terminal: 1-800-531-1648  
For hearing impaired clients only

---

**IMPORTANT INFORMATION ABOUT YOUR ACCOUNT FOR SOLE PROPRIETORS**

We may report information regarding your Account to credit bureaus. If the Account is jointly held, we may also report information to credit bureaus about any joint owner(s). Negative information, including closing of the Account with an overdrawn balance owed or other defaults on the Account, may be reflected in each joint owner's credit report.

---

**NEW CHOICE IN DOCUMENT DELIVERY FOR ONLINE BANKING CUSTOMERS**

All Online Banking checking and savings customers will soon be able to choose between "Digital Delivery Only" and "U.S. Mail Only" for eligible deposit servicing communications ("Documents").\* Starting between October 23 and December 31, 2016, customers can make this selection from the Online Document Preferences screen on the Customer Profile tab and may change this selection at any time. Please note that changes to delivery preferences may take up to two business days to go into effect.

Selecting "Digital Delivery Only" for an account means we will post ("deliver") documents in PDF form on PNC's secure Online Banking website. We will also send you an email notification that a new document is ready to be viewed in Online Banking. Please ensure your Online Banking email address is up to date by signing on and visiting the Customer Service tab. Documents that are delivered through Online Banking will no longer be delivered by postal mail. For more information and answers to common questions, please consult PNC's Online Banking Service Agreement or visit the Documents tab within the Customer Service section.

Selecting "U.S. Mail Only" for an account means we will mail the document, in paper form, to the last postal address you have specified for your Account.

\* Current list of eligible Documents - (This list may expand to include additional documents)

*Overdraft Protection Transfer Notice, Dormant/Inactive Account Activity Notice, Mail Deposit Confirmation Notice, Overdraft Protection Hold Notice, Continuous Overdraft Notice, Insufficient Funds Notice, Transfer Violation (Reg D) Notice, Transfer Violation (Reg D) Account Conversion Notice, Overdraft Coverage Confirmation Notice, ATM Deposit Correction Notice, and ATM Deposit Reversal Notice.*

---

**Business Checking Summary**

Campaign Of Sara Shaw For State

Account number: [REDACTED]

Overdraft Protection has not been established for this account.

Please contact us if you would like to set up this service.

---

EXHIBIT 6(30#10)

# Business Checking

For 24-hour account information, sign on to [pnc.com/mybusiness/](http://pnc.com/mybusiness/)

For the period 09/01/2016 to 09/30/2016  
 CAMPAIGN OF SARA SHAW FOR STATE  
 Primary account number: [REDACTED]

Page 2 of 2

Business Checking Account number: [REDACTED] - continued

## Balance Summary

Beginning balance	Deposits and other additions	Checks and other deductions	Ending balance
891.83	0.00	891.83	0.00
		Average ledger balance	Average collected balance
		406.38	406.38

## Deposits and Other Additions

Description	Items	Amount
<b>Total</b>	<b>0</b>	<b>0.00</b>

## Checks and Other Deductions

Description	Items	Amount
Checks	2	870.83
Service Charges and Fees	1	21.00
<b>Total</b>	<b>3</b>	<b>891.83</b>

## Daily Balance

Date	Ledger balance	Date	Ledger balance
09/01	870.83	09/15	0.00

## Activity Detail

### Checks and Other Deductions

#### Checks and Substitute Checks \* Gap in check sequence

Date posted	Check number	Amount	Reference number	Date posted	Check number	Amount	Reference number	Date posted	Check number	Amount	Reference number
09/15	000	600.00		09/15	9999 *	270.83	071757372				

### Service Charges and Fees

Date posted	Amount	Transaction description	Reference number
09/01	21.00	Service Charge Period Ending 08/31/2016	

### Detail of Services Used During Current Period

Note: The total charge for the following services will be posted to your account on 10/03/2016 and will appear on your next statement as a single line item entitled Service Charge Period Ending 09/30/2016.

Description	Volume	Amount	
Account Maintenance Charge	1	12.00	
Combined Transactions	2	.00	Included in Account
Checks Paid	2	.00	Included in Account
<b>Total For Services Used This Period</b>		<b>12.00</b>	
<b>Total Service Charge</b>		<b>.00</b>	

EXHIBIT 6(4 of 10)

**Business Checking**  
PNC Bank


For the period 10/01/2016 to 10/31/2016


CAMPAIGN OF SARA SHAW FOR STATE  
[REDACTED]

Primary account number: [REDACTED]

Page 1 of 2


Number of enclosures: 0


For 24-hour banking sign on to  
 PNC Bank Online Banking on pnc.com  
FREE Online Bill Pay


 For customer service call 1-877-BUS-BNKG  
Monday - Friday: 7 AM - 10 PM ET  
Saturday & Sunday: 8 AM - 5 PM ET

Para servicio en español, 1-877-BUS-BNKG

 Please contact your local branch.

 Write to: Customer Service  
PO Box 809  
Pittsburgh, PA 15230-9738

 Visit us at PNC.com/mybusiness/

 TDD terminal: 1-800-531-1648  
For hearing impaired clients only

---

**IMPORTANT ACCOUNT INFORMATION FOR YOUR  
BUSINESS CHECKING ACCOUNT**

Effective December 4, 2016, PNC will automate the process for linking business credit card and Merchant Services accounts to your Business Checking account for consideration in waiving your Monthly Account Maintenance fee. Business credit card and Merchant Services accounts already linked to your Business Checking will be maintained.

- Eligible business credit cards (up to 10) will be automatically linked to the business checking account using the same primary name and considering the following factors, in order of priority: a) the business checking account open the longest, and b) the business checking account with the lowest account number.
- Eligible Merchant Services accounts (up to 10) will be automatically linked to the business checking account used as the settlement account.

If you have any questions or concerns about these changes, please contact your PNC Business Banker or call us at the Customer Care Center number listed above.

---

**IMPORTANT INFORMATION ABOUT YOUR ACCOUNT FOR SOLE PROPRIETORS**

We may report information regarding your Account to credit bureaus. If the Account is jointly held, we may also report information to credit bureaus about any joint owner(s). Negative information, including closing of the Account with an overdrawn balance owed or other defaults on the Account, may be reflected in each joint owner's credit report.

---

**Business Checking Summary**

Campaign Of Sara Shaw For State

Account number: [REDACTED]

Overdraft Protection has not been established for this account.

Please contact us if you would like to set up this service.

EXHIBIT 6 (5 of 10)

# Business Checking

For 24-hour account information, sign on to  
pnc.com/mybusiness/

For the period 10/01/2016 to 10/31/2016  
CAMPAIGN OF SARA SHAW FOR STATE  
Primary account number: [REDACTED]  
Page 2 of 2

Business Checking Account number: [REDACTED] - continued

## Balance Summary

Beginning balance	0.00	Deposits and other additions	0.00	Checks and other deductions	0.00	Ending balance	0.00
				Average ledger balance	0.00	Average collected balance	0.00

## Deposits and Other Additions

Total 0 0.00

## Checks and Other Deductions

Total 0 0.00

## Detail of Services Used During Current Period

Note: The total charge for the following services will be posted to your account on 11/01/2016 and will appear on your next statement as a single line item entitled Service Charge Period Ending 10/31/2016.

Description	Volume	Amount
Account Maintenance Charge	1	12.00
Total For Services Used This Period		12.00
Total Service Charge		.00

EXHIBIT 6d (out 10)

**Business Checking**  
PNC Bank

For the period 11/01/2016 to 11/30/2016

CAMPAIGN OF SARA SHAW FOR STATE

Primary account number: [REDACTED]

Page 1 of 2

Number of enclosures: 0

For 24-hour banking sign on to  
PNC Bank Online Banking on pnc.com  
FREE Online Bill Pay

For customer service call 1-877-BUS-BNKG  
Monday - Friday: 7 AM - 10 PM ET  
Saturday & Sunday: 8 AM - 5 PM ET

Para servicio en español, 1-877-BUS-BNKG

Moving? Please contact your local branch.

Write to: Customer Service  
PO Box 609  
Pittsburgh, PA 15230-9738

Visit us at PNC.com/smallbusiness

TDD terminal: 1-800-531-1648  
For hearing impaired clients only

---

**IMPORTANT CHANGES TO FEES FOR BUSINESS CHECKING**

The information below amends certain information in our "Business Checking Accounts and Related Charges" ("Schedule"). All other information in our Schedule continues to apply to your account. Please read this information and retain it with your records.

**Effective April 1, 2017**

- > The Over the Counter Cash Furnished fee will be \$2.50 per \$1,000
- > The Over the Counter Coin Furnished fee will be \$0.15 per roll
- > The Bulk Currency Furnished fee will be \$2.50 per \$1,000
- > The Return Deposited Item Fee will be \$15.00 per item

If you have questions or concerns about these changes, please contact your PNC Business Banker or call us at the number listed at the top of this statement.

---

**Business Checking Summary**

Campaign Of Sara Shaw For State

Account number: [REDACTED]

Overdraft Protection has not been established for this account.  
Please contact us if you would like to set up this service.

---


**Balance Summary**

Beginning balance	Deposits and other additions	Checks and other deductions	Ending balance
0.00	0.00	0.00	0.00
		Average ledger balance	Average collected balance
		0.00	0.00

EXHIBIT 6(70#10)



# Business Checking

 For 24-hour account information, sign on to  
pnc.com/mybusiness/

For the period 11/01/2016 to 11/30/2016  
CAMPAIGN OF SARA SHAW FOR STATE  
Primary account number: [REDACTED]  
Page 2 of 2

Business Checking Account number: [REDACTED] - continued

## Deposits and Other Additions

Total 0 0.00

## Checks and Other Deductions

Total 0 0.00

## Detail of Services Used During Current Period

Note: The total charge for the following services will be posted to your account on 12/01/2016 and will appear on your next statement as a single line item entitled Service Charge Period Ending 11/30/2016.

Description	Volume	Amount
Account Maintenance Charge	1	12.00
Total For Services Used This Period		12.00
Total Service Charge		.00

EXHIBIT 6 (8 of 10)

**Business Checking**  
PNC Bank

For the period 12/01/2016 to 12/30/2016

CAMPAIGN OF SARA SHAW FOR STATE



Primary account number: [REDACTED]

Page 1 of 2

Number of enclosures: 0

For 24-hour banking sign on to  
PNC Bank Online Banking on pnc.com  
FREE Online Bill Pay

For customer service call 1-877-BUS-BNKG  
Monday - Friday: 7 AM - 10 PM ET  
Saturday & Sunday: 8 AM - 5 PM ET

Para servicio en español, 1-877-BUS-BNKG

**Moving?** Please contact your local branch.

Write to: Customer Service  
PO Box 609  
Pittsburgh, PA 15230-9738

Visit us at PNC.com/smallbusiness

TDD terminal: 1-800-531-1648  
For hearing impaired clients only

**IMPORTANT CHANGES TO FEES FOR BUSINESS CHECKING**

The information below amends certain information in our "Business Checking Accounts and Related Charges" ("Schedule"). All other information in our Schedule continues to apply to your account. Please read this information and retain it with your records.

**Effective April 1, 2017**

- > The Over the Counter Cash Furnished fee will be \$2.50 per \$1,000
- > The Over the Counter Coin Furnished fee will be \$0.15 per roll
- > The Bulk Currency Furnished fee will be \$2.50 per \$1,000
- > The Return Deposited Item Fee will be \$15.00 per item

If you have questions or concerns about these changes, please contact your PNC Business Banker or call us at the number listed at the top of this statement.

**Business Checking Summary**

Campaign Of Sara Shaw For State

Account number: [REDACTED]

Overdraft Protection has not been established for this account.


Please contact us if you would like to set up this service.

**Balance Summary**

Beginning balance	Deposits and other additions	Checks and other deductions	Ending balance
0.00	0.00	0.00	0.00
		Average ledger balance	Average collected balance
		0.00	0.00

EXHIBIT 6(90+10)

# Business Checking

 For 24-hour account information, sign on to  
pnc.com/mybusiness/

For the period 12/01/2016 to 12/30/2016  
CAMPAIGN OF SARA SHAW FOR STATE  
Primary account number: [REDACTED]  
Page 2 of 2

Business Checking Account number: [REDACTED] - continued

## Deposits and Other Additions

## Checks and Other Deductions

<b>Total</b>	0	0.00	<b>Total</b>	0	0.00
--------------	---	------	--------------	---	------

EXHIBIT 6 (10 of 10)



[search](#) | [directory](#) | [contact us](#) | [411](#) | [subscribe](#) | [tour](#) | [help](#)

Florida Department of State - Division of Elections

Florida Election System Reports

Candidate/Committee Lookup

Candidate Name: Sara Shaw

Name:

Account: 64850

Election:

Acct:

Type:

Date Due	Type	Date Filed	Status	Days Late	Fine Assessed	Appealed	Amount Fined	Amount Paid
11/28/2016	TR		FEC	0	\$0.00		\$0.00	\$0.00
8/26/2016	P7	8/26/2016						
8/19/2016	P6	8/19/2016						
8/12/2016	P5	8/12/2016						
8/5/2016	P4	8/6/2016	DFS	1	\$38.86		\$38.86	\$0.00
7/29/2016	P3	7/29/2016						
7/15/2016	P2	7/16/2016	DFS	1	\$50.00		\$50.00	\$0.00
7/1/2016	P1	7/1/2016						
6/10/2016	M5	6/13/2016	DFS	3	\$150.00		\$150.00	\$0.00
5/10/2016	M4	5/9/2016						
4/11/2016	M3	4/11/2016						
3/10/2016	M2	3/10/2016						
2/10/2016	M1	2/11/2016	FOI	1	\$0.00		\$0.00	\$0.00
1/11/2016	M12	1/9/2016						
12/10/2015	M11	12/9/2015						
11/10/2015	M10	11/11/2015	FOI	1	\$0.00		\$0.00	\$0.00
10/13/2015	M9	10/11/2015						
9/10/2015	M8	9/3/2015						

EXHIBIT 7 (1 of 3)



**Florida Department of State  
Division of Elections**

**2016 General Election  
Sara Shaw (DEM)  
State Representative**

**Campaign Finance Activity**

**Note:** The information presented below was obtained from the Committee's/Candidate's Campaign Treasurer's Report filed with the Division of Elections. About the Campaign Finance Data Base.

Contributions							
	Filing Period	Monetary	Loans	InKind	Expend	Other	Transfers
<input type="radio"/>	08/07/2015 - 08/31/2015	400.00	0.00	0.00	204.72	0.00	0.00
<input type="radio"/>	09/01/2015 - 09/30/2015	0.00	0.00	0.00	193.00	0.00	0.00
<b>W</b>	10/01/2015 - 10/31/2015	0.00	0.00	0.00	0.00	0.00	0.00
<input type="radio"/>	11/01/2015 - 11/30/2015	1,100.00	0.00	0.00	1,059.09	0.00	0.00
<input type="radio"/>	12/01/2015 - 12/31/2015	0.00	0.00	0.00	12.00	0.00	0.00
<b>W</b>	01/01/2016 - 01/31/2016	0.00	0.00	0.00	0.00	0.00	0.00
<b>W</b>	02/01/2016 - 02/29/2016	0.00	0.00	0.00	0.00	0.00	0.00
<b>W</b>	03/01/2016 - 03/31/2016	0.00	0.00	0.00	0.00	0.00	0.00
<b>W</b>	04/01/2016 - 04/30/2016	0.00	0.00	0.00	0.00	0.00	0.00
<input type="radio"/>	05/01/2016 - 05/31/2016	700.00	0.00	0.00	0.00	0.00	0.00
<input type="radio"/>	06/01/2016 - 06/24/2016	1,250.00	0.00	0.00	1,825.21	0.00	0.00
<input type="radio"/>	06/25/2016 - 07/08/2016	2,300.00	0.00	170.00	635.58	0.00	0.00
<input type="radio"/>		0.00	0.00	0.00	437.82	0.00	0.00

**EXHIBIT 7 (2 of 3)**

	07/09/2016 - 07/22/2016						
<input type="radio"/>	07/23/2016 - 07/29/2016	0.00	0.00	0.00	155.45	0.00	0.00
<input type="radio"/>	07/30/2016 - 08/05/2016	500.00	0.00	0.00	27.00	0.00	0.00
<b>W</b>	08/06/2016 - 08/12/2016	0.00	0.00	0.00	0.00	0.00	0.00
<b>W</b>	08/13/2016 - 08/25/2016	0.00	0.00	0.00	0.00	0.00	0.00
<input checked="" type="radio"/>	<b>All Dates (Totals)</b>	6,250.00	0.00	170.00	4,549.87	0.00	0.00

Note: (E) indicates that report was filed electronically

**X** Indicates that detail has not been released

**W** Indicates that a waiver was filed and **L** Indicates that a loan report was filed

Select Detail Type

Contributions ▼

Select Sort Order

Date(Ascending) ▼

Select Output Type

Display On Screen ▼

Submit Query Now

Query the Campaign Finance Data Base

[Department of State] [Division of Elections] [Candidates and Races] [Campaign Finance Information]

EXHIBIT 7 (30 of 3)

**STATEMENT OF  
CANDIDATE**

(Section 106.023, F.S.)


(Please print or type)

OFFICE USE ONLY  
RECEIVED  
DEPARTMENT OF STATE  
2015 AUG -7 AM 10:39  
DIVISION OF ELECTIONS  
TALLAHASSEE, FL

I, Sara Shaw ,

candidate for the office of State Representative District 43 ;

have been provided access to read and understand the requirements of  
Chapter 106, Florida Statutes.

X   
Signature of Candidate

8/3/15  
Date

Each candidate must file a statement with the qualifying officer within 10 days after the Appointment of Campaign Treasurer and Designation of Campaign Depository is filed. Willful failure to file this form is a first degree misdemeanor and a civil violation of the Campaign Financing Act which may result in a fine of up to \$1,000, (ss. 106.19(1)(c), 106.265(1), Florida Statutes).



**FLORIDA ELECTIONS COMMISSION**

107 W. Gaines Street,  
Suite 224 Collins Building  
Tallahassee, Florida 32399-1050  
Telephone: (850) 922-4539  
Fax: (850) 921-0783  
[www.fec.state.fl.us](http://www.fec.state.fl.us); [fec@myfloridalegal.com](mailto:fec@myfloridalegal.com)

October 4, 2018

Sara Shaw  
2409 Oak Run Blvd.  
Kissimmee, FL 34744-2777

**RE: Case No.: FEC 17-029; Respondent: Sara Shaw**

Dear Ms. Shaw:

On January 27, 2017, the Florida Elections Commission received a complaint alleging that you violated Florida's election laws. I have reviewed the complaint and find that it contains one or more **legally sufficient allegations**. The Commission staff will investigate the following alleged violations:

**Section 106.07(7), Florida Statutes:** As alleged in the complaint, Respondent, a 2016 candidate for the House of Representatives, may have failed to notify the filing officer on the prescribed reporting date that no report would be filed on that date because she had not received funds, made contributions, or expended reportable funds during the termination reporting period.

**Section 106.141(1), Florida Statutes:** As alleged in the complaint, Respondent, a 2016 candidate for the House of Representatives, failed to timely file her Termination Report reflecting the disposition of all remaining campaign funds.

**Section 106.19(1)(c), Florida Statutes:** As alleged in the complaint, Respondent, a 2017 special election candidate for the office of State Senate, District 40, may have falsely reported or deliberately failed to include information in her Termination Report, as required by Chapter 106.

When we conclude the investigation, a copy of the Report of Investigation (ROI) will be mailed to you at the above address. Based on the results of the investigation, a staff attorney will make a written recommendation (Staff Recommendation or SR) to the Commission as to whether there is probable cause to charge respondent with violating Chapters 104 or 106, Florida Statutes. You will have an opportunity to respond to both the ROI and the SR. The Commission will then hold one or more hearings to determine whether the alleged violations occurred and, if so, the amount



Sara Shaw  
October 4, 2018  
Page 2  
FEC 17-029

of the fine to be imposed. You and the complainant will receive notice at least 14 days before any hearing at which your case is to be considered.

Please note that all documents related to this matter will be mailed to the above address unless you **notify us of a new address**. Also, please remember that complaints, Commission investigations, investigative reports, and other documents relating to an alleged violation of Chapters 104 or 106, Florida Statutes, are **confidential** until the Commission finds probable cause or no probable cause.

For additional information, please refer to the "Frequently Asked Questions" section of the Commission's website ([www.fec.state.fl.us](http://www.fec.state.fl.us)).

If you have additional questions, please contact Cedric Oliver, the investigator assigned to this case at extension 114.

Sincerely,



Eric M. Lipman  
On behalf of the  
Executive Director

EML/enr

**DIVISION OF ELECTIONS  
FEC NOTICE FORM**

RECEIVED

To FEC from Division of Elections

2017 JAN 27 P 1:25

STATE OF FLORIDA

---

---

**Name:** Sara Shaw  
**Account Number:** 64850  
**Treasurer:** Sara Shaw

---

---

The Division of Elections hereby provides this notice to the Florida Elections Commission pursuant to Sections 106.07(8)(d), 106.22(7) and 106.25, Florida Statutes. An apparent violation of Chapter 106, F.S., has occurred based upon the candidate's alleged failure to file a report after notice as required by section 106.07(8)(d), Florida Statutes, or failure to notify the filing officer on the prescribed reporting date that no report was to be filed as required by Section 106.07(7), Florida Statutes.

The following report or notice is outstanding after notification:

2016 TR

---

**Sent By:** Kristi Reid Bronson  
**Date:** January 25, 2017

KRB

stb

## AFFIDAVIT

STATE OF FLORIDA

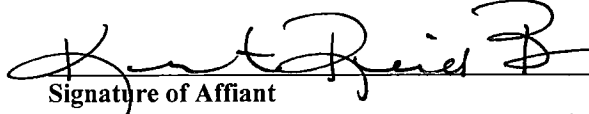
County of Leon

**Kristi Reid Bronson, being duly sworn, says:**

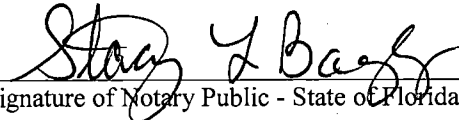
1. I am the Chief of the Bureau of Election Records of the Division of Elections (Division). In that capacity, I oversee the Division's duties related to the filing of campaign finance reports.
2. This affidavit is made upon my personal knowledge, including information obtained from review of the attached records, of which I am the custodian.
3. I am of legal age and competent to testify to the matters stated herein.
4. Sara Shaw (64850) was a 2016 candidate for the office of State Representative.
5. On August 7, 2015, Ms. Shaw filed the Appointment of Campaign Treasurer and Designation of Campaign Depository with the Division. She appointed herself as treasurer. (See attached documents and acknowledgment letter.)
6. The 2016 TR campaign treasurer's report was due on November 28, 2016. On November 29, 2016, the Division mailed Ms. Shaw notification that the 2016 TR treasurer's report had not been filed. (See attached letter.)
7. On January 12, 2017, the Division mailed Ms. Shaw final notification with delivery confirmation that the 2016 TR treasurer's report had not been filed. (See attached letter with delivery confirmation.)
8. Ms. Shaw did not notify the Division of Elections prior to or on the prescribed reporting date for the 2016 TR report that no report was to be filed.

9. As of January 25, 2017, Ms. Shaw has not filed the 2016 TR report.

**I hereby swear or affirm that the foregoing information is true and correct to the best of my knowledge.**

  
\_\_\_\_\_  
Signature of Affiant

Sworn to (or affirmed) and subscribed before me this 25<sup>th</sup> day of January, 2017.

  
\_\_\_\_\_  
Signature of Notary Public - State of Florida

**Print, Type, or Stamp Commissioned Name of Notary Public**

Personally Known



**APPOINTMENT OF CAMPAIGN TREASURER  
AND DESIGNATION OF CAMPAIGN  
DEPOSITORY FOR CANDIDATES**

(Section 106.021(1), F.S.)

(PLEASE PRINT OR TYPE)

**NOTE: This form must be on file with the qualifying officer before opening the campaign account.**

RECEIVED  
DEPARTMENT OF STATE

2015 AUG -7 AM 10:39

DIVISION OF ELECTIONS  
TALLAHASSEE, FL

OFFICE USE ONLY

**1. CHECK APPROPRIATE BOX(ES):**

Initial Filing of Form      Re-filing to Change:  Treasurer/Deputy     Depository     Office     Party

**2. Name of Candidate (in this order: First, Middle, Last)**

Sara, Katherine, Shaw

**3. Address (include post office box or street, city, state, zip code)**

1568 Mill Slough Road  
Kissimmee, Florida 34744

**4. Telephone**

(407 ) 791-4695

**5. E-mail address**

SaraforFlorida@gmail.com

**6. Office sought (include district, circuit, group number)**

State Representative District 43

**7. If a candidate for a nonpartisan office, check if applicable:**

My intent is to run as a Write-In candidate.

**8. If a candidate for a partisan office, check block and fill in name of party as applicable: My intent is to run as a**

Write-In     No Party Affiliation     Democrat     Party candidate.

**9. I have appointed the following person to act as my**  Campaign Treasurer     Deputy Treasurer

**10. Name of Treasurer or Deputy Treasurer**

Sara Shaw

**11. Mailing Address**

1568 Mill Slough Road

**12. Telephone**

( 407 ) 791-4695

**13. City**

Kissimmee

**14. County**

Osceola

**15. State**

Florida

**16. Zip Code**

34744

**17. E-mail address**

SaraforFlorida@gmail.com

**18. I have designated the following bank as my**  Primary Depository     Secondary Depository

**19. Name of Bank**

PNC

**20. Address**

1818 North John Young Parkway

**21. City**

Kissimmee

**22. County**

Osceola

**23. State**

Florida

**24. Zip Code**

34744

**UNDER PENALTIES OF PERJURY, I DECLARE THAT I HAVE READ THE FOREGOING FORM FOR APPOINTMENT OF CAMPAIGN TREASURER AND DESIGNATION OF CAMPAIGN DEPOSITORY AND THAT THE FACTS STATED IN IT ARE TRUE.**

**25. Date**

8/3/15

**26. Signature of Candidate**

X 

**27. Treasurer's Acceptance of Appointment (fill in the blanks and check the appropriate block)**

I, Sara Shaw, do hereby accept the appointment  
(Please Print or Type Name)

designated above as:  Campaign Treasurer     Deputy Treasurer.

8/3/15  
Date

X   
Signature of Campaign Treasurer or Deputy Treasurer

**STATEMENT OF  
CANDIDATE**

(Section 106.023, F.S.)

(Please print or type)

OFFICE USE ONLY  
RECEIVED  
DEPARTMENT OF STATE

2015 AUG -7 AM 10:39

DIVISION OF ELECTIONS  
TALLAHASSEE, FL

I, Sara Shaw

candidate for the office of State Representative District 43 ;

have been provided access to read and understand the requirements of  
Chapter 106, Florida Statutes.

X   
Signature of Candidate

8/3/15  
Date

Each candidate must file a statement with the qualifying officer within 10 days after the Appointment of Campaign Treasurer and Designation of Campaign Depository is filed. Willful failure to file this form is a first degree misdemeanor and a civil violation of the Campaign Financing Act which may result in a fine of up to \$1,000, (ss. 106.19(1)(c), 106.265(1), Florida Statutes).



## FLORIDA DEPARTMENT of STATE

**RICK SCOTT**  
Governor

**KEN DETZNER**  
Secretary of State

August 10, 2015

Ms. Sara Shaw  
1568 Mill Slough Road  
Kissimmee, Florida 34744

Dear Ms. Shaw:

This will acknowledge receipt of the Appointment of Campaign Treasurer and Designation of Campaign Depository for the office of State Representative, which was placed on file in our office on August 10, 2015. Your name has been placed on the 2016 active candidate list.

### Campaign Treasurer's Reports

Your first campaign treasurer's report will be due on **January 12, 2015**. The report will cover the period of December 1-31, 2014 (M12). All candidates who file reports with the Division of Elections are required to file by means of the Division's Electronic Filing System (EFS).

### Credentials and Sign-ons

Below is the web address to access the EFS and your user identification number. The enclosed sealed envelope contains your initial password. Once you have logged in using the initial password, you will be immediately prompted to change it to a confidential sign-on. You, your campaign treasurer, and deputy treasurers are responsible for protecting these passwords from disclosure and are responsible for all filings using these credentials, unless the Division is notified that your credentials have been compromised.

**EFS Website Address:** <https://efs.dos.state.fl.us>  
**Identification Number:** 64850



Sara Shaw  
August 10, 2015  
Page Two

### **Pin Numbers**

Pin numbers are confidential secure credentials that allow you to submit reports and update personal information. The enclosed sealed envelope contains your confidential pin numbers.

Each candidate is required to provide the Division of Elections with confidential personal information that may be used to allow access in the event that password is forgotten or lost. When you enter the campaign account screen, there will be a drop down box where you pick a question (such as *What is your mother's maiden name?*) and supply an answer. All passwords and answers to questions are stored as encrypted data and cannot be viewed by Division staff and given out over the phone. Please notify the Division if your credentials have been compromised.

### **Timely Filing**

All reports filed must be completed and filed through the EFS no later than midnight, Eastern Standard Time, of the due date. Reports not filed by midnight of the due date are late filed and subject to the penalties in Section 106.07(8), Florida Statutes. In the event that the EFS is inoperable on the due date, the report will be accepted as timely filed if filed no later than midnight of the first business day the EFS becomes operable. No fine will be levied during the period the EFS was inoperable.

Any candidate failing to file a report on the designated due date shall be subject to a fine of \$50 per day for the first 3 days late and, thereafter, \$500 per day for each late day, not to exceed 25% of the total receipts or expenditures, whichever is greater, for the period covered by the late report. However, for reports immediately preceding each primary and general election, the fine shall be \$500 per day for each late day, not to exceed 25% of the total receipts or expenditures, whichever is greater, for the period covered by the late report.

### **Electronic Receipts**

The person submitting the report on the EFS will be issued an electronic receipt indicating and verifying the report was filed. Each campaign treasurer's report filed by means of the EFS is considered to be under oath by the candidate and campaign treasurer and such persons are subject to the provisions of Section 106.07(5), Florida Statutes.



Sara Shaw  
August 10, 2015  
Page Three

**Instructions and Assistance**

An online instruction guide is available to you on the EFS to assist with navigation, data entry, and submission of reports. The Division of Elections will also provide assistance to all users by contacting the EFS Help Desk at (850) 245-6280.

**All of the Division's publications and reporting forms are available on the Division of Elections' website at <http://dos.myflorida.com/elections/>. It is your responsibility to read, understand, and follow the requirements of Florida's election laws. Therefore, please print a copy of the following documents: Chapters 104 and 106, Florida Statutes, *Candidate and Campaign Treasurer Handbook*, *Calendar of Reporting Dates*, and Rule 1S-2.017, Florida Administrative Code.**

Please let me know if you need additional information.

Sincerely,



Kristi Reid Bronson, Chief  
Bureau of Election Records

KRB/js

Enclosures



FLORIDA DEPARTMENT OF STATE

**Ken Detzner**  
Secretary of State

DIVISION OF ELECTIONS

November 29, 2016

Sara Shaw  
PO Box 450897  
Kissimmee, FL 34745-4745

CAN 64850

Dear Ms. Shaw:

Our records indicate that you have not filed either your termination report or notification that no reportable activity occurred, whichever is applicable. The filing date was November 28, 2016.

The law (s. 106.07(8)(b), Fla. Stat.) requires the filing officer to immediately notify you about the missed filing date and the automatic fines that will be assessed for late filing of a report, if a report was due.

- If reportable activity occurred and you have not filed a report, statutory fines are automatically assessed as \$50 per day until you file your report. The total fine for the untimely report cannot exceed 25% of the total receipts or expenditures, whichever is greater, for the cover period. Even if you end up filing your report late, we cannot waive the statutory fines. We will notify you of the specific amount of fines owed once you file your report. You have 20 days from the day you receive the notice to pay the fine or to appeal the fine to the Florida Elections Commission.
- If no reportable activity occurred for the report date(s), you are still required to notify us in writing, via the Division's Electronic Filing System, that no reportable activity occurred. No automatic fines are statutorily assessed.

However, in all cases, failure to file and/or untimely filing of a report of reportable activity, or if applicable, a notice that no reportable activity occurred, may constitute an apparent violation of chapter 106, Fla. Stat. If we refer such matter to the Florida Elections Commission, the Commission may subsequently impose a civil penalty for each violation under chapter 106.265(1), Fla. Stat.

If you have any questions, please contact the help desk at (850) 245-6280.

Sincerely,

Kristi Reid Bronson, Chief  
Bureau of Election Records



FLORIDA DEPARTMENT OF STATE

Ken Detzner  
Secretary of State

DIVISION OF ELECTIONS

**Final Notice**

**Delivery Confirmation:**

USPS TRACKING # **9114 9014 9645 0956 1027 43**  
& CUSTOMER RECEIPT  
For Tracking or inquiries go to USPS.com  
or call 1-800-222-1811

January 11, 2017

Sara Shaw  
PO Box 450897  
Kissimmee, FL 34745-4745

CAN 64850

Dear Ms. Shaw:

A recent review of the Division's records show that the Division has not yet received your termination report or the required notification that no reportable activity occurred, whichever is applicable.

The Division has previously notified you of the unfiled report or notification. Please file your report or notification. If the report or notification is not filed within 7 days of receipt of this letter, the Division will refer this matter to the Florida Elections Commission, which may impose civil penalties of up to \$1000 per violation, apart from that automatic fine provisions of s. 106.07(8)(b), Fla. Stat.) that are applicable only to campaign finance reports.

As we stated in the prior notices, even if you now file the report or notification, the untimely filing of a report of reportable activity, or if applicable, a notice that no reportable activity occurred, may constitute an apparent violation of chapter 106, Fla. Stat. If we refer such matter to the Florida Elections Commission, the Commission may subsequently impose a civil penalty for each violation under chapter 106.265(1), Fla. Stat.

If you have any questions, please contact the help desk at (850) 245-6280.


Sincerely,

Kristi Reid Bronson, Chief  
Bureau of Election Records



# USPS Tracking®

Still Have Questions?  
Browse our FAQs ›

 Get Easy Tracking Updates ›  
Sign up for My USPS.

Tracking Number: 9114901496450956102743

Updated Delivery Day: Saturday, January 14, 2017

## Product & Tracking Information

Postal Product:

Features:  
USPS Tracking®

DATE & TIME	STATUS OF ITEM	LOCATION
January 14, 2017 , 11:26 am	Delivered, PO Box	KISSIMMEE, FL 34745
Your item has been delivered and is available at a PO Box at 11:26 am on January 14, 2017 in KISSIMMEE, FL 34745.		
January 14, 2017 , 3:22 am	Arrived at Post Office	KISSIMMEE, FL 34744
January 13, 2017 , 1:36 pm	Arrived at USPS Facility	ORLANDO, FL 32824
January 12, 2017 , 8:41 pm	Arrived at USPS Facility	TALLAHASSEE, FL 32301

## Available Actions

Text Updates

Email Updates

## Track Another Package

Tracking (or receipt) number

Track It

## Manage Incoming Packages

Track all your packages from a dashboard.  
No tracking numbers necessary.

Sign up for My USPS ›



### HELPFUL LINKS

Contact Us  
Site Index  
FAQs

### ON ABOUT.USPS.COM

About USPS Home  
Newsroom  
USPS Service Updates  
Forms & Publications  
Government Services  
Careers

### OTHER USPS SITES

Business Customer Gateway  
Postal Inspectors  
Inspector General  
Postal Explorer  
National Postal Museum  
Resources for Developers

### LEGAL INFORMATION

Privacy Policy  
Terms of Use  
FOIA  
No FEAR Act EEO Data