

**STATE OF FLORIDA
FLORIDA ELECTIONS COMMISSION**

In Re: Alexis Carter

Case No.: FEC 22-170

TO: Alexis Carter
525 Fernwood Drive
Altamonte Springs, FL 32701-6329

Division of Elections
500 S. Bronough Street
RA Gray Building, Room 316
Tallahassee, FL 32399

NOTICE OF HEARING (INFORMAL HEARING (IH))

A hearing will be held in this case before the Florida Elections Commission on **December 3, 2025, at 1:00 pm, or as soon thereafter as the parties can be heard**, via Webinar at: **Registration URL** <https://attendee.gotowebinar.com/register/8443550463090426453>. **Webinar ID** 144-463-043
Audio Only 1 877 309 2074 -- 786-784-735.

Failure to appear in accordance with this notice will constitute a waiver of your right to participate in the hearing. Continuances will be granted only upon a showing of good cause.

This hearing will be conducted pursuant to Section 106.25, Florida Statutes, which governs your participation as follows:

If you are the Respondent, you may attend the hearing, and you or your attorney will have *5 minutes* to present your case to the Commission. However, some cases (including those in which consent orders or recommendations for no probable cause are being considered) may be decided by an *en masse* vote and, unless you request to be heard or the Commission requests that your case be considered separately on the day of the hearing, your case will *not* be individually heard.

If you are the Complainant, you may attend the hearing, but you will *not* be permitted to address the Commission. In addition, some cases (including those in which consent orders or recommendations for no probable cause are being considered) may be decided by an *en masse* vote and, unless the Respondent requests to be heard or the Commission requests that the case be considered separately on the day of the hearing, the case will *not* be individually heard.

If you are an Appellant, and you have requested a hearing, you may attend the hearing, and you or your attorney will have *5 minutes* to present your case to the Commission.

Please be advised that both confidential and public cases are scheduled to be heard by the Florida Elections Commission on this date. As an Appellant, Respondent or Complainant in one case, you will *not* be permitted to attend the hearings on other confidential cases.

The Commission will electronically record the meeting. Although the Commission's recording is considered the official record of the hearing, the Respondent may provide, at his own expense, a certified court reporter to also record the hearing.

If you require an accommodation due to a disability, contact Donna Ann Malphurs at (850) 922-4539 or by mail at 107 West Gaines Street, The Collins Building, Suite 224, Tallahassee, Florida 32399, at least 5 days before the hearing.

See further instructions on the reverse side.

Tim Vaccaro

Executive Director
Florida Elections Commission
November 7, 2025

Please refer to the information below for further instructions related to your particular hearing:

If this is a hearing to consider **an appeal from an automatic fine**, the Filing Officer has imposed a fine on you for your failure to file a campaign treasurer's report on the designated due date and, by filing an appeal, you have asked the Commission to consider either (1) that the report was in fact timely filed; or (2) that there were unusual circumstances that excused the failure to file the report timely. You are required to prove your case. If the Commission finds that the report was filed timely or that there were unusual circumstances that excused the failure, it may waive the fine, in whole or in part. The Commission may reduce a fine after considering the factors in Section 106.265, Florida Statutes. If the Commission finds that the report was not timely filed and there were no unusual circumstances, the fine will be upheld.

If this is a hearing to consider a **consent order before a determination of probable cause has been made**, the Commission will decide whether to accept or reject the consent order. If the Commission accepts the consent order, the case will be closed and become public. If the Commission rejects the consent order or does not make a decision to accept or deny the consent order, the case will remain confidential, unless confidentiality has been waived.

If this is a hearing to consider a **consent order after a determination of probable cause has been made**, the Commission will decide whether to accept or reject the consent order. If the Commission accepts the consent order, the case will be closed. If the Commission rejects the consent order or does not make a decision to accept or deny the consent order, the Respondent will be entitled to another hearing to determine if the Respondent committed the violation(s) alleged.

If this is a **probable cause hearing**, the Commission will decide if there is probable cause to believe that the Respondent committed a violation of Florida's election laws. Respondent should be prepared to explain how the staff in its recommendation incorrectly applied the law to the facts of the case. *Respondent may not testify, call others to testify, or introduce any documentary or other evidence at the probable cause hearing.* The Commission will only decide whether Respondent should be *charged* with a violation and, before the Commission determines whether a violation has occurred or a fine should be imposed, Respondent will have an opportunity for another hearing at which evidence may be introduced.

If this is an **informal hearing**, it will be conducted pursuant Sections 120.569 and 120.57(2), Florida Statutes; Chapter 28 and Commission Rule 2B-1.004, Florida Administrative Code. At the hearing, the Commission will decide whether the Respondent committed the violation(s) charged in the Order of Probable Cause. The Respondent will be permitted to testify. However, the Respondent may not call witnesses to testify.

Respondent may argue why the established facts in the Staff Recommendation do not support the violations charged in the Order of Probable Cause. At Respondent's request, the Commission may determine whether Respondent's actions in the case were willful. The Respondent may also address the appropriateness of the recommended fine. If Respondent claims that his limited resources make him unable to pay the statutory fine, *he must provide the Commission with written proof of his financial resources* at the hearing. A financial affidavit form is available from the Commission Clerk.

**STATE OF FLORIDA
FLORIDA ELECTIONS COMMISSION**

**Florida Elections Commission,
Petitioner,**

Case No.: FEC 22-170

v.

**Alexis Carter,
Respondent.**

_____ /

ORDER OF PROBABLE CAUSE

THIS MATTER was heard by the Florida Elections Commission (“Commission”) at its meeting held on August 20, 2025, at 9:00 a.m. via Zoom teleconferencing.

On October 28, 2024, Staff recommended to the Commission that there was probable cause to believe that the Florida Election Code was violated. The facts articulated in Staff’s Recommendation are adopted by reference and incorporated herein. Based on the Complaint, Report of Investigation, Staff’s Recommendation, and oral statements (if any) made at the probable cause hearing, the Commission finds that there is **probable cause** to charge Respondent with the following violation(s):

Count 1:

On or about November 16, 2020, Alexis Carter violated Section 106.141(1), Florida Statutes, when he failed to dispose of the funds on deposit in his campaign account within 90 days after being eliminated as a candidate.

Count 2:

On or about December 18, 2020, Alexis Carter violated Section 106.07(5), Florida Statutes, when he certified that the campaign’s 2020 TR Report was true, correct, and complete when it was not.

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Count 3:

On or about December 18, 2020, Alexis Carter violated Section 106.19(1)(c), Florida Statutes, when he deliberately failed to include information required by Chapter 106, Florida Statutes, on the campaign's 2020 TR Report.

Count 4:

On or about December 18, 2020, Alexis Carter violated Section 106.19(1)(c), Florida Statutes, when he falsely reported information required by Chapter 106, Florida Statutes, on the campaign's 2020 TR Report.

DONE AND ORDERED by the Florida Elections Commission on August 20, 2025.



Tim Vaccaro, J.D., Executive Director
For Joseph S. Van de Bogart, Chair
Florida Elections Commission

Copies furnished to:
Stephanie J. Cunningham, General Counsel
Alexis Carter, Respondent
Division of Elections, Complainant

NOTICE OF RIGHT TO A HEARING

As the Respondent, you may elect to resolve this case in several ways. First, you may elect to resolve this case by consent order where you and Commission staff agree to resolve the violation(s) and agree to the amount of the fine. The consent order is then presented to the Commission for its approval. To discuss a consent order, contact the FEC attorney identified in the Order of Probable Cause.

Second, you may request an informal hearing held before the Commission, if you do not dispute any material fact in the Staff Recommendation. You have 30 days from the date the Order of Probable Cause is filed with the Commission to request such a hearing. The date this order was filed appears in the upper right-hand corner of the first page of the order. At the hearing, you will have the right to make written or oral arguments to the Commission concerning the legal issues related to the violation(s) and the potential fine. At the request of Respondent, the Commission

will consider and determine willfulness at an informal hearing. Otherwise, live witness testimony is unnecessary.

Third, you may request a formal hearing held before an administrative law judge in the Division of Administrative Hearings (DOAH), if you dispute any material fact in the Staff Recommendation. You have 30 days from the date the Order of Probable Cause is filed with the Commission to request such a hearing. The date this order was filed appears in the upper right-hand corner of the first page of the order. At the hearing, you will have the right to present evidence relevant to the violation(s) listed in this order, to cross-examine opposing witnesses, to impeach any witness, and to rebut the evidence presented against you.

If you do not elect to resolve the case by consent order or request a formal hearing at the DOAH or an informal hearing before the Commission within 30 days of the date this Order of Probable Cause is filed with the Commission, the case will be sent to the Commission for a formal or informal hearing, depending on whether the facts are in dispute. The date this order was filed appears in the upper right-hand corner of the first page of the order.

To request a hearing, please send a written request to the Commission Clerk, Donna Ann Malphurs. The address of the Commission Clerk is 107 W. Gaines Street, Collins Building, Suite 224, Tallahassee, Florida 32399-1050. The telephone number is (850) 922-4539. The Clerk will provide you with a copy of Chapter 28-106, *Florida Administrative Code*, and other applicable rules upon request. No mediation is available.

**STATE OF FLORIDA
FLORIDA ELECTIONS COMMISSION**

In Re: Alexis Carter

Case No.: FEC 22-170

TO: Alexis Carter
525 Fernwood Drive
Altamonte Springs, FL 32701-6329

Division of Elections
500 S. Bronough Street
RA Gray Building, Room 316
Tallahassee, FL 32399

NOTICE OF HEARING (PROBABLE CAUSE DETERMINATION (PCD))

A hearing will be held in this case before the Florida Elections Commission on, **August 20, 2025 at 9:00 a.m., or as soon thereafter as the parties can be heard**, at the following location: **Virtual Meeting Session One via Zoom link:** <https://us06web.zoom.us/j/87502116363?pwd=QPHprWtiAvKrbm6H0yViYFTRuxqMEI.1>; Meeting ID 875 0211 6363, Passcode: 183827.

Failure to appear in accordance with this notice will constitute a waiver of your right to participate in the hearing. Continuances will be granted only upon a showing of good cause.

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If you are the Complainant, you may attend the hearing, but you will *not* be permitted to address the Commission. In addition, some cases (including those in which consent orders or recommendations for no probable cause are being considered) may be decided by an *en masse* vote and, unless the Respondent requests to be heard or the Commission requests that the case be considered separately on the day of the hearing, the case will *not* be individually heard.

If you are an Appellant, and you have requested a hearing, you may attend the hearing, and you or your attorney will have *5 minutes* to present your case to the Commission.

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See further instructions on the reverse side.

Tim Vaccaro

Executive Director
Florida Elections Commission
July 28, 2025

Please refer to the information below for further instructions related to your particular hearing:

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If this is a hearing to consider a **consent order before a determination of probable cause has been made**, the Commission will decide whether to accept or reject the consent order. If the Commission accepts the consent order, the case will be closed and become public. If the Commission rejects the consent order or does not make a decision to accept or deny the consent order, the case will remain confidential, unless confidentiality has been waived.

If this is a hearing to consider a **consent order after a determination of probable cause has been made**, the Commission will decide whether to accept or reject the consent order. If the Commission accepts the consent order, the case will be closed. If the Commission rejects the consent order or does not make a decision to accept or deny the consent order, the Respondent will be entitled to another hearing to determine if the Respondent committed the violation(s) alleged.

If this is a **probable cause hearing**, the Commission will decide if there is probable cause to believe that the Respondent committed a violation of Florida's election laws. Respondent should be prepared to explain how the staff in its recommendation incorrectly applied the law to the facts of the case. *Respondent may not testify, call others to testify, or introduce any documentary or other evidence at the probable cause hearing.* The Commission will only decide whether Respondent should be *charged* with a violation and, before the Commission determines whether a violation has occurred or a fine should be imposed, Respondent will have an opportunity for another hearing at which evidence may be introduced.

If this is an **informal hearing**, it will be conducted pursuant Sections 120.569 and 120.57(2), Florida Statutes; Chapter 28 and Commission Rule 2B-1.004, Florida Administrative Code. At the hearing, the Commission will decide whether the Respondent committed the violation(s) charged in the Order of Probable Cause. The Respondent will be permitted to testify. However, the Respondent may not call witnesses to testify.

Respondent may argue why the established facts in the Staff Recommendation do not support the violations charged in the Order of Probable Cause. At Respondent's request, the Commission may determine whether Respondent's actions in the case were willful. The Respondent may also address the appropriateness of the recommended fine. If Respondent claims that his limited resources make him unable to pay the statutory fine, *he must provide the Commission with written proof of his financial resources* at the hearing. A financial affidavit form is available from the Commission Clerk.

**STATE OF FLORIDA
FLORIDA ELECTIONS COMMISSION**

In Re: Alexis Carter

Case No.: FEC 22-170

TO: Alexis Carter
525 Fernwood Drive
Altamonte Springs, FL 32701-6329

Division of Elections
500 S. Bronough Street, Room 316
Tallahassee, FL 32399

NOTICE OF CANCELLATION OF HEARING (PROBABLE CAUSE DETERMINATION (PCD))

You are hereby notified that the previously scheduled hearing for February 26 and 27, 2025, is cancelled. It is anticipated that your case will be heard at the next regularly scheduled meeting, on a date to be determined. A Notice of Hearing will be mailed approximately 14 days prior to the new hearing date.

Tim Vaccaro

Executive Director
Florida Elections Commission
February 24, 2025

**STATE OF FLORIDA
FLORIDA ELECTIONS COMMISSION**

In Re: Alexis Carter

Case No.: FEC 22-170

TO: Alexis Carter
525 Fernwood Drive
Altamonte Springs, FL 32701-6329

Division of Elections
500 S. Bronough Street, Room 316
Tallahassee, FL 32399

NOTICE OF HEARING (PROBABLE CAUSE DETERMINATION (PCD))

A hearing will be held in this case before the Florida Elections Commission on, **February 27, 2025 at 9:00 a.m., or as soon thereafter as the parties can be heard**, at the following location: **412 Knott Building, Pat Thomas Committee Room, 404 South Monroe Street, Tallahassee, Florida 32399**. Due to heightened security access requirements, please bring only essential items into the building and plan to arrive early to allow for delays coming through security.

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If you are an Appellant, and you have requested a hearing, you may attend the hearing, and you or your attorney will have *5 minutes* to present your case to the Commission.

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Tim Vaccaro

Executive Director
Florida Elections Commission
February 5, 2025

Please refer to the information below for further instructions related to your particular hearing:

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Respondent may argue why the established facts in the Staff Recommendation do not support the violations charged in the Order of Probable Cause. At Respondent's request, the Commission may determine whether Respondent's actions in the case were willful. The Respondent may also address the appropriateness of the recommended fine. If Respondent claims that his limited resources make him unable to pay the statutory fine, *he must provide the Commission with written proof of his financial resources* at the hearing. A financial affidavit form is available from the Commission Clerk.

**STATE OF FLORIDA
FLORIDA ELECTIONS COMMISSION**

In Re: Alexis Carter

Case No.: FEC 22-170

STAFF RECOMMENDATION FOLLOWING INVESTIGATION

Pursuant to Section 106.25(4)(c), Florida Statutes, undersigned staff counsel files this written recommendation for disposition of the referral in this case recommending that there is **probable cause** to charge Respondent with violating **Sections 106.07(5), 106.141(1), and 106.19(1)(c), Florida Statutes**. Based upon a thorough review of the Report of Investigation submitted on February 6, 2024, the following facts and law support this staff recommendation:

1. On August 1, 2022, the Florida Elections Commission (“Commission”) received a referral from the Department of State, Division of Elections (“Division” or “Complainant”), alleging that Alexis Carter (“Respondent”) violated Chapter 106, Florida Statutes.
2. Respondent was a 2020 candidate for State Senator, District 9. Respondent’s Statement of Candidate form (“DS-DE 84”) was filed on October 4, 2019. (ROI Exhibit 2)¹
3. By letter dated August 28, 2023, the Executive Director notified Respondent that Commission staff would investigate the following statutory provisions:

Section 106.07(5), Florida Statutes: Respondent, a 2020 candidate for State Senator, District 9, may have certified one or more campaign treasurer reports were true, correct, and complete when they were not, based upon an apparent surplus balance after the 2020 TR was filed, as alleged in the complaint.

Section 106.141(1), Florida Statutes: Respondent, a 2020 candidate for State Senator, District 9, filed a 2020 TR that may have failed to accurately reflect the disposition of all remaining campaign funds, based upon an apparent surplus balance after the 2020 TR was filed, as alleged in the complaint.

Section 106.19(1)(c), Florida Statutes: Respondent, a 2020 candidate for State Senator, District 9, may have falsely reported or deliberately failed to include information in one or more campaign treasurer reports, as required by Chapter 106, Florida Statutes, based upon an apparent surplus balance after the 2020 TR was filed, as alleged in the complaint.

¹ The Report of Investigation is referred to herein as “ROI.”

4. On October 4, 2019, Respondent filed his Appointment of Campaign Treasurer and Designation of Campaign Depository for Candidates form (“DS-DE 9”) appointing himself as treasurer for his campaign. (ROI Exhibit 1, page 1)

5. By letter dated October 7, 2019, Kristi Reid Willis, Chief, Bureau of Election Records, sent Respondent a letter acknowledging that the Division had received his DS-DE 9. (ROI Exhibit 3)

6. The acknowledgment letter advised Respondent that all candidates filing reports with the Division are required to use the electronic filing system (“EFS”) and provided Respondent with a user identification number and initial password to grant access to the EFS. The letter further advised Respondent that all of the Division’s publications and reporting forms were available on its website and directed Respondent to print out Chapter 106, Florida Statutes, and the *Calendar of Reporting Dates*, as well as other relevant documents. (ROI Exhibit 3)

Alleged Violation: Section 106.141(1), Florida Statutes

7. Complainant alleged that Respondent violated Florida’s election laws by failing to dispose of the funds on deposit in his campaign account. *See* Compl., pp. 3 & 4.

8. Respondent was eliminated as a candidate during the 2020 Primary Election. (ROI, p. 1, ¶1) Respondent was required to dispose of the funds on deposit in his campaign account within 90 days after being eliminated as a candidate.

9. A subpoena was issued to Respondent’s designated campaign depository. The campaign’s bank records show that Respondent had a surplus balance of \$15,570.14 as of the last day of the 2020 TR reporting period, i.e., November 16, 2020. (ROI Exhibit 5, page 14)

10. The bank records also show that eight checks were issued on November 15, 2020, totaling \$6,570.14. The checks were cashed in December of 2020 and January of 2021. Additionally, a previously issued check in the amount of \$1,000 was run as an electronic transaction in January of 2021. (ROI Exhibit 5, pages 15-36) Therefore, it appears that the campaign depository had a surplus balance in the amount of \$8,000 as of the last day of the 2020 termination reporting period.

11. Respondent stated that he wrote checks to non-profits which took longer to clear the account. He stated that he would check his bank records for irregularities and dispose of any remaining funds. (ROI Exhibit 6, page 2)

12. Respondent failed to dispose of the funds on deposit in his campaign account within 90 days after being eliminated as a candidate.

Alleged Violation: Section 106.07(5), Florida Statutes

13. Complainant alleged that Respondent violated Florida's election laws by certifying that the campaign's reports were true, correct, and complete when they were not, based upon an apparent surplus balance after the 2020 TR Report was filed.

14. Under Section 106.07, Florida Statutes, a campaign treasurer's report is required to contain all contributions received and all expenditures made during the reporting period.

15. On December 18, 2020, Respondent certified the campaign's 2020 TR Report was true, correct, and complete. (ROI Exhibit 8, page 7) The campaign's report was incorrect as Respondent failed to disclose six expenditures, disclosed six expenditures that were not supported by bank records, and misreported the date of an expenditure to self. (ROI Exhibit 5; ROI Exhibit 8, pages 3 & 4); *See* ROI, pp. 2 & 3, Table)

16. Respondent certified that the campaign's 2020 TR Report was true, correct, and complete when it was not.

Alleged Violation: Section 106.19(1)(c), Florida Statutes

17. Complainant alleged that Respondent violated Florida's election laws by falsely reporting or deliberately failing to include information required by Chapter 106, Florida Statutes, based upon an apparent surplus balance after the 2020 TR Report was filed. The 2020 TR reporting period ran from August 14, 2020, through November 16, 2020. (ROI Exhibit 8, page 1)

18. A subpoena was issued to Respondent's designated campaign depository to ascertain whether any contributions were received, or expenditures were made during the relevant reporting period which were not reported or falsely reported.

19. The campaign's bank records show that Respondent failed to disclose six expenditures totaling \$833.76 and disclosed making six expenditures totaling \$3,000 to 501(c)(3) organizations that were not supported by bank records. (ROI Exhibit 5; ROI Exhibit 8, pages 3 & 4); *See* ROI, pp. 2 & 3, Table)

20. Chapter 106, Florida Statutes, requires candidates to report any contributions received and any expenditures made for the purpose of influencing the results of an election. Respondent deliberately failed to include information required by Chapter 106, Florida Statutes, on the campaign's 2020 TR Report. Respondent falsely reported information required by Chapter 106, Florida Statutes, on the campaign's 2020 TR Report.

21. "Probable Cause" is defined as reasonable grounds of suspicion supported by circumstances sufficiently strong to warrant a cautious person in the belief that the person has committed the offense charged. *Schmitt v. State*, 590 So. 2d 404, 409 (Fla. 1991). Probable cause exists where the facts and circumstances, of which an [investigator] has reasonably trustworthy information, are sufficient in themselves for a reasonable man to reach the conclusion that an

offense has been committed. *Department of Highway Safety and Motor Vehicles v. Favino*, 667 So. 2d 305, 309 (Fla. 1st DCA 1995).

22. The facts set forth above show that Respondent was a 2020 candidate for State Senator, District 9. Respondent failed to dispose of the funds on deposit in his campaign account within 90 days after being eliminated as a candidate. Respondent certified that the campaign's 2020 TR Report was true, correct, and complete when it was not. Respondent deliberately failed to include information required by Chapter 106, Florida Statutes, on the campaign's 2020 TR Report. Respondent falsely reported information required by Chapter 106, Florida Statutes, on the campaign's 2020 TR Report.

Based upon these facts and circumstances, I recommend that the Commission find **probable cause** to charge Respondent with violating the following:

Count 1:

On or about November 16, 2020, Alexis Carter violated Section 106.141(1), Florida Statutes, when he failed to dispose of the funds on deposit in his campaign account within 90 days after being eliminated as a candidate.

Count 2:

On or about December 18, 2020, Alexis Carter violated Section 106.07(5), Florida Statutes, when he certified that the campaign's 2020 TR Report was true, correct, and complete when it was not.

Count 3:

On or about December 18, 2020, Alexis Carter violated Section 106.19(1)(c), Florida Statutes, when he deliberately failed to include information required by Chapter 106, Florida Statutes, on the campaign's 2020 TR Report.

Count 4:

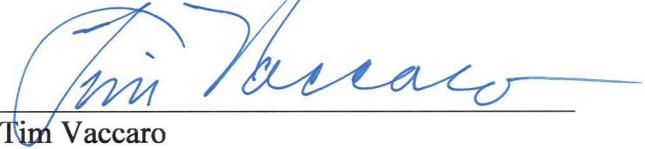
On or about December 18, 2020, Alexis Carter violated Section 106.19(1)(c), Florida Statutes, when he falsely reported information required by Chapter 106, Florida Statutes, on the campaign's 2020 TR Report.

Respectfully submitted on October 28, 2024.



Stephanie J. Cunningham
General Counsel

I reviewed this Staff Recommendation this 31st day of October 2024.



Tim Vaccaro
Executive Director

FLORIDA ELECTIONS COMMISSION

Report of Investigation for Apparent Surplus in Campaign Account

Case Number: FEC 22-170

Section 106.141(1), Florida Statutes, failure of a candidate to dispose of funds remaining in the campaign account within 90 days after the candidate withdrew, became unopposed, was eliminated, or elected and to file a termination report (TR) reflecting the disposition of all remaining funds.

Sections 106.07(5) and 106.19(1)(c), Florida Statutes, a candidate certified campaign treasurer report(s) as true, correct, and complete when they were not and falsely reported or deliberately failed to include all information required by Chapter 106, F.S., in campaign treasurer report(s).

Respondent: Alexis Carter**Respondent's Atty:** N/A**Division of Elections (Division)****Referral Filed:** August 1, 2022**Respondent Type:** Candidate**Additional Section(s):** None**I. Preliminary Information:**

1. Respondent was a 2020 candidate for State Senate, District 9; he was defeated in the primary election held on August 18, 2020. Respondent was a first-time candidate.

2. An Appointment of Campaign Treasurer and Designation of Campaign Depository (DS-DE 9) was filed on October 4, 2019. Respondent was appointed as campaign treasurer. Additional DS-DE 9's were filed but Respondent remained the campaign treasurer. To review the DS-DE 9, refer to Exhibit 1.

3. Respondent filed a Statement of Candidate with the Division on October 4, 2019, in which Respondent certified he had been provided access to read and understand the requirements of Chapter 106, F.S. To review the Statement of Candidate, refer to Exhibit 2.

4. An acknowledgement letter was mailed to the address provided on the DS-DE 9 on October 7, 2019. The letter advised Respondent that all candidates filing reports with the Division are required to file by means of the Division's electronic filing system (EFS). Respondent was provided with a user identification number and initial password allowing access to the EFS.

5. The acknowledgement letter also advised Respondent that all the Division's publications and reporting forms are available on their website, including Chapter 106, Florida Statutes, the *Candidate and Campaign Treasurer Handbook* and the *Calendar of Reporting Dates*. The letter further advised that it was Respondent's responsibility to read, understand, and follow the requirements of Florida's election laws. To review the acknowledgement letter, refer to Exhibit 3.

II. Alleged Violation of Section 106.141(1), Florida Statutes:

6. I investigated whether Respondent violated this section of the election laws by not disposing of all remaining campaign funds within 90 days of being eliminated. To review Respondent's filing history, refer to Exhibit 4.

7. On the date the 2020 TR was due, campaign account records reflect that the balance was \$15,570.14.¹ To review the relevant bank statement, refer to Exhibit 5, page 14.

8. I called Respondent for the purpose of providing an opportunity to discuss the allegations made in the referral. When asked about the surplus in the campaign account, Respondent stated he wrote checks to non-profits which took longer to clear the account. Respondent stated that he would check his bank records for irregularities and would dispose of any remaining funds as required. I had previously emailed Respondent an affidavit posing pertinent questions about the referral. During the interview, Respondent requested I send the affidavit to him again, which I did. Respondent has not returned a completed affidavit. To review the phone log and emails, refer to Exhibits 6 and 7.

III. Alleged Violation of Sections 106.07(5), 106.141(1), and 106.19(1)(c), Florida Statutes:

9. I investigated whether Respondent violated these sections of the election laws by certifying that one or more campaign treasurer report(s) were true, correct, and complete when they were not, falsely reporting or deliberately failing to include information in one or campaign treasurer report(s), and failing to accurately reflect disposition of all remaining campaign funds in a termination report due to an apparent surplus balance after the TR was filed.

10. I reviewed bank records from the designated campaign depository and compared them with campaign treasurer report(s) covering the following reporting period(s): 2020 TR.² The results of my review are reflected in the table below. To review Respondent's filing history, refer to Exhibit 4. To review the bank records, refer to Exhibit 5. To review the campaign treasurer report(s), refer to Exhibit 8.

Comparison of Campaign Treasurer Report(s) with Bank Records

Report & Dates Due/Filed	Reporting Period & Document Filed	Information on Report	Information from Bank
2020 TR 11/16/20 12/18/20 ³	8/14/20 – 11/16/20 Report	Not Reported Not Reported Not Reported	\$745.50 expenditure to DELIVERY SIGNS on 8/18/20 \$11.25 expenditure to ACTBLUEDONATE on 9/3/20 \$19.42 expenditure to Vantiv eCommerce on 9/9/20

¹ I subpoenaed campaign account records from the designated campaign depository. In December 2020 and January 2021, eight expenditures by checks all dated 11/15/20 posted to the account. These eight expenditures totaled \$6,570.14 and were made to entities identified on the TR as charitable organizations. On 12/31/20, a \$5,000.00 cashier's check paid to the order of Respondent and another \$500.00 cashier's check were purchased. On 1/4/21, a previously issued check in the amount of \$1,000.00 was converted to electronic transaction by the Boys & Girls Club. The account balance was \$2,554.95 as of 1/31/21, which was the extent of the bank records obtained during the investigation. Refer to Exhibit 5, pages 15-36.

² The review was reflective of original reports filed and applicable bank records of deposits, debits, bank fees, and checks drawn on the campaign account, but it did not reflect activity associated with online contribution platforms where the investigation was unable to obtain records itemizing the sources of such contributions.

³ Division records show Respondent filed the TR on 12/18/20, which as 32 days late. An automatic fine in the amount of \$1,301.25 was imposed by the Division; the fine has been paid. Refer to Exhibit 4.

		Not Reported	\$54.95 expenditure to FACEBK on 9/14/20
		Not Reported	\$2.14 expenditure to Vantiv eCommerce on 10/9/20
		Not Reported	\$0.50 expenditure to Vantiv eCommerce on 11/10/20
		\$500.00 expenditure to Let Your Voice Be Heard on 11/15/20	No Record Found
		\$500.00 expenditure to 8 Cents In A Jar, Inc., on 11/15/20	No Record Found
		\$500.00 expenditure to South Apopka Community Development Association, Inc., on 11/15/20	No Record Found
		\$500.00 expenditure to IHope Mentoring, Inc., on 11/15/20	No Record Found
		\$500.00 expenditure to Glorious Hands, Inc., on 11/15/20	No Record Found
		\$500.00 expenditure to Life Beyond Adversity Foundation, Inc., on 11/15/20	No Record Found
		\$5,000.00 expenditure to Respondent on 11/15/20	\$5,000.00 expenditure for cashier's check paid to the order of Respondent on 12/31/20
11. Respondent did not respond to the referral.			
12. I called Respondent for the purpose of providing an opportunity to discuss the allegations made in the referral. When I noted that the TR included expenditures not found in campaign account records, Respondent stated he would check his bank records for irregularities and would dispose of any remaining funds as required. I had previously emailed Respondent an affidavit posing pertinent questions about the referral. During the interview, Respondent requested I send the affidavit to him again, which I did. Respondent has not returned a completed affidavit. To review the phone log and emails, refer to Exhibits 6 and 7.			
SIGNATURE OF INVESTIGATOR: <u>Helen Hinson</u> Date: <u>February 6, 2024</u>			

FLORIDA ELECTIONS COMMISSION
REPORT OF INVESTIGATION
Alexis Carter -- FEC 22-170

LIST OF EXHIBITS	
Exhibits #s	Description of Exhibits
Exhibit 1	DS-DE 9
Exhibit 2	Statement of Candidate
Exhibit 3	Division's acknowledgement letter
Exhibit 4	Respondent's filing history
Exhibit 5	Bank records
Exhibit 6	Phone log
Exhibit 7	Email
Exhibit 8	2020 TR

HAND DELIVERED

RECEIVED

**APPOINTMENT OF CAMPAIGN TREASURER
AND DESIGNATION OF CAMPAIGN
DEPOSITORY FOR CANDIDATES**

(Section 106.021(1), F.S.)

(PLEASE PRINT OR TYPE)

2019 OCT -4 AM 11:42

DIVISION OF ELECTIONS
TALLAHASSEE, FL

NOTE: This form must be on file with the qualifying officer before opening the campaign account.

OFFICE USE ONLY

1. CHECK APPROPRIATE BOX(ES):

☒ Initial Filing of Form Re-filing to Change: ☐ Treasurer/Deputy ☐ Depository ☐ Office ☐ Party

2. Name of Candidate (in this order: First, Middle, Last)

Alexis Carter

3. Address (include post office box or street, city, state, zip code)

200 East Robinson Street, Ste. 1150
Orlando, FL 32801

4. Telephone

(407) 252-2769

5. E-mail address

acarter@carterfirm.net

6. Office sought (include district, circuit, group number)

Florida Senate, District 9

7. If a candidate for a nonpartisan office, check if applicable:

☐ My intent is to run as a Write-In candidate.

8. If a candidate for a partisan office, check block and fill in name of party as applicable: My intent is to run as a

☐ Write-In ☐ No Party Affiliation ☒ Democratic _____ Party candidate.

9. I have appointed the following person to act as my ☐ Campaign Treasurer ☐ Deputy Treasurer

10. Name of Treasurer or Deputy Treasurer

Alexis Carter

11. Mailing Address

200 East Robinson Street, Ste. 1150

12. Telephone

(407) 252-2769

13. City

Orlando

14. County

Orange

15. State

Florida

16. Zip Code

32801

17. E-mail address

acarter@carterfirm.net

18. I have designated the following bank as my ☒ Primary Depository ☐ Secondary Depository

19. Name of Bank

Chase

20. Address

2301 E. Colonial Dr.

21. City

Orlando

22. County

Orange

23. State

Florida

24. Zip Code

32803

UNDER PENALTIES OF PERJURY, I DECLARE THAT I HAVE READ THE FOREGOING FORM FOR APPOINTMENT OF CAMPAIGN TREASURER AND DESIGNATION OF CAMPAIGN DEPOSITORY AND THAT THE FACTS STATED IN IT ARE TRUE.

25. Date

4 October 2019

26. Signature of Candidate

X Alexis Carter

27. Treasurer's Acceptance of Appointment (fill in the blanks and check the appropriate block)

I, Alexis Carter, do hereby accept the appointment
(Please Print or Type Name)

designated above as: ☒ Campaign Treasurer ☐ Deputy Treasurer.

4 October 2019

Date

X

Alexis Carter

Signature of Campaign Treasurer or Deputy Treasurer

**APPOINTMENT OF CAMPAIGN TREASURER
AND DESIGNATION OF CAMPAIGN
DEPOSITORY FOR CANDIDATES**

(Section 106.021(1), F.S.)

(PLEASE PRINT OR TYPE)

NOTE: This form must be on file with the qualifying officer before opening the campaign account.

RECEIVED

2019 OCT -8 AM 11:19

DIVISION OF ELECTIONS
TALLAHASSEE, FL

HAND DELIVERED

OFFICE USE ONLY

1. CHECK APPROPRIATE BOX(ES):

☐ Initial Filing of Form Re-filing to Change: ☒ Treasurer/Deputy ☒ Depository ☐ Office ☐ Party

2. Name of Candidate (in this order: First, Middle, Last)

Alexis Carter

3. Address (include post office box or street, city, state, zip code)

200 East Robinson Street, Ste 1150
Orlando, FL 32801

4. Telephone

(407) 252-2769

5. E-mail address

acarter@carterfirm.net

6. Office sought (include district, circuit, group number)

State Senate, District 9

7. If a candidate for a nonpartisan office, check if applicable:

☐ My intent is to run as a Write-In candidate.

8. If a candidate for a partisan office, check block and fill in name of party as applicable: My intent is to run as a

☐ Write-In ☐ No Party Affiliation ☒ Democratic Party candidate

9. I have appointed the following person to act as my ☒ Campaign Treasurer ☐ Deputy Treasurer

10. Name of Treasurer or Deputy Treasurer

Alexis Carter

11. Mailing Address

200 E Robinson Street, Ste 1150

12. Telephone

(407) 252-2769

13. City

Orlando

14. County

Orange

15. State

FL

16. Zip Code

32801

17. E-mail address

acarter@carterfirm.net

18. I have designated the following bank as my ☒ Primary Depository ☐ Secondary Depository

19. Name of Bank

Bank of America

20. Address

300 S Orange Ave Ste 100

21. City

Orlando

22. County

Orange

23. State

Florida

24. Zip Code

32801

UNDER PENALTIES OF PERJURY, I DECLARE THAT I HAVE READ THE FOREGOING FORM FOR APPOINTMENT OF CAMPAIGN TREASURER AND DESIGNATION OF CAMPAIGN DEPOSITORY AND THAT THE FACTS STATED IN IT ARE TRUE.

25. Date

October 8, 2019

26. Signature of Candidate

X *Alexis Carter*

27. Treasurer's Acceptance of Appointment (fill in the blanks and check the appropriate block)

I, Alexis Carter, do hereby accept the appointment
(Please Print or Type Name)

designated above as: ☒ Campaign Treasurer ☐ Deputy Treasurer

October 8, 2019

Date

X *Alexis Carter*

Signature of Campaign Treasurer or Deputy Treasurer

**APPOINTMENT OF CAMPAIGN TREASURER
AND DESIGNATION OF CAMPAIGN
DEPOSITORY FOR CANDIDATES**

(Section 106.021(1), F.S.)

(PLEASE PRINT OR TYPE)

RECEIVED

2019 NOV -1 AM 10:37

DIVISION OF ELECTIONS
TALLAHASSEE, FL

NOTE: This form must be on file with the qualifying officer before opening the campaign account.

OFFICE USE ONLY

1. CHECK APPROPRIATE BOX(ES):

☐ Initial Filing of Form Re-filing to Change: ☒ Treasurer/Deputy ☒ Depository ☐ Office ☐ Party

2. Name of Candidate (in this order: First, Middle, Last)

Alexis Carter

3. Address (include post office box or street, city, state, zip code)

200 E. Robinson Street, Ste. 1150
Orlando, FL 32801

4. Telephone

(407) 252-2769

5. E-mail address

acarter@carterfirm.net

6. Office sought (include district, circuit, group number)

State Senate, District 9

7. If a candidate for a nonpartisan office, check if applicable:

☐ My intent is to run as a Write-In candidate.

8. If a candidate for a partisan office, check block and fill in name of party as applicable: My intent is to run as a

☐ Write-In ☐ No Party Affiliation ☐ Democratic Party candidate.

9. I have appointed the following person to act as my ☒ Campaign Treasurer ☐ Deputy Treasurer

10. Name of Treasurer or Deputy Treasurer

Alexis Carter

11. Mailing Address

200 E Robinson Street, Ste. 1150

12. Telephone

(407) 252-2769

13. City

Orlando

14. County

Orange

15. State

FL

16. Zip Code

32801

17. E-mail address

acarter@carterfirm.net

18. I have designated the following bank as my ☒ Primary Depository ☐ Secondary Depository

19. Name of Bank

Fifth Third Bank

20. Address

200 E. Robinson Street

21. City

Orlando

22. County

Orange

23. State

FL

24. Zip Code

32801

UNDER PENALTIES OF PERJURY, I DECLARE THAT I HAVE READ THE FOREGOING FORM FOR APPOINTMENT OF CAMPAIGN TREASURER AND DESIGNATION OF CAMPAIGN DEPOSITORY AND THAT THE FACTS STATED IN IT ARE TRUE.

25. Date

October 30, 2019

26. Signature of Candidate

X

Alexis Carter

27. Treasurer's Acceptance of Appointment (fill in the blanks and check the appropriate block)

I, Alexis Carter, do hereby accept the appointment
(Please Print or Type Name)

designated above as: ☒ Campaign Treasurer ☐ Deputy Treasurer

October 30, 2019

Date

X

Alexis Carter
Signature of Campaign Treasurer or Deputy Treasurer

Exhibit 1 (Page 3 of 4)

**APPOINTMENT OF CAMPAIGN TREASURER
AND DESIGNATION OF CAMPAIGN
DEPOSITORY FOR CANDIDATES**

(Section 106.021(1), F.S.)

(PLEASE PRINT OR TYPE)

NOTE: This form must be on file with the qualifying officer before opening the campaign account.

RECEIVED
DEPARTMENT
2020 FEB -4 AM 9:44
DIVISION OF ELECTIONS

OFFICE USE ONLY

1. CHECK APPROPRIATE BOX(ES):

☐ Initial Filing of Form Re-filing to Change: ☐ Treasurer/Deputy ☒ Depository ☒ Office ☐ Party

2. Name of Candidate (in this order: First, Middle, Last)

Alexis Carter

3. Address (include post office box or street, city, state, zip code)

1220 Commerce Park Drive, Ste. 203
Longwood, FL 32779

4. Telephone

(40) 252-2769

5. E-mail address

acarter@carterfirm.net

6. Office sought (include district, circuit, group number)

Florida Senate, District 9

7. If a candidate for a nonpartisan office, check if applicable:

☐ My intent is to run as a Write-In candidate.

8. If a candidate for a partisan office, check block and fill in name of party as applicable: My intent is to run as a

☐ Write-In ☐ No Party Affiliation ☒ Democratic Party candidate.

9. I have appointed the following person to act as my ☒ Campaign Treasurer ☐ Deputy Treasurer

10. Name of Treasurer or Deputy Treasurer

Alexis Carter

11. Mailing Address

1220 Commerce Park Drive, Ste. 203

12. Telephone

()

13. City

Longwood

14. County

Seminole

15. State

FL

16. Zip Code

32779

17. E-mail address

acarter@carterfirm.net

18. I have designated the following bank as my ☒ Primary Depository ☐ Secondary Depository

19. Name of Bank

Fifth Third Bank

20. Address

200 E. Robinson Street

21. City

Orlando

22. County

Orange

23. State

FL

24. Zip Code

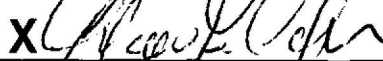
32801

UNDER PENALTIES OF PERJURY, I DECLARE THAT I HAVE READ THE FOREGOING FORM FOR APPOINTMENT OF CAMPAIGN TREASURER AND DESIGNATION OF CAMPAIGN DEPOSITORY AND THAT THE FACTS STATED IN IT ARE TRUE.

25. Date

Jan 31, 2020

26. Signature of Candidate

X 

27. Treasurer's Acceptance of Appointment (fill in the blanks and check the appropriate block)

I, _____, do hereby accept the appointment
(Please Print or Type Name)

designated above as: ☐ Campaign Treasurer ☐ Deputy Treasurer.

X

Date

Signature of Campaign Treasurer or Deputy Treasurer

HAND DELIVERED

**STATEMENT OF
CANDIDATE**

(Section 106.023, F.S.)

(Please print or type)

RECEIVED
OFFICE USE ONLY

2019 OCT -4 AM 11:42

DIVISION OF ELECTIONS
TALLAHASSEE, FL

I, Alexis Carter,

candidate for the office of Florida Senate District 9;

have been provided access to read and understand the requirements of
Chapter 106, Florida Statutes.

X Alexis Carter
Signature of Candidate

4 October 2019
Date

Each candidate must file a statement with the qualifying officer within 10 days after the Appointment of Campaign Treasurer and Designation of Campaign Depository is filed. Willful failure to file this form is a first degree misdemeanor and a civil violation of the Campaign Financing Act which may result in a fine of up to \$1,000, (ss. 106.19(1)(c), 106.265(1), Florida Statutes).



FLORIDA DEPARTMENT *of* STATE

RON DESANTIS
Governor

LAUREL M. LEE
Secretary of State

October 7, 2019

Alexis Carter
200 East Robinson Street, Suite 1150
Orlando, Florida 32801

Dear Ms. Carter:

This will acknowledge receipt of the Appointment of Campaign Treasurer and Designation of Campaign Depository for the office of State Senator, which was placed on file in our office on October 4, 2019. Your name has been placed on the 2020 active candidate list.

Campaign Treasurer's Reports

Your first campaign treasurer's report will be due on **November 12, 2019**. The report will cover the period of October 1-31, 2019 (2019 M10). All candidates who file reports with the Division of Elections are required to file by means of the Division's Electronic Filing System (EFS).

EFS Access

Below is the web address to access the EFS and your user identification number. Enclosed are your confidential, filing credentials.

EFS Website Address: <https://efs.dos.state.fl.us>
Identification Number: 74596

Timely Filing

All reports filed must be completed and filed through the EFS no later than midnight, Eastern Standard Time, of the due date. Reports not filed by midnight of the due date are late filed and subject to the penalties in Section 106.07(8), Florida Statutes. In the event that the EFS is inoperable on the due date, the report will be accepted as timely filed if filed no later than midnight of the first business day the EFS becomes operable. No fine will be levied during the period the EFS was inoperable.

Division of Elections
R.A. Gray Building, Suite 316 • 500 South Bronough Street • Tallahassee, Florida 32399
850.245.6240 • 850.245.6260 (Fax) • DOS.MyFlorida.com/elections



Any candidate failing to file a report on the designated due date shall be subject to a fine of \$50 per day for the first three days late and, thereafter, \$500 per day for each late day, not to exceed 25% of the total receipts or expenditures, whichever is greater, for the period covered by the late report. However, for reports immediately preceding each primary and general election, the fine shall be \$500 per day for each late day, not to exceed 25% of the total receipts or expenditures, whichever is greater, for the period covered by the late report.

Electronic Receipts

The person submitting the report on the EFS will be issued an electronic receipt indicating and verifying the report was filed. Each campaign treasurer's report filed by means of the EFS is considered to be under oath by the candidate and campaign treasurer, and such persons are subject to the provisions of Section 106.07(5), Florida Statutes.

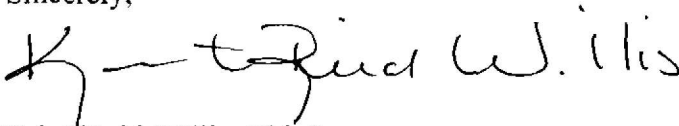
Instructions and Assistance

An online instruction guide is available to you on the EFS to assist with navigation, data entry, and submission of reports. The Division of Elections will also provide assistance to all users by contacting the EFS Help Desk at (850) 245-6280.

All of the Division's publications and reporting forms are available on the Division of Elections' website at <https://dos.myflorida.com/elections>. It is your responsibility to read, understand, and follow the requirements of Florida's election laws. Therefore, please print a copy of the following documents: Chapters 104 and 106, Florida Statutes, *Candidate and Campaign Treasurer Handbook*, *Calendar of Reporting Dates*, and Rule 1S-2.017, Florida Administrative Code.

Please let me know if you need additional information.

Sincerely,

A handwritten signature in black ink that reads "Kristi Reid Willis". The signature is fluid and cursive, with the first name "Kristi" and last name "Willis" clearly legible.

Kristi Reid Willis, Chief
Bureau of Election Records

KRW/mcc

Enclosures



Florida Department of State - Division of Elections

Florida Election System Reports

Candidate/Committee Lookup

Name:

Election:

Acct:

Type:

Candidate Name: Alexis Carter

Account: [74596](#)

Date Due	Type	Date Filed	Status	Days Late	Fine Assessed	Appealed	Amount Fined	Amount Paid
11/16/2020	TR	12/18/2020	CLO	32	\$1,301.25		\$1,301.25	\$1,301.25
8/14/2020	P7	8/13/2020						
8/7/2020	P6	8/11/2020	CLO	4	\$15.00		\$15.00	\$15.00
7/31/2020	P5	8/4/2020	CLO	4	\$340.64		\$340.64	\$340.64
7/24/2020	P4	8/4/2020	CLO	11	\$50.00		\$50.00	\$50.00
7/17/2020	P3	7/20/2020	CLO	3	\$150.00		\$150.00	\$150.00
7/3/2020	P2	7/10/2020	CLO	7	\$125.00		\$125.00	\$125.00
6/19/2020	P1	7/10/2020	CLO	21	\$12.50		\$12.50	\$12.50
6/10/2020	M5	6/10/2020						
5/11/2020	M4	5/10/2020						
4/10/2020	M3	4/1/2020						
3/10/2020	M2	3/10/2020						
2/10/2020	M1	2/10/2020						
1/10/2020	M12	1/10/2020						
12/10/2019	M11	12/10/2019						
11/12/2019	M10	11/11/2019						

ALEXIS CARTER CAMPAIGN
 1220 COMMERCE PARK DR STE 203
 LONGWOOD FL 32779-5014



0

4392

Banking Center: Lake Eola
 Banking Center Phone: 407-999-3253
 Business Banking Support: 877-534-2264

Account Summary

08/01	Beginning Balance	\$22,652.53	Number of Days in Period	31
2	Checks	\$(2,500.00)		
19	Withdrawals / Debits	\$(5,534.13)		
3	Deposits / Credits	\$1,000.00		
08/31	Ending Balance	\$15,618.40		

Analysis Period: 07/01/20 - 07/31/20

Standard Monthly Service Charge \$11.00

Standard Monthly Service Charge Waived (see below) -\$11.00

Service Charge withdrawn on 08/12/20 \$0.00

Standard Monthly Service Charge waived if:

Current Relationship Overview:

Your business maintains a total monthly average	Balance Criteria Met?	Yes
balance of \$3,500 across its business checking, savings,	Total Combined Monthly Average Balance	\$23,768.24
and certificate of deposit accounts.		

OR your business spends at least \$500 per month on
 its business credit card.

Other Criteria Met?	No
\$500 Business Credit Card Spend?	No

Checks

2 checks totaling \$2,500.00

* Indicates gap in check sequence i = Electronic Image s = Substitute Check

Number	Date Paid	Amount	Number	Date Paid	Amount
1005 i	08/25	500.00	1006 i	08/24	2,000.00

Withdrawals / Debits

19 items totaling \$5,534.13

Date	Amount	Description
08/05	8.72	ACTBLUEDONATE 2716885857044 6175177600 Alexis Carter Campaign 080520
08/06	1.99	RECURRING PURCHASE AT FACEBK *LPEZBV2NK2, fb.me/ads, CA ON 080520 FROM CARD#: XXXXXXXXXXXX8898
08/06	372.75	DEBIT CARD PURCHASE AT DELIVERY SIGNS INC, ORLANDO, FL ON 080520 FROM CARD#: XXXXXXXXXXXX8898
08/06	500.00	DEBIT CARD PURCHASE AT GUERRILLA LAUNCH, 4077341121, FL ON 080520 FROM CARD#: XXXXXXXXXXXX8898
08/10	65.42	MERCHANT PAYMENT PUBLIX SUPER MAR - 742105 482 E ALTAMONTE DR ALTAMONTE SPR FL ON 081020 FROM CARD#: XXXXXXXXXXXX8898
08/10	500.00	DEBIT CARD PURCHASE AT SQ *NEWVISIONWEBDE, gosq.com, FL ON 081020 FROM CARD#: XXXXXXXXXXXX8898
08/11	25.00	RECURRING PURCHASE AT FACEBK *LSBHSUSNK2, fb.me/ads, CA ON 081020 FROM CARD#: XXXXXXXXXXXX8898
08/11	25.00	RECURRING PURCHASE AT FACEBK *8MEESUSNK2, fb.me/ads, CA ON 081020 FROM CARD#: XXXXXXXXXXXX8898
08/11	25.00	RECURRING PURCHASE AT FACEBK *8YBWFV2NK2, fb.me/ads, CA ON 081020 FROM CARD#: XXXXXXXXXXXX8898



Withdrawals / Debits - continued

Date	Amount	Description
08/11	35.00	RECURRING PURCHASE AT FACEBK *54MNEWJNK2, fb.me/ads, CA ON 081120 FROM CARD#:XXXXXXXXXXXX8898
08/11	13.79	Vantiv eCommerce 00000000274014150446 Funds Disb 27500794660447 Alexis Carter Campaign 081120
08/12	50.00	RECURRING PURCHASE AT FACEBK *3PQGCV6NK2, fb.me/ads, CA ON 081120 FROM CARD#:XXXXXXXXXXXX8898
08/12	75.00	RECURRING PURCHASE AT FACEBK *D5DREVANK2, fb.me/ads, CA ON 081120 FROM CARD#:XXXXXXXXXXXX8898
08/12	75.00	RECURRING PURCHASE AT FACEBK *SW3THV2NK2, fb.me/ads, CA ON 081220 FROM CARD#:XXXXXXXXXXXX8898
08/13	17.02	RECURRING PURCHASE AT FACEBK *87WTHVWVK2, fb.me/ads, CA ON 081220 FROM CARD#:XXXXXXXXXXXX8898
08/13	293.94	DEBIT CARD PURCHASE AT SQ *SHELBY PRESS, gosq.com, FL ON 081220 FROM CARD#:XXXXXXXXXXXX8898
08/14	855.00	DEBIT CARD PURCHASE AT SQ *BRAND IT WORLD, ORLANDO, FL ON 081320 FROM CARD#:XXXXXXXXXXXX8898
08/17	1,850.00	DEBIT CARD PURCHASE AT PAYPAL *SKYTELECOM, 4029357733, FL ON 081520 FROM CARD#:XXXXXXXXXXXX8898
08/18	745.50	DEBIT CARD PURCHASE AT DELIVERY SIGNS, 3212065288, FL ON 081720 FROM CARD#:XXXXXXXXXXXX8898

Deposits / Credits

3 items totaling \$1,000.00

Date	Amount	Description
08/05	250.00	Vantiv eCommerce 00000000274014139548 Funds Disb 27500788341541 Alexis Carter Campaign 080520
08/12	500.00	Vantiv eCommerce 00000000274014153044 Funds Disb 27500795714441 Alexis Carter Campaign 081220
08/13	250.00	DEPOSIT

Daily Balance Summary

Date	Amount	Date	Amount	Date	Amount
08/05	22,893.81	08/12	21,629.86	08/18	18,118.40
08/06	22,019.07	08/13	21,568.90	08/24	16,118.40
08/10	21,453.65	08/14	20,713.90	08/25	15,618.40
08/11	21,329.86	08/17	18,863.90		

.

ALEXIS CARTER CAMPAIGN

1220 COMMERCE PARK DRIVE
SUITE 203
LONGWOOD FL 32779

1005

63-993/631

22 Aug 20

Date

CHECK ARMOR
FRAUD PROTECTION

Pay to the
Order of

OTC Towing
five hundred

\$ 500.⁰⁰/₁₀₀

Dollars



Photo
Safe
Deposit
Details on back

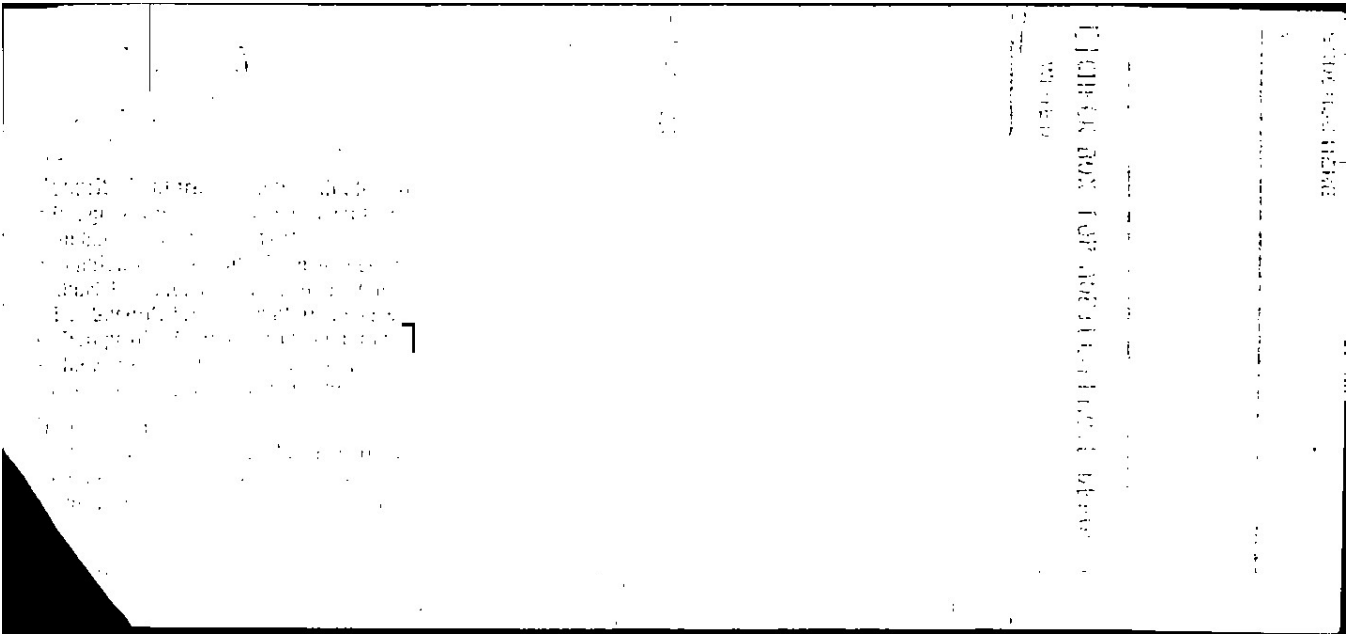


FIFTH THIRD BANK

For

marketing

Chris L. Carter



ALEXIS CARTER CAMPAIGN
1220 COMMERCE PARK DRIVE
SUITE 203
LONGWOOD FL 32779

1006

63-993631

20 Aug 2020

Date

CHECK AMOUNT

Pay to the Order of Laura Krivickas

\$ 2000.00/100

Two thousand

Dollars



Mobile
Deposit
Available
at
Fifth Third Bank



FIFTH THIRD BANK

For Research Assistant & Field coordinator

Alexis I. Carter

28 0.00
.
.
.
25 0.00

Andrew Darling Law, P.A.
PO Box 783283
Winter Garden, FL 32778-3283

9995
82-2781 FL
375

DATE 7/21/2020

PAY TO THE ORDER OF: Alexis Carter Campaign

\$ 250.00

Two hundred and fifty and 00/100 DOLLARS

BANK OF AMERICA

LL [Signature]

F

Wm. J. Chapin

1/1

ALL ITEMS ARE ACCEPTED SUBJECT TO OUR RULES
AND REGULATIONS APPLICABLE TO THIS ACCOUNT
TODOS LOS ARTICULOS SE ACEPTAN CONFORME A NUESTRAS
REGLAS Y REGULACIONES APLICABLES A ESTA CUENTA



FIFTH THIRD BANK

DEPOSIT/
DEPÓSITO

CASH
EFECTIVO ➤

CHECK
CHEQUES ➤

DATE 8 / 13 / 24 DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL
FECHA 8 / 13 / 24 DEPÓSITOS PODRIAN NO ESTAR DISPONIBLES PARA RETIRO INMEDIATO

Alexis Carter Campaign

CUSTOMER NAME/NOMBRE DEL CLIENTE

X

SIGN HERE IF CASH RECEIVED/FIRME AQUÍ SI VA A RECIBIR EFECTIVO

CHECK TOTAL FROM OTHER SIDE
REVISE TOTAL DEL OTRO LADO ➤

SUB TOTAL
SUBTOTAL ➤

LESS CASH RECEIVED
MENOS EFECTIVO RECIBIDO ➤

ACCOUNT NUMBER/NUMERO DE CUENTA

FTCF

CR ✕

☒ Checking

☐ Savings

28 0.00

25 0.00

44-0932 (10/17)

Andrew Darling Law, P.A.
PO Box 783293
Winter Garden, FL 34778-3297

0995
63-27631 FL
3792

DATE 7/21/2020

PAY
TO THE
ORDER OF

Alexis Carter Campaign

\$ 250 00/100

two hundred ~~and~~ fifty and 00/100

DOLLARS  Security Features Detect with Back

BANK OF AMERICA 

FOR Campaign FL Senate 9



Wm. J. Egan

ALEXIS CARTER CAMPAIGN
1220 COMMERCE PARK DR STE 203
LONGWOOD FL 32779-5014



0

4413

Banking Center: Lake Eola
Banking Center Phone: 407-999-3253
Business Banking Support: 877-534-2264

Account Summary -

09/01	Beginning Balance	\$15,618.40	Number of Days in Period	30
	Checks			
3	Withdrawals / Debits	\$(85.62)		
1	Deposits / Credits	\$40.00		
09/30	Ending Balance	\$15,572.78		

Analysis Period: 08/01/20 - 08/31/20

Standard Monthly Service Charge	\$11.00
Standard Monthly Service Charge Waived (see below)	-\$11.00
Service Charge withdrawn on 09/11/20	\$0.00

Standard Monthly Service Charge waived if:

Current Relationship Overview:

Your business maintains a total monthly average balance of \$3,500 across its business checking, savings, and certificate of deposit accounts.	Balance Criteria Met?	Yes
	Total Combined Monthly Average Balance	\$19,442.76

OR your business spends at least \$500 per month on its business credit card.

Other Criteria Met?	No
\$500 Business Credit Card Spend?	No

Withdrawals / Debits 3 items totaling \$85.62

Date	Amount	Description
09/03	11.25	ACTBLUEDONATE 2717104978645 6175177600 Alexis Carter Campaign 090320
09/09	19.42	Vantiv eCommerce 00000000274014204540 Funds Disb 27500823435142 Alexis Carter Campaign 090920
09/14	54.95	RECURRING PURCHASE AT FACEBK *3JMG CWWNK2, fb.me/ads, CA ON 091220 FROM CARD#: XXXXXXXXXXXX8898

Deposits / Credits 1 item totaling \$40.00

Date	Amount	Description
09/16	40.00	Vantiv eCommerce 00000000274014218045 Funds Disb 27500830599047 Alexis Carter Campaign 091620

Daily Balance Summary

Date	Amount	Date	Amount	Date	Amount
09/03	15,607.15	09/14	15,532.78	09/16	15,572.78
09/09	15,587.73				



ALEXIS CARTER CAMPAIGN
 1220 COMMERCE PARK DR STE 203
 LONGWOOD FL 32779-5014



0

4408

Banking Center: Lake Eola
 Banking Center Phone: 407-999-3253
 Business Banking Support: 877-534-2264

Account Summary -

10/01	Beginning Balance	\$15,572.78	Number of Days in Period	31
	Checks			
1	Withdrawals / Debits	\$(2.14)		
	Deposits / Credits			
10/31	Ending Balance	\$15,570.64		

Analysis Period: 09/01/20 - 09/30/20

Standard Monthly Service Charge \$11.00

Standard Monthly Service Charge Waived (see below) -\$11.00

Service Charge withdrawn on 10/13/20 \$0.00

Standard Monthly Service Charge waived if:

Current Relationship Overview:

Your business maintains a total monthly average balance of \$3,500 across its business checking, savings, and certificate of deposit accounts.

Balance Criteria Met?	Yes
Total Combined Monthly Average Balance	\$15,582.52

OR your business spends at least \$500 per month on its business credit card.

Other Criteria Met?	No
\$500 Business Credit Card Spend?	No

Withdrawals / Debits

1 item totaling \$2.14

Date	Amount	Description
10/09	2.14	Vantiv eCommerce 00000000274014265640 Funds Disb 27500856885445 Alexis Carter Campaign 100920

Daily Balance Summary

Date	Amount
10/09	15,570.64

IF YOU USE TREASURY MANAGEMENT SERVICES, PLEASE NOTE THAT WE HAVE UPDATED OUR TERMS AND CONDITIONS. GO TO 53.COM/TM-TC TO VIEW.



ALEXIS CARTER CAMPAIGN
 1220 COMMERCE PARK DR STE 203
 LONGWOOD FL 32779-5014



0

4379

Banking Center: Lake Eola
 Banking Center Phone: 407-999-3253
 Business Banking Support: 877-534-2264

Account Summary -

11/01	Beginning Balance	\$15,570.64	Number of Days in Period	30
	Checks			
1	Withdrawals / Debits	\$(0.50)		
	Deposits / Credits			
11/30	Ending Balance	\$15,570.14		

Analysis Period: 10/01/20 - 10/31/20

Standard Monthly Service Charge	\$11.00
Standard Monthly Service Charge Waived (see below)	-\$11.00
Service Charge withdrawn on 11/12/20	\$0.00

Standard Monthly Service Charge waived if:

Current Relationship Overview:

Your business maintains a total monthly average balance of \$3,500 across its business checking, savings, and certificate of deposit accounts.

Balance Criteria Met?	Yes
Total Combined Monthly Average Balance	\$15,571.21

OR your business spends at least \$500 per month on its business credit card.

Other Criteria Met?	No
\$500 Business Credit Card Spend?	No

Withdrawals / Debits

1 item totaling \$0.50

Date	Amount	Description
11/10	0.50	Vantiv eCommerce 00000000274014329743 Funds Disb 27500887913042 Alexis Carter Campaign 111020

Daily Balance Summary

Date	Amount
11/10	15,570.14



ALEXIS CARTER CAMPAIGN
 1220 COMMERCE PARK DR STE 203
 LONGWOOD FL 32779-5014

0

5106

Banking Center: Lake Eola
 Banking Center Phone: 407-999-3253
 Business Banking Support: 877-534-2264

Account Summary

12/01	Beginning Balance	\$15,570.14	Number of Days in Period	31
2	Checks	\$(2,000.00)		
2	Withdrawals / Debits	\$(5,500.00)		
1	Deposits / Credits	\$54.95		
12/31	Ending Balance	\$8,125.09		

Analysis Period: 11/01/20 - 11/30/20

Standard Monthly Service Charge	\$11.00
Standard Monthly Service Charge Waived (see below)	-\$11.00
Service Charge withdrawn on 12/10/20	\$0.00

Standard Monthly Service Charge waived if:

Current Relationship Overview:

Your business maintains a total monthly average balance of \$3,500 across its business checking, savings, and certificate of deposit accounts.

Balance Criteria Met?	Yes
Total Combined Monthly Average Balance	\$15,570.29

OR your business spends at least \$500 per month on its business credit card.

Other Criteria Met?	No
\$500 Business Credit Card Spend?	No

Checks

2 checks totaling \$2,000.00

* Indicates gap in check sequence i = Electronic Image s = Substitute Check

Number	Date Paid	Amount	Number	Date Paid	Amount
1007 i	12/21	1,000.00	1012*i	12/31	1,000.00

Withdrawals / Debits

2 items totaling \$5,500.00

Date	Amount	Description
12/31	500.00	CASHIER CHECK PURCHASE REF # 00109306747
12/31	5,000.00	CASHIER CHECK PURCHASE REF # 00109305095

Deposits / Credits

1 item totaling \$54.95

Date	Amount	Description
12/28	54.95	FUNDS TRANSFER CREDIT REF # 00108351097 PROVISIONAL CREDIT FOR DISPUTED ITEM

Daily Balance Summary

Date	Amount	Date	Amount	Date	Amount
12/21	14,570.14	12/28	14,625.09	12/31	8,125.09

VERIFY THE AUTHENTICITY OF THIS MULTI-TONE SECURITY DOCUMENT. CHECK BACKGROUND AREA CHANGES COLOR GRADUALLY FROM TOP TO BOTTOM.

FIFTH THIRD BANK **CASHIER'S CHECK** **December 31, 2020**


Pay to the Order of: Alexis Carter*** \$*****5,000.00

Amount: FIVE THOUSAND 00/100 US DOLLARS

Drawn on: Fifth Third Bank Transaction Number: 109305095
National Association Cost Center: 44315

Memo: Reimbursement to candidate
Purchased by: Alexis Carter

The purchase of a Surety Bond may be required before any Cashier's Check on this bank will be replaced or refunded in the event it is lost, misplaced, or stolen.


Authorized Signature 

THE ORIGINAL DOCUMENT IS THE ONLY VALID DOCUMENT. (KING THE ENDORSEMENTS.

9897090

FIFTH THIRD BANK
SECURE

DO NOT WRITE OR SIGN BELOW THIS LINE
DEPOSIT ONLY BANK ENDORSEMENT



SECURE

Posting Date	2021 Apr 19
DB/CR Indicator	Debit
Amount	\$5,000.00
Posting Check Number	34095165
Posting Account Num	
Posting Seq Number	75723732
Tran Code	0
ABA/RT Number	4210119

Serial # 34095219 12/31/2020 \$ 500.00 CASHIER CHECK not found

ALEXIS CARTER CAMPAIGN

1220 COMMERCE PARK DRIVE

SUITE 203

LONGWOOD FL 32779

1012

63-993/631

15 NOV 2020

Date

 CHECK ARMOR
FRAUD PROTECTION

Pay to the
Order of

OEBA Legal Aid Society

\$ 1,000 ⁰⁰/₁₀₀

one thousand

Dollars



Photo
Safe
Deposit
Details on back



FIFTH THIRD BANK

For

donation

Alexis L. Carter

MP

PAY TO THE ORDER OF
WE A.

LEG 3A

ALEXIS CARTER CAMPAIGN
1220 COMMERCE PARK DRIVE
SUITE 203
LONGWOOD FL 32779

1007
63-933831

15 Nov 2020

Date

CHECK ASSURE
FRAUD PROTECTION

Pay to the Order of: Goldboro West Side Comm. Historical Assn. \$ 1,000 00/100

one thousand

Dollars



Check Safe
Deposit
Deposit



FIFTH THIRD BANK

For Black History Month Fund

Pat L. Carter

063104935

0443218925

AL-KIS CARTER CAMPAIGN

Security Features exceed industry standards and include:

- **ImageMatch:** Matching account and check number on back (Printed No. 9,240,000)
- **MobileMark:** Mobile Deposit check mark to indicate check has been deposited via mobile device
- **The Security Weaver:** pattern on back designed to deter fraud
- **Microprint:** *MP* lines printed on front and back
- **The words:** ORIGINAL DOCUMENT across the back
- **Photo Safe Deposit:** icon visible on front and back

Do not cash if:

- Any of the features listed above are missing or appear altered
- Fugitive ink on back looks pink or has disappeared
- Brown stains or colored spots appear on both front and back



☐ CHECK BOX FOR MOBILE/REMOTE DEPOSIT
WRITE NAME OF FINANCIAL INSTITUTION ON LINE ABOVE

ENDORSE HERE
X

ALEXIS CARTER CAMPAIGN
1220 COMMERCE PARK DR STE 203
LONGWOOD FL 32779-5014



0

4385

Banking Center: Lake Eola
Banking Center Phone: 407-999-3253
Business Banking Support: 877-534-2264

Account Summary -

01/01	Beginning Balance	\$8,125.09	Number of Days in Period	31
6	Checks	\$(4,570.14)		
1	Withdrawals / Debits	\$(1,000.00)		
	Deposits / Credits			
01/31	Ending Balance	\$2,554.95		

Analysis Period: 12/01/20 - 12/31/20

Standard Monthly Service Charge	\$11.00
Standard Monthly Service Charge Waived (see below)	-\$11.00
Service Charge withdrawn on 01/13/21	\$0.00

Standard Monthly Service Charge waived if:

Current Relationship Overview:

Your business maintains a total monthly average balance of \$3,500 across its business checking, savings, and certificate of deposit accounts.	Balance Criteria Met?	Yes
	Total Combined Monthly Average Balance	\$15,012.71

OR your business spends at least \$500 per month on its business credit card.

Other Criteria Met?	No
\$500 Business Credit Card Spend?	No

Checks

6 checks totaling \$4,570.14

* Indicates gap in check sequence i = Electronic Image s = Substitute Check

Number	Date Paid	Amount	Number	Date Paid	Amount	Number	Date Paid	Amount
1009 i	01/04	500.00	1013*i	01/04	1,000.00	1016*i	01/14	570.14
1011*i	01/04	1,000.00	1014 i	01/06	1,000.00	1020*i	01/21	500.00

Withdrawals / Debits

1 item totaling \$1,000.00

Date	Amount	Description
01/04	1,000.00	CHECK #1010 CONVERTED TO ELECTRONIC TRANSACTION BY BOYS & GIRLS CLU CHECKPAYMT 010421

Daily Balance Summary

Date	Amount	Date	Amount	Date	Amount
01/04	4,625.09	01/14	3,054.95	01/21	2,554.95
01/06	3,625.09				

ALEXIS CARTER CAMPAIGN
1220 COMMERCE PARK DRIVE
SUITE 203
LONGWOOD FL 32779

1020
63-9931631

15 Nov 2020

Date

CHECK ARMOR
EASYLETTER.COM

Pay to the
Order of

WEFAM, LLC

\$ 500⁰⁰/₁₀₀

five hundred

Dollars



Photo
Safe
Deposit®
Details on back



FIFTH THIRD BANK

For

donation

[Signature]

MP

For Deposit Only

>

2

ALEXIS CARTER CAMPAIGN

1220 COMMERCE PARK DRIVE
SUITE 203
LONGWOOD FL 32779

1016

63 993/631

15 NOV 2020

Date

CHECK NUMBER

Pay to the
Order of

East Altamonte Community Assoc.

\$ 590. ¹⁴/₁₀₀

five hundred seventy and fourteen cents

Dollars



Photo
Safe
Deposit
Details on back



FIFTH THIRD BANK

For

donation

[Signature]

MP

10/10/2014

Mr. Murphy

10/10/2014

10/10/2014

10/10/2014

ALEXIS CARTER CAMPAIGN
1220 COMMERCE PARK DRIVE
SUITE 203
LONGWOOD FL 32779

1014

63-993/631

15 NW 2020

Date

CHECK ARMOR
FRAUD PROTECTION

Pay to the Order of Seminole County Bar Assoc. Legal Aid Society \$ 1,000.00

one thousand

Dollars

Photo
Safe
Deposit®
Details on back



FIFTH THIRD BANK

For donation

Alexis L. Carter

MP

ALEXIS CARTER CAMPAIGN
1220 COMMERCE PARK DRIVE
SUITE 203
LONGWOOD FL 32779

1013

63 993 631

15 NOV 2020

Date

CHECK ARMOR

Pay to the
Order of Wells Built Museum

\$ 1,000 ⁰⁰/₁₀₀

one thousand

Dollars

Photo
Safe
Deposit®
Details on back



FIFTH THIRD BANK

For Arnetia

[Signature]

>263184815< 023301001000380 01-04-21

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011

ALEXIS CARTER CAMPAIGN
1220 COMMERCE PARK DRIVE
SUITE 203
LONGWOOD FL 32779

1011

63-993/631

15 Nov 2020

Date

CHECK AMOUNT
FRAUD PROTECTION

Pay to the
Order of

United Foundation of Central FL

\$ 1,000⁰⁰/₁₀₀

one thousand

Dollars



Photo
Safe
Deposit
Details on back



FIFTH THIRD BANK

For

donation

[Signature]

ALEXIS CARTER CAMPAIGN
1220 COMMERCE PARK DRIVE
SUITE 203
LONGWOOD FL 32779

1011

63-993/631

15 Nov 2020

Date

CHECK AMOUNT
FRAUD PROTECTION

Pay to the
Order of

United Foundation of Central FL

\$ 1,000.00

one thousand

Dollars



Photo
Safe
Deposit
Details on back

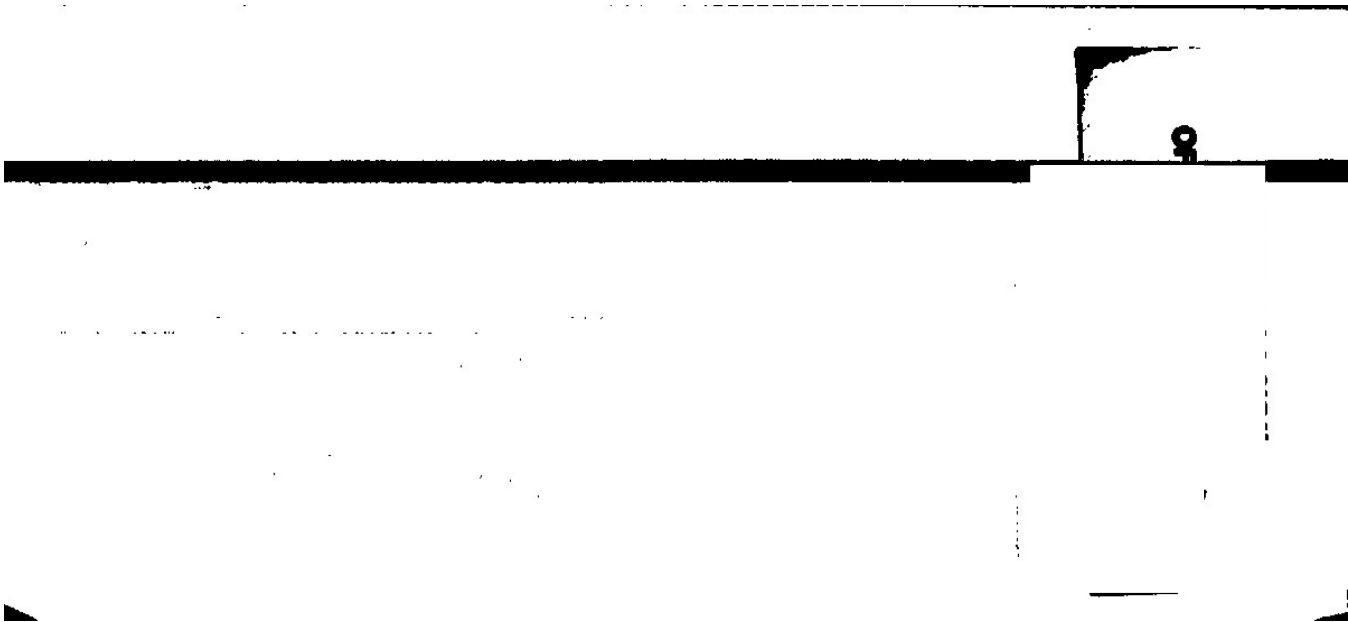


FIFTH THIRD BANK

For

donation

[Signature]



ALEXIS CARTER CAMPAIGN
1220 COMMERCE PARK DRIVE
SUITE 203
LONGWOOD FL 32779

1009
63-993/631

15 NOV 2020

Date

 CHECK ARMOR
BY XEROX CORPORATION

Pay to the
Order of

Helping All Youth Achieve, Inc

\$ 500 ⁰⁰/₁₀₀

five hundred

Dollars



Photo
Safe
Deposit®
Details on back



FIFTH THIRD BANK

For

donation

Alexis Carter

FOR DEPOSIT ONLY

2

CONFIDENTIAL

FLORIDA ELECTIONS COMMISSION
PHONE LOG
Case No.: FEC 22-170

Respondent: Alexis Carter

Complainant: Division of Elections

1. **Date and time:** Wednesday, September 6, 2023; 3:19 p.m.
Name: Fifth Third Bank, Legal Operations
Phone #: 513-358-9355, #1
Summary: I called to confirm methods of service for SDTs; she confirmed they accept service by certified mail to office at 5050 Kingsley Dr., Cincinnati, OH, or hand-delivery at a local branch (2777 Miccosukee Rd.)
Entered by: HH
2. **Date and time:** Wednesday, October 11, 2023; 4:00 p.m.
Name: Zoe, Legal Operations, Fifth Third Bank
Phone #: 513-358-9355, (legal operations #1, subpoenas #2)
Summary: I called, explained that I received production, but I am missing documents related to 2 cashier's checks; she asked for the bank reference number, said it starts with 2023, I provided **20230916620013**, she said they're not available for this type of account, but she agreed to check and placed me on a brief hold. She said they will research the information and respond within 5 business days since they are working on other subpoenas. I asked if they'll respond by email, and she affirmed.
Entered by: HH
3. **Date and time:** Thursday, December 14, 2023; 1:45 p.m.
Name: Respondent—Mr. Alexis Carter
Phone #: 407-252-2769
Summary: In order to provide Respondent an opportunity to discuss the allegations made in the complaint, I called the number he provided on his DS-DE 9 and with The Florida Bar and reached the message, "The person you are trying to call has a voice-mailbox that has not been set-up. Please try your call again later."
Entered by: HH
4. **Date and time:** Thursday, December 21, 2023; 10:23 a.m.
Name: Respondent—Mr. Alexis Carter
Phone #: 407-252-2769
Summary: In order to provide Respondent an opportunity to discuss the allegations made in the complaint, I called the number he provided on his DS-DE 9 and with The Florida Bar and reached Respondent; I identified myself, the reason for my call, asked Respondent if he had time to discuss the instant case and he agreed. I explained that I'm wrapping up my investigation and would like his comments; Respondent asked why I am calling now and not earlier since it's been almost 4 years since his campaign; I explained that I had sent a questionnaire to him (October 2023) at the email address on his DS-DE 9 (Appointment of Treasurer form) which is same as he provided to The Florida Bar and received no response; the Division of Elections had mailed multiple notices to him including a final notice by

certified mail with no response. Also, I advised Respondent that I had called earlier, there was no answer and no voice-mailbox to leave a message. Respondent said he's busy, also, he's in the military, and going to Washington, D.C., for military service. Respondent asked what the "average Joe" does if he's not a member of The Bar; I said we use any available contact information on file with the Division of Elections to reach a Respondent. (Note it took 5 mailings for notice of complaint before service was confirmed to Respondent.)

I explained that I have audited his campaign account for the TR reporting period, on the date the TR was due there was a balance in excess of \$15,000 and expenditures reported on his TR but not found in the bank records and items in the bank records that were not reported; Respondent said he wrote checks to non-profits which took longer to clear the account, he will check his bank records for irregularities and will dispose of any remaining funds as required. Respondent requested I re-send the questionnaire and he'll respond to my query; I requested he respond by the end of the year/this month, and he agreed.

Entered by: HH

5. **Date and time:**

Name:

Phone #:

Summary:

Entered by:

RESPONDENT INTERVIEW MEMO – Call details at call entry # 4

- [Case specific questions—refer to bank records]
 - Have you ever run for public office? If so, please name each office you ran for and state what year each election occurred.
 - Have you ever been appointed to act as a campaign treasurer for a candidate? If so, please name each such candidate, the office each ran for, and state what year each election occurred.
 - Have you ever held the office of chairperson, treasurer, or other similar position for a political committee or electioneering communications organization? If so, please name each committee, state the office you held for each, and state when you held each office.
 - Have you ever prepared or signed a campaign treasurer's report? If so, please state the name of the candidate or committee whose report you prepared or signed.
 - What action have you taken to determine your responsibilities under Florida's election laws?
 - Do you possess a copy of Chapter 106, Florida Statutes? If so, when did you first obtain it? Have you read it?
 - Do you possess a copy of the [*Candidate and Campaign Treasurer OR Political Committee OR Electioneering Communications Organization*] Handbook? If so, when did you first obtain it? Have you read it?
 - *If a section of Chapter 104 is listed in the LS letter, ask:* Do you possess a copy of Chapter 104, Florida Statutes? If so, when did you first obtain it? Have you read it?
 - Did you receive any other materials from your filing officer? If so, please describe them.
- Do you have anything else to add for the Commission's consideration regarding the charges specified in the letter of legal sufficiency in this case?

Helen Hinson

From: Helen Hinson
Sent: Thursday, December 21, 2023 11:45 AM
To: acarter@carterfirm.net
Subject: CONFIDENTIAL CASE NO.: FEC 22-170
Attachments: 22-170_Aff to R_10-27-23.pdf

Importance: High

Mr. Alexis Carter
525 Fernwood Drive
Altamonte Springs, FL
PH: 407.252.2769

RE: Case No.: FEC 22-170
Confidential pursuant to s. 106.25(7), F.S.

Dear Mr. Carter:

As we discussed today by phone, I have enclosed a questionnaire for you to complete, sign and have notarized. Please return by email by the close of business on **December 29, 2023**. Also, please update your contact information with this office so you may continue to receive our communications.

Thank you in advance for your assistance in resolving this matter.

Regards,

Helen Hinson
Investigation Specialist
Florida Elections Commission
107 W Gaines Street
Collins Building, Suite 224
Tallahassee, FL 32399-6596
FAX: 850.921.0783
www.fec.state.fl.us



Please note: Florida has a very broad public records law. Written communications to or from me regarding state business constitute public records and are available to the public and media upon request **unless** the information is subject to a specific statutory exemption. Therefore, your e-mail message may be subject to public disclosure.

AFFIDAVIT
Case Number: FEC 22-170

STATE OF FLORIDA
County of Orange

Alexis Carter, being duly sworn, says:

1. This affidavit is made upon my personal knowledge.
2. I am of legal age and competent to testify to the matters stated herein. I am currently employed by _____ as _____.
3. Please identify the title of campaign publications, such as *A Compilation of The Election Laws of the State of Florida, Candidate & Campaign Treasurer Handbook*, Chapters 104 and/or 106, F.S., etc., and/or links to campaign publications provided to you by the filing officer; please include the date(s) you accessed/obtained and read the campaign publications to understand how to conduct your 2022 election campaign. If you did not access/obtain and read campaign publications and/or access the links provided by the filing officer, please say so and explain why.

4. Please identify any action you have taken, and when, to determine your responsibilities under Florida's election laws.

5. The questions that follow relate to alleged violation(s) of Florida's election laws. **Section 106.07(5)**, Florida Statutes: Respondent, a 2020 candidate for State Senator, District 9, may have certified one or more campaign treasurer reports were true, correct, and complete when they were not, based upon an apparent surplus balance after the 2020 TR was filed, as alleged in the complaint.

Section 106.141(1), Florida Statutes: Respondent, a 2020 candidate for State Senator, District 9, filed a 2020 TR that may have failed to accurately reflect the disposition of all remaining campaign funds, based upon an apparent surplus balance after the 2020 TR was filed, as alleged in the complaint.

Section 106.19(l)(c), Florida Statutes: Respondent, a 2020 candidate for State Senator, District 9, may have falsely reported or deliberately failed to include information in one or more campaign treasurer reports, as required by Chapter 106, Florida Statutes, based upon an apparent surplus balance after the 2020 TR was filed, as alleged in the complaint.

6. Please explain why there is an unreported \$745.50 expenditure (debit) to DELIVERY SIGNS on 08/18/20 found in the campaign account bank records.

7. Please explain why an unreported \$500 expenditure to 8 Cents In A Jar, Inc., on 11/15/20, was found in the campaign account bank records.

8. Please explain why an unreported \$500 expenditure to South Apopka Community Development Association, Inc., on 11/15/20, was found in the campaign account bank records.

9. Please explain why an unreported \$500 expenditure to IHope Mentoring, Inc., on 11/15/20, was found in the campaign account bank records.

10. Please explain why an unreported \$500 expenditure to Glorious Hands, Inc., on 11/15/20, was found in the campaign account bank records.

11. Please explain why an unreported \$500 expenditure to Life Beyond Adversity Foundation, Inc., on 11/15/20, was found in the campaign account bank records.

12. Please explain why an expenditure (refund to candidate) totaling \$5,000 is reported dated 11/15/20, but not found in the bank records; however, a cashier's check was purchased (reimbursement to candidate) dated 12/31/20 and posted to the account on 04/19/21.

13. Please identify your campaign procedures and process for reporting campaign finance activity for the 2020 TR reporting period.

14. When you examine a CTR prior to certifying the report to be true, correct and complete, what exactly do you look for? Please provide a detailed explanation of your 2020 campaign procedures for reviewing the CTRs prior to affixing your signature certifying that “I have examined this report and it is true, correct and complete.”

15. Your 2020 TR was due on November 16, 2020, and your campaign bank account had a balance (surplus) of \$15,570.14 on that date according to your monthly bank statement. You were required to end your campaign with a \$0.00 balance and accurately report your campaign finance activity for your 2020 TR reporting period. Please explain why there was a surplus when your 2020 TR was due.

16. Please provide any additional information you want considered by the Florida Elections Commission in this case.

SWEAR OR AFFIRM THAT THE INFORMATION CONTAINED IN THIS DOCUMENT IS COMPLETE AND ACCURATE TO THE BEST OF MY KNOWLEDGE.

Signature of Affiant

Print/type full name of Affiant in space above as it appears on her/his I.D.

Sworn to (or affirmed) and subscribed before me this _____
day of _____, 2023.

Signature of Notary Public - State of Florida
Print, Type, or Stamp Commissioned Name of Notary Public

Personally Known _____ or Produced Identification _____
Type of Identification Produced: _____

Case investigator: HH

**FLORIDA DEPARTMENT OF STATE, DIVISION OF ELECTIONS
CAMPAIGN TREASURER'S REPORT SUMMARY**

(1) Alexis Carter (2) 74596
 Candidate, Committee or Party Name I.D. Number

(3) 1220 Commerce Park Drive Longwood FL 32779
 Address (number and street) City State Zip Code

☐ Check box if address has changed since last report

(4) Check appropriate box(es):

☒ Candidate (office sought):
☐ Political Committee ☐ Check if PC has DISBANDED
☐ Committee of Continuous Existence ☐ Check if CCE has DISBANDED
☐ Party Executive Committee

(5) REPORT IDENTIFIERS

Cover Period: From 08/14/2020 To 11/16/2020 Report Type: TR

☐ Original ☒ Amendment ☐ Special Election Report

(6) CONTRIBUTIONS THIS REPORT

Cash & Checks \$0.00
 Loans \$0.00
Total Monetary \$0.00
 In-Kind \$0.00

(7) EXPENDITURES THIS REPORT

Monetary Expenditures \$20,775.14
 Transfers to Office Account \$0.00
Total Monetary \$20,775.14

(8) Other Distributions

Certification

It is a first degree misdemeanor for any person to falsify a public record (ss.839.13, F.S.)

I certify that I have examined this report and it is true, correct and complete

Name of ☐ Treasurer ☐ Deputy Treasurer

X
 Signature

I certify that I have examined this report and it is true, correct and complete

Name of ☒ Candidate ☐ Chairman (PC/PTY Only)

X
 Signature

Name: Alexis Carter

Report: 2020 TR

Period: 08/14/2020 to 11/16/2020

** Records in Filed Report **

Seq # Date	Full Name (Last, Suffix, First, Middle Street Address & City, State, Zip	Contributor	Occupation	Amount Amend
		Type	In-Kind Description	

Name: Alexis Carter

Report: 2020 TR

Period: 08/14/2020 to 11/16/2020

** Records in Filed Report **

Seq # Date	Full Name (Last, Suffix, First, Middle Street Address & City, State, Zip	Type	Purpose	Amount Amend
1 08/14/2020	BRAND IT WORLD INC 202 E COLONIAL DRIVE ORLANDO, FL 32801	MON	CAMPAIGN BANNER, T-SHIRTS, AND BOTTLE STICKERS	\$855.00
2 08/17/2020	SKY TELECOM 13574 VILLAGE PARK DR UNIT 145 ORLANDO, FL 32837	MON	CAMPAIGN AUTO TEXTS	\$1,850.00
3 08/24/2020	KRIVICKAS LAURA 12986 MALLORY CIRCLE UNIT 306 ORLANDO, FL 32828	MON	CAMPAIGN RESEARCH ASSISTANT	\$2,000.00
4 08/25/2020	OTC TOWING 855 E 25TH STREET SANFORD, FL 32771	MON	MARKETING SIGN WAVERS	\$500.00
5 11/15/2020	HELPING ALL YOUTH ACHIEVE, INC. P.O. BOX 555189 ORLANDO, FL 32801	DIS	DIS TO 501C3	\$500.00 UPD
* 5	HELPING ALL YOUTH ACHIEVE, INC. P.O. BOX 555189 ORLANDO, FL 32801	DIS	DIS TO 501C3	\$500.00 History 12/26/20
6 11/15/2020	LET YOUR VOICE BE HEARD 602 W. WASHINGTON ST ORLANDO, FL 32801	DIS	DIS TO 501C3	\$500.00
7 11/15/2020	WELLSBUILT MUSEUM OF AFRICAN AMERICAN HERITAGE 511 W SOUTH ST ORLANDO, FL 32805	DIS	DIS TO 501C3	\$1,000.00
8 11/15/2020	GOLDSBORO WEST SIDE COMMUNITY HISTORICAL ASSOCIATION, INC 1211 HISTORIC GOLDSBORO BLVD SANFORD, FL 32771	DIS	DIS TO 501C3	\$1,000.00
9 11/15/2020	WEFAM, LLC PO BOX 3423 WINDERMERE, FL 34786	DIS	DIS TO 501C3	\$500.00 UPD
9 11/15/2020	WEFAM, LLC PO BOX 3423 WINDERMERE, FL	DIS	DIS TO 501C3	\$500.00 History 12/26/20
10 11/15/2020	8 CENTS IN A JAR, INC P.O BOX 8 APOPKA, FL 32704	DIS	DIS TO 501C3	\$500.00
11 11/15/2020	SOUTH APOPKA COMMUNITY DEVELOPMENT ASSOCIATION, INC 2002 LARKWOOD DRIVE APOPKA, FL 32703	DIS	DIS TO 501C3	\$500.00
12 11/15/2020	IHOPE MENTORING, INC. 424 E. CENTRAL BLVD ORLANDO, FL 32801	DIS	DIS TO 501C3	\$500.00
13 11/15/2020	GLORIOUS HANDS, INC. 1206 WEST 15TH STREET SANFORD, FL 32771	DIS	DIS TO 501C3	\$500.00
14 11/15/2020	SEMINOLE COUNTY BAR ASSOCIATION LEGAL AID SOCIETY 101 WEST PALMETTO AVENUE LONGWOOD, FL 32750	DIS	DIS TO 501C3	\$1,000.00
15 11/15/2020	BOYS & GIRLS CLUB OF CENTRAL FLORIDA, INC. P.O. BOX 2987 ORLANDO, FL 32802	DIS	DIS TO 501C3	\$1,000.00
16 11/15/2020	UNITED FOUNDATION OF CENTRAL FLORIDA, INC. 7267 HIAWASSEE OAK DRIVE ORLANDO, FL 32818	DIS	DIS TO 501C3	\$1,000.00
17 11/15/2020	LIFE BEYOND ADVERSITY FOUNDATION, INC 576 COPLEY LANE ORLANDO, FL 32806	DIS	DIS TO 501C3	\$500.00

Name: Alexis Carter

Report: 2020 TR

Period: 08/14/2020 to 11/16/2020

** Records in Filed Report **

Seq # Date	Full Name (Last, Suffix, First, Middle Street Address & City, State, Zip)	Type	Purpose	Amount Amend
18 11/15/2020	EAST ALTAMONTE COMMUNITY ASSOCIATION, INC 2091 RONALD REAGAN BLVD ALTAMONTE SPRINGS, FL 32701	DIS	DIS TO 501C3	\$570.14
19 11/15/2020	ORANGE COUNTY BAR ASSOCIATION LEGAL AID SOCIETY 100 E ROBINSON ST ORLANDO, FL 32801	DIS	DIS TO 501C3	\$1,000.00 UPD
* 19 11/15/2020	ORANGE COUNTY BAR ASSOCIATION LEGAL AID SOCIETY ORLANDO, FL 32801	DIS	DIS TO 501C3	\$1,000.00 History 12/26/20
20		X		\$0.00 DEL
21 11/15/2020	CARTER ALEXIS 525 FERNWOOD DRIVE ALTAMONTE SPRINGS, FL 32701	DIS	REFUND TO CANDIDATE	\$5,000.00

ID:

CAMPAIGN TREASURER'S REPORT – ITEMIZED FUND TRANSFERS

Page 1 of 1

Name: Alexis Carter

Report: 2020 TR

Period: 08/14/2020 to 11/16/2020

**** Records in Filed Report ****

Seq # Date	Full Name (Last, Suffix, First, Middle Street Address & City, State, Zip	Type	Nature of Account	Amount
				Amend

ID:

CAMPAIGN TREASURER'S REPORT – ITEMIZED DISTRIBUTIONS

Page 1 of 1

Name: Alexis Carter

Report: 2020 TR

Period: 08/14/2020

to 11/16/2020

**** Records in Filed Report ****

Seq # Date	Full Name (Last, Suffix, First, Middle Street Address & City, State, Zip	Recipient	Purpose	Amount Amend
		Type	Related Expenditure	

Queued Items for 2020-TR

Account: 74596

STS 009 Alexis Carter

Rpt Seq: 16

<i>ProcessDescription</i>	<i>Status</i>	<i>Submitter</i>	<i>Created</i>	<i>LastUpdate</i>
Create Pending Report	Processing Complete	74596	12/17/2020 3:09:05 PM	12/17/2020 3:09:05 PM
Review Pending Report	Processing Complete	74596	12/18/2020 3:13:46 PM	12/18/2020 3:13:46 PM
Recalc Pending Report Total	Processing Complete	74596	12/18/2020 3:13:53 PM	12/18/2020 3:13:53 PM
File Pending Report	Processing Complete	74596	12/18/2020 3:15:59 PM	12/18/2020 3:15:59 PM
Amend Filed Report	Processing Complete	74596	12/26/2020 4:48:24 PM	12/26/2020 4:48:24 PM
Review Pending Report	Processing Complete	74596	12/26/2020 4:54:27 PM	12/26/2020 4:54:27 PM
File Pending Report	Processing Complete	74596	12/26/2020 4:55:29 PM	12/26/2020 4:55:29 PM
Review Filed Report	Processing Complete	stbaker	7/1/2022 11:13:36 AM	7/1/2022 11:13:36 AM
Review Filed Report	Processing Complete	stbaker	7/28/2022 11:57:16 AM	7/28/2022 11:57:17 AM

Filed Campaign Treasurer's Report

Alexis Carter
74596 - STS 009

Elect ID: 20201103-GEN
Report: 2020 TR (16)

From: 8/14/2020 Amd: Y Wvr: N Filed: **FIL** 12/18/2020 3:15:59 P
To: 11/16/2020 Cmpl: **COM** Reviewed: **AUD** 7/28/2022 11:57:17 A

Original Report

Submitted: 12/18/2020 3:15:59 P

EXPENDITURES

<i>Seq Date</i>	<i>Name</i>	<i>Address</i>	<i>Type</i>	<i>Office Purpose</i>	<i>Errors Amd</i>	<i>Amount</i>
1 8/14/2020	BRAND IT WORLD INC	202 E COLONIAL DRIVE ORLANDO FL 32801	MON	CAMPAIGN BANNER, T-SHIRTS, AND BOTTLE STICKERS	0	\$855.00
2 8/17/2020	SKY TELECOM	13574 VILLAGE PARK DR UNIT 145 ORLANDO FL 32837	MON	CAMPAIGN AUTO TEXTS	0	\$1,850.00
3 8/24/2020	KRIVICKAS LAURA	12986 MALLORY CIRCLE UNIT 306 ORLANDO FL 32828	MON	CAMPAIGN RESEARCH ASSISTANT	0	\$2,000.00
4 8/25/2020	OTC TOWING	855 E 25TH STREET SANFORD FL 32771	MON	MARKETING SIGN WAVERS	0	\$500.00
5	HELPING ALL YOUTH ACHIEVE, IN	P.O. BOX 555189 ORLANDO FL 32801	DIS		1	\$500.00
6 11/15/2020	LET YOUR VOICE BE HEARD	602 W. WASHINGTON ST ORLANDO FL 32801	DIS		0	\$500.00
7 11/15/2020	WELLSBUILT MUSEUM OF AFRICA	511 W SOUTH ST ORLANDO FL 32805	DIS		0	\$1,000.00
8 11/15/2020	GOLDSBORO WEST SIDE COMMU	1211 HISTORIC GOLDSBORO BLVD SANFORD FL 32771	DIS		0	\$1,000.00
9 11/15/2020	WEFAM, LLC	PO BOX 3423 WINDERMERE FL	DIS		0	\$500.00
10 11/15/2020	8 CENTS IN A JAR, INC	P.O BOX 8 APOKA FL 32704	DIS		0	\$500.00
11 11/15/2020	SOUTH APOKA COMMUNITY DE	2002 LARKWOOD DRIVE APOKA FL 32703	DIS		0	\$500.00
12 11/15/2020	IHOPE MENTORING, INC.	424 E. CENTRAL BLVD ORLANDO FL 32801	DIS		0	\$500.00
13 11/15/2020	GLORIOUS HANDS, INC.	1206 WEST 15TH STREET SANFORD FL 32771	DIS		0	\$500.00

Filed Campaign Treasurer's Report

Alexis Carter
74596 - STS 009

Elect ID: 20201103-GEN
Report: 2020 TR (16)

From: 8/14/2020
To: 11/16/2020

Amd: Y
Cmplt: COM

Wvr: N
Reviewed: AUD

Filed: FIL 12/18/2020 3:15:59 P
7/28/2022 11:57:17 A

Original Report

Submitted: 12/18/2020 3:15:59 P

EXPENDITURES

Seq Date	Name	Address	Type	Office Purpose	Errors Amd	Amount
14	SEMINOLE COUNTY BAR ASSOCIA	101 WEST PALMETTO AVENUE	DIS	DIS TO 501C3	0	\$1,000.00
11/15/2020		LONGWOOD FL 32750				
15	BOYS & GIRLS CLUB OF CENTRAL	P.O. BOX 2987	DIS	DIS TO 501C3	0	\$1,000.00
11/15/2020		ORLANDO FL 32802				
16	UNITED FOUNDATION OF CENTRA	7267 HIAWASSEE OAK DRIVE	DIS	DIS TO 501C3	0	\$1,000.00
11/15/2020		ORLANDO FL 32818				
17	LIFE BEYOND ADVERSITY FOUN	576 COPLEY LANE	DIS	DIS TO 501C3	0	\$500.00
11/15/2020		ORLANDO FL 32806				
18	EAST ALTAMONTE COMMUNITY	2091 RONALD REAGAN BLVD	DIS	DIS TO 501C3	0	\$570.14
11/15/2020		ALTAMONTE SP FL 32701				
19	ORANGE COUNTY BAR ASSOCIATI		DIS	DIS TO 501C3	1	\$1,000.00
11/15/2020		ORLANDO FL 32801				
20			X		0	\$0.00
21	CARTER	525 FERNWOOD DRIVE	DIS	REFUND TO CANDIDATE	0	\$5,000.00
11/15/2020	ALEXIS	ALTAMONTE SP FL 32701				

Filed Campaign Treasurer's Report

Alexis Carter
74596 - STS 009

Elect ID: 20201103-GEN
Report: 2020 TR (16)

From: 8/14/2020 To: 11/16/2020
Amd: Y Wvr: N
Cmplt: COM

Filed: FIL 12/18/2020 3:15:59 P
Reviewed: AUD 7/28/2022 11:57:17 A

Amended Report

Submitted: 12/26/2020 4:55:29 P

EXPENDITURES

Seq	Name	Address	Type	Office Purpose	Errors Amd	Amount
Date						
5	HELPING ALL YOUTH ACHIEVE, IN	P.O. BOX 555189	DIS	DIS TO 501C3	0	\$500.00
11/15/2020		ORLANDO	FL	32801	UPD	
9	WEFAM, LLC	PO BOX 3423	DIS	DIS TO 501C3	0	\$500.00
11/15/2020		WINDERMERE	FL	34786	UPD	
19	ORANGE COUNTY BAR ASSOCIATI	100 E ROBINSON ST	DIS	DIS TO 501C3	0	\$1,000.00
11/15/2020		ORLANDO	FL	32801	UPD	
20			X		0	\$0.00
					DEL	

FLORIDA ELECTIONS COMMISSION
PHONE LOG
Case No.: FEC 22-170

Respondent: Alexis Carter

Complainant: Division of Elections

1. **Date and time:** Wednesday, September 6, 2023; 3:19 p.m.
Name: Fifth Third Bank, Legal Operations
Phone #: 513-358-9355, #1
Summary: I called to confirm methods of service for SDTs; she confirmed they accept service by certified mail to office at 5050 Kingsley Dr., Cincinnati, OH, or hand-delivery at a local branch (2777 Miccosukee Rd.)
Entered by: HH
2. **Date and time:** Wednesday, October 11, 2023; 4:00 p.m.
Name: Zoe, Legal Operations, Fifth Third Bank
Phone #: 513-358-9355, (legal operations #1, subpoenas #2)
Summary: I called, explained that I received production, but I am missing documents related to 2 cashier's checks; she asked for the bank reference number, said it starts with 2023, I provided **20230916620013**, she said they're not available for this type of account, but she agreed to check and placed me on a brief hold. She said they will research the information and respond within 5 business days since they are working on other subpoenas. I asked if they'll respond by email, and she affirmed.
Entered by: HH
3. **Date and time:** Thursday, December 14, 2023; 1:45 p.m.
Name: Respondent—Mr. Alexis Carter
Phone #: 407-252-2769
Summary: In order to provide Respondent an opportunity to discuss the allegations made in the complaint, I called the number he provided on his DS-DE 9 and with The Florida Bar and reached the message, "The person you are trying to call has a voice-mailbox that has not been set-up. Please try your call again later."
Entered by: HH
4. **Date and time:** Thursday, December 21, 2023; 10:23 a.m.
Name: Respondent—Mr. Alexis Carter
Phone #: 407-252-2769
Summary: In order to provide Respondent an opportunity to discuss the allegations made in the complaint, I called the number he provided on his DS-DE 9 and with The Florida Bar and reached Respondent; I identified myself, the reason for my call, asked Respondent if he had time to discuss the instant case and he agreed. I explained that I'm wrapping up my investigation and would like his comments; Respondent asked why I am calling now and not earlier since it's been almost 4 years since his campaign; I explained that I had sent a questionnaire to him (October 2023) at the email address on his DS-DE 9 (Appointment of Treasurer form) which is same as he provided to The Florida Bar and received no response; the Division of Elections had mailed multiple notices to him including a final notice by

certified mail with no response. Also, I advised Respondent that I had called earlier, there was no answer and no voice-mailbox to leave a message. Respondent said he's busy, also, he's in the military, and going to Washington, D.C., for military service. Respondent asked what the "average Joe" does if he's not a member of The Bar; I said we use any available contact information on file with the Division of Elections to reach a Respondent. (Note it took 5 mailings for notice of complaint before service was confirmed to Respondent.)

I explained that I have audited his campaign account for the TR reporting period, on the date the TR was due there was a balance in excess of \$15,000 and expenditures reported on his TR but not found in the bank records and items in the bank records that were not reported; Respondent said he wrote checks to non-profits which took longer to clear the account, he will check his bank records for irregularities and will dispose of any remaining funds as required. Respondent requested I re-send the questionnaire and he'll respond to my query; I requested he respond by the end of the year/this month, and he agreed.

Entered by: HH

5. **Date and time:**

Name:

Phone #:

Summary:

Entered by:

RESPONDENT INTERVIEW MEMO – Call details at call entry # 4

- [Case specific questions—refer to bank records]
 - Have you ever run for public office? If so, please name each office you ran for and state what year each election occurred.
 - Have you ever been appointed to act as a campaign treasurer for a candidate? If so, please name each such candidate, the office each ran for, and state what year each election occurred.
 - Have you ever held the office of chairperson, treasurer, or other similar position for a political committee or electioneering communications organization? If so, please name each committee, state the office you held for each, and state when you held each office.
 - Have you ever prepared or signed a campaign treasurer's report? If so, please state the name of the candidate or committee whose report you prepared or signed.
 - What action have you taken to determine your responsibilities under Florida's election laws?
 - Do you possess a copy of Chapter 106, Florida Statutes? If so, when did you first obtain it? Have you read it?
 - Do you possess a copy of the [*Candidate and Campaign Treasurer OR Political Committee OR Electioneering Communications Organization*] Handbook? If so, when did you first obtain it? Have you read it?
 - *If a section of Chapter 104 is listed in the LS letter, ask:* Do you possess a copy of Chapter 104, Florida Statutes? If so, when did you first obtain it? Have you read it?
 - Did you receive any other materials from your filing officer? If so, please describe them.
- Do you have anything else to add for the Commission's consideration regarding the charges specified in the letter of legal sufficiency in this case?



Florida Department of State - Division of Elections

Florida Election System Reports

Candidate/Committee Lookup

Name:

Election:

Acct:

Type:

Candidate Name: Alexis Carter

Account: [74596](#)

Date Due	Type	Date Filed	Status	Days Late	Fine Assessed	Appealed	Amount Fined	Amount Paid
11/16/2020	TR	12/18/2020	CLO	32	\$1,301.25		\$1,301.25	\$1,301.25
8/14/2020	P7	8/13/2020						
8/7/2020	P6	8/11/2020	CLO	4	\$15.00		\$15.00	\$15.00
7/31/2020	P5	8/4/2020	CLO	4	\$340.64		\$340.64	\$340.64
7/24/2020	P4	8/4/2020	CLO	11	\$50.00		\$50.00	\$50.00
7/17/2020	P3	7/20/2020	CLO	3	\$150.00		\$150.00	\$150.00
7/3/2020	P2	7/10/2020	CLO	7	\$125.00		\$125.00	\$125.00
6/19/2020	P1	7/10/2020	CLO	21	\$12.50		\$12.50	\$12.50
6/10/2020	M5	6/10/2020						
5/11/2020	M4	5/10/2020						
4/10/2020	M3	4/1/2020						
3/10/2020	M2	3/10/2020						
2/10/2020	M1	2/10/2020						
1/10/2020	M12	1/10/2020						
12/10/2019	M11	12/10/2019						
11/12/2019	M10	11/11/2019						



Florida Elections Commission

107 West Gaines Street, Suite 224 Tallahassee, Florida 32399-6596

Telephone: (850) 922-4539 · Facsimile: (850) 921-0783

FEC@myfloridalegal.com · www.fec.state.fl.us



August 28, 2023

Alexis Carter
525 Fernwood Dr.
Altamonte Springs, FL 32701-6329

RE: Case No.: FEC 22-170; Respondent: Alexis Carter

Dear Mr. Carter:

On August 1, 2022, the Florida Elections Commission received a complaint alleging that you violated Florida's election laws. I have reviewed the complaint and find that it contains one or more **legally sufficient allegations**. The Commission staff will investigate the following alleged violations:

Section 106.07(5), Florida Statutes: Respondent, a 2020 candidate for State Senator, District 9, may have certified one or more campaign treasurer reports were true, correct, and complete when they were not, based upon an apparent surplus balance after the 2020 TR was filed, as alleged in the complaint.

Section 106.141(1), Florida Statutes: Respondent, a 2020 candidate for State Senator, District 9, filed a 2020 TR that may have failed to accurately reflect the disposition of all remaining campaign funds, based upon an apparent surplus balance after the 2020 TR was filed, as alleged in the complaint.

Section 106.19(1)(c), Florida Statutes: Respondent, a 2020 candidate for State Senator, District 9, may have falsely reported or deliberately failed to include information in one or more campaign treasurer reports, as required by Chapter 106, Florida Statutes, based upon an apparent surplus balance after the 2020 TR was filed, as alleged in the complaint.

When we conclude the investigation, a copy of the Report of Investigation (ROI) will be mailed to you at the above address. Based on the results of the investigation, a staff attorney will prepare and present a written Staff Recommendation (SR) to the Commission as to whether there is probable cause to charge you, the Respondent, with violating Chapters 104 or 106, Florida Statutes. You will have an opportunity to respond to both the ROI and the SR. The Commission will then hold one or more hearings to determine whether the alleged violations occurred and, if so, the amount of the fine to be imposed upon you. Notice will be mailed to you and the Complainant at least 14 days before any hearing at which your case is to be considered.

Alexis Carter
August 28, 2023
Page 2
FEC 22-170

Pursuant to Section 106.25(4)(i)3., Florida Statutes, the Commission may enter into a consent agreement with a Respondent to settle a complaint prior to a finding of probable cause. If you are interested in entering negotiations directed towards reaching a consent agreement to resolve this matter, please contact the Commission and request to speak with the attorney assigned to this case.

Please note that all documents related to this matter will be mailed to the above address unless you notify us of a new address.

Pursuant to Section 106.25, Florida Statutes, complaints, investigations, investigative reports, and other documents relating to an alleged violation of Chapters 104 or 106, Florida Statutes, are confidential until the Commission finds probable cause or no probable cause, unless the Respondent files a written waiver of confidentiality with the Commission. The confidentiality provision does not apply to the Complainant or the Respondent.

Should you retain counsel, your attorney must file a notice of appearance with the Commission before any member of the commission staff can discuss this case with him or her.

For additional information, please refer to the "Frequently Asked Questions" section of the Commission's website.

If you have additional questions, please contact **Helen Hinson**, the investigator assigned to this case, by phone at (850) 922-4539 or by email at Helen.Hinson@myfloridalegal.com.

Sincerely,

A handwritten signature in blue ink that reads "Tim Vaccaro". The signature is fluid and cursive, with a long horizontal stroke extending to the right.

Tim Vaccaro
Executive Director

TV/jd

**DIVISION OF ELECTIONS
FEC NOTICE FORM**

To FEC from Division of Elections

Candidate: Alexis Carter**Account Number:** 74596**Treasurer:** Alexis Carter

The Division of Elections hereby provides this notice to the Florida Elections Commission pursuant to sections 106.22(7) and 106.25, Florida Statutes. An apparent violation of Chapter 106, Florida Statutes, has occurred based upon a failure to file addendums after notice as required by section 106.07(2)(b)1., Florida Statutes, for the following report(s):

2020 TR

- Alexis Carter (74596) was a 2020 candidate for the office of State Senator.
- On October 4, 2019, Ms. Carter filed the Appointment of Campaign Treasurer and Designation of Campaign Depository with the Division appointing herself as treasurer.
- On March 31, 2022, the Division mailed Ms. Carter notification that one or more campaign treasurer's reports were incomplete.
- On April 28, 2022, the Division mailed Ms. Carter notification that one or more campaign treasurer's reports were incomplete.
- On May 11, 2022, the Division mailed Ms. Carter final notification with delivery confirmation that one or more campaign treasurer's reports were incomplete. (See attached letter and delivery confirmation.)
- As of July 29, 2022, one or more campaign treasurer's reports remain incomplete.

Sent By: Donna S. Brown *DSB***Date:** July 29, 2022

stb



FLORIDA DEPARTMENT OF STATE

Laurel M. Lee
Secretary of State

DIVISION OF ELECTIONS

FINAL NOTICE

Delivery Confirmation:

USPS TRACKING # **9114 9022 0078 9689 7755 90**
& CUSTOMER RECEIPT For Tracking or inquiries go to USPS.com
or call 1-800-222-1811.

May 11, 2022

Alexis Carter
1220 Commerce Park Drive
Suite 203
Longwood, FL 32779

Re: CAN 74596

Dear Ms. Carter:

You have previously been advised that one or more campaign treasurer's reports are incomplete for the reasons noted in the attached error report.

Pursuant to Section 106.07(2), Florida Statutes, you have 7 days from receipt of this letter to correct errors or provide missing information. If the audit states that a written explanation is required, provide the division with a signed letter of explanation addressing the errors noted in the report(s). Your explanation should (1) reference the specific error(s) that occurred; (2) describe how you corrected the errors (example: refunded excess contribution and reported the refund in 2021 M8 report); and (3) list the report(s) and sequence number(s) that were amended to reflect the corrections. Please be advised that if you fail to comply with this request, the Division will forward this matter to the Florida Elections Commission for further action. Section 106.265(1), Florida Statutes, authorizes the Florida Elections Commission to impose civil penalties of up to \$1,000 per violation.

If you need assistance in filing an amendment, please contact the Division's help line at (850) 245-6280.

Sincerely,

Donna S. Brown, Chief
Bureau of Election Records

Attachment

Compliance Report

Candidate: Alexis Carter**Office:** STS**Report:** 2019 M11 (2) **Covering Period:** 11/1/19 - 11/30/19**Account:** 74596**Trail****Contributions**

<u>Statute</u>	<u>FAC</u>	<u>Description</u>	<u>Seq</u>	<u>Date</u>	<u>Name</u>	<u>City</u>	<u>Type</u>	<u>Amount</u>
106.07(4),		Full name required (Initials not accepted)	15	11/18/2019	FULLER T R		CHE	\$250.00
106.08, FS		Contribution limits were exceeded - Written explanation required	26	11/22/2019	LOPEZ JOHANNA		CHE	\$500.00

Expenditures**and Transfers****per Distributions****Report:** 2020 TR (16) **Covering Period:** 8/14/20 - 11/16/20**Account:** 74596**Trail**

<u>Statute</u>	<u>FAC</u>	<u>Description</u>
106.141, F		Reflects balance after termination report filed - written explanation re

Contributions**Expenditures****and Transfers****per Distributions**

Sum of Contributions vs Expenditures

5/11/2022 12:00:22 PM

Alexis Carter

74596

Date of last Contribution: 8/13/20

0 After 10/30/20

Year	Report	Contributions	Expenditures	Running Total
2019	M10	\$24,401.00	\$1,830.00	\$22,571.00
	M11	\$5,735.00	\$1,800.00	\$26,506.00
	M12	\$1,375.00	\$298.20	\$27,582.80
2020	M1	\$480.00	\$1,500.00	\$26,562.80
	M2	\$100.00	\$0.00	\$26,662.80
	M3	\$60.00	\$293.00	\$26,429.80
	M4	\$1,450.00	\$0.00	\$27,879.80
	M5	\$825.00	\$0.00	\$28,704.80
	P1	\$50.00	\$0.00	\$28,754.80
	P2	\$500.00	\$0.00	\$29,254.80
	P3	\$45.20	\$2,475.14	\$26,824.86
	P4	\$200.00	\$0.00	\$27,024.86
	P5	\$275.00	\$962.55	\$26,337.31
	P6	\$60.00	\$0.00	\$26,397.31
	P7	\$1,000.00	\$2,899.84	\$24,497.47
	TR	\$0.00	\$20,775.14	\$3,722.33
Campaign Total:		\$36,556.20	\$32,833.87	

Contribution Limits
First Primary: <= 8/18/20

74596 Alexis Carter

20201103-GEN

Type	Name	City	Amount	Date	Seq	Report
I-CHE	ABRAHA HABEN	GAINESVILLE	\$500.00	10/13/2019	16	2019 M10
I-CHE	ADAMS DANIELLE	SANFORD	\$25.00	12/26/2019	7	2019 M12
I-CHE	AGARD BRIAN	ORLANDO	\$500.00	12/2/2019	1	2019 M12
I-CHE	ALBAN XAVIER	MIAMI	\$25.00	5/30/2020	6	2020 M5
I-CHE	ALLEN AARON	ORLANDO	\$1,000.00	10/14/2019	17	2019 M10
B-CHE	ALUMNI WORLD SPORTS	ORLANDO	\$500.00	10/18/2019	23	2019 M10
I-CHE	ANDERSON TERRY	LONGWOOD	\$500.00	8/10/2020	5	2020 P7
B-CHE	ANDREW DARLING LAW	WINTER GARDEN	\$250.00	8/13/2020	6	2020 P7
I-CHE	BAGHDADI AARON	LONGWOOD	\$25.00	7/10/2020	1	2020 P3
I-CHE	BARFIELD JANELLE	BELLE ISLE	\$50.00	7/21/2020	3	2020 P5
I-CHE	BELL MICHELLE	ORLANDO	\$500.00	10/16/2019	21	2019 M10
I-CHE	BENKOVICH REBECCA	ORLANDO	\$100.00	11/21/2019	23	2019 M11
I-CHE	BROWN DEAINA	WEST PALM BEAC	\$50.00	5/31/2020	7	2020 M5
I-CHE	BROWNE DEVON	ORLANDO	\$500.00	10/11/2019	9	2019 M10
I-CHE	CAESAR DALMYRA	PHILADELPHIA	\$250.00	5/15/2020	2	2020 M5
I-CHE	CAESAR KEVIN	KANSAS CITY	\$250.00	5/21/2020	4	2020 M5
S-LOA	CARTER ALEXIS	ALTAMONTE SPRIN	\$5,000.00	10/4/2019	34	2019 M10
I-CHE	CASSANDRA BROWN	LEESBURG	\$50.00	5/9/2020	1	2020 M5
I-CHE	CENTONZIO JAVIER	LARGO	\$100.00	1/14/2020	3	2020 M1
I-CHE	CIARAVINO MARIE	WINTER PARK	\$50.00	10/11/2019	7	2019 M10
F-CHE	COMMITTEE FOR PROGRESS PC	TALLAHASSEE	\$250.00	11/14/2019	30	2019 M11
I-CHE	COOPER DAYNA	BALTIMORE	\$100.00	11/4/2019	4	2019 M11
I-CHE	CRAWFORD SHERRY	WHITTIER	\$500.00	10/11/2019	4	2019 M10
I-CHE	DARRELL VERHONDA	LAUDERHILL	\$25.00	11/4/2019	5	2019 M11
I-CHE	DUARTE VERONICA	EUSTIS	\$1,000.00	10/11/2019	13	2019 M10
I-CHE	EDGHILL YVETTE	APOPKA	\$1,000.00	4/25/2020	2	2020 M4
I-CHE	ESCOBAR JEANNINE	MIAMI	\$100.00	10/31/2019	30	2019 M10
I-CHE	EVELEV LAURA	LONGWOOD	\$50.00	6/5/2020	1	2020 P1
I-CHE	FANT ANDREA	MELBOURNE	\$100.00	1/2/2020	1	2020 M1
I-CHE	FEITER THOMAS	ORLANDO	\$250.00	1/3/2020	2	2020 M1
I-CHE	FRANCIS GREGORIO	WINDERMERE	\$500.00	10/20/2019	24	2019 M10
I-CHE	FRANCOIS RICHARD	DAVIE	\$25.00	1/14/2020	4	2020 M1
I-CHE	FRENCH JEAN	DEER PARK	\$1,000.00	10/13/2019	15	2019 M10
I-CHE	FRYER LISABETH	SANFORD	\$500.00	6/13/2020	1	2020 P2
I-CHE	•FULLER T.R.	ALTAMONTE SPRIN	\$250.00	11/18/2019	15	2019 M11 •
I-CHE	FULMORE RONALD	ORLANDO	\$500.00	10/15/2019	19	2019 M10
I-CHE	FUSSNECKER JERROD	COLUMBIA	\$50.00	12/11/2019	6	2019 M12
I-CHE	GARVEY ALESHA	LUDIVICI	\$1,000.00	10/25/2019	26	2019 M10
I-CHE	GREEN JORDAN	FAYETTEVILLE	\$50.00	11/3/2019	3	2019 M11
I-CHE	GREEN TENISHA	FREDERICKSBURG	\$500.00	10/11/2019	12	2019 M10
I-CHE	GRIMALDI ROBERT	ORLANDO	\$50.00	7/28/2020	1	2020 P6
I-CHE	HENDRICKS JULIAN	DELAND	\$10.00	11/21/2019	18	2019 M11
I-CHE	HENRY CHRISTOPHER	SANFORD	\$1.00	10/10/2019	1	2019 M10
I-CHE	HOLMES MICHELLE	FOREST HILLS	\$50.00	11/1/2019	1	2019 M11
I-CHE	HUED MAURICIO	MAITLAND	\$500.00	10/30/2019	28	2019 M10
B-CHE	INC. SALMON AGENCY,	OVIDO	\$1,000.00	10/16/2019	33	2019 M10
I-CHE	JACKSON TASIA	WASHINGTON	\$250.00	10/11/2019	14	2019 M10
I-CHE	JEFFERSON PAULA	PARRISH	\$50.00	11/21/2019	21	2019 M11
I-CHE	JOHNSON ROGER	ORLANDO	\$50.00	8/3/2020	3	2020 P7
I-CHE	KENOST SERENA	CHICAGO	\$1,000.00	10/11/2019	10	2019 M10

Contribution Limits

First Primary: <= 8/18/20

74596 Alexis Carter

20201103-GEN

Type	Name	City	Amount	Date	Seq	Report
I-CHE	KING JENNIFER	BATESVILLE	\$1,000.00	10/11/2019	3	2019 M10
I-CHE	LAY BILLIE	ORLANDO	\$50.00	11/21/2019	22	2019 M11
I-CHE	LESSINGTON TASHA	WINTER PARK	\$100.00	7/17/2020	2	2020 P4
I-CHE	LESTER MAKISHA	JACKSONVILLE	\$500.00	10/11/2019	11	2019 M10
I-CHE	LIGON SHANNON	MIAMI	\$100.00	8/3/2020	2	2020 P7
I-CHE	LOPEZ JOHANNA	HUNTSVILLE	\$1,000.00	11/3/2019	2	2019 M11
I-CHE	LOPEZ JOHANNA	HUNTSVILLE	\$500.00	11/22/2019	26	2019 M11
I-CHE	LYNCH SADIKI	CORAL SPRINGS	\$100.00	4/17/2020	4	2020 M4
I-CHE	MANGLARDI VICTORIA	ORLANDO	\$250.00	4/30/2020	1	2020 M4
I-CHE	MANOR TAWANNA	LEESBURG	\$25.00	11/21/2019	19	2019 M11
I-CHE	MATHLIN GORDON JOI	ST. ALBANS	\$250.00	11/14/2019	7	2019 M11
I-CHE	MAYNARD AMINTA	HERCULES	\$500.00	10/22/2019	25	2019 M10
I-CHE	MAYS SHANNON	DELRAY BEACH	\$500.00	10/27/2019	27	2019 M10
I-CHE	MCALLISTER LONNIE	UPPER MARLBORO	\$50.00	3/2/2020	1	2020 M3
I-CHE	MEINTS STEVEN	BALTIMORE	\$50.00	11/18/2019	14	2019 M11
I-CHE	MENARD ANDY	SARASOTA	\$500.00	10/30/2019	29	2019 M10
I-CHE	MORAN SHEILA	LAKE MARY	\$50.00	11/15/2019	9	2019 M11
I-CHE	MORRELL DESMOND	APOPKA	\$50.00	8/3/2020	4	2020 P7
I-CHE	MOYE ARNETTA	ORLANDO	\$25.00	11/14/2019	8	2019 M11
I-CHE	NAZIRI MALIHEH	ORLANDO	\$100.00	2/5/2020	1	2020 M2
I-CHE	NESBITT RON	ORLANDO	\$500.00	10/31/2019	32	2019 M10
I-CHE	OLIVER FRANCIS	SANFORD	\$100.00	7/19/2020	1	2020 P5
I-CHE	PARDO ASTRID	ARLINGTON	\$1,000.00	10/17/2019	22	2019 M10
I-CHE	PENA JOSIE	ALTAMONTE SPRIN	\$100.00	11/18/2019	16	2019 M11
I-CHE	PENLAND DELAYNE	APOPKA	\$100.00	7/23/2020	4	2020 P5
I-CHE	PEREZ JOHN-DEAN	OVIEDO	\$10.00	7/26/2020	2	2020 P6
I-CHE	PETTY ADAM	ATLANTA	\$500.00	10/31/2019	31	2019 M10
I-CHE	POWELL ROGER	DENVER	\$100.00	11/29/2019	28	2019 M11
I-CHE	PROFIT LARON	ORLANDO	\$500.00	11/17/2019	12	2019 M11
I-CHE	RANDOLPH KALIA	BALTIMORE	\$250.00	11/29/2019	29	2019 M11
I-CHE	REAVES LASHEA	APOPKA	\$250.00	11/15/2019	10	2019 M11
I-CHE	REEVES REGANEL	JACKSONVILLE	\$1,000.00	11/22/2019	27	2019 M11
I-CHE	REYES JIMMY	ALTAMONTE SPRIN	\$50.00	11/21/2019	20	2019 M11
I-CHE	RICHARDSON-JONES COURTNEY	ORLANDO	\$250.00	11/17/2019	11	2019 M11
I-CHE	SAINT JOUR REGINA	VALRICO	\$20.20	7/10/2020	2	2020 P3
I-CHE	SALMON MERLYN	ORLANDO	\$1,000.00	10/14/2019	18	2019 M10
I-CHE	SANTIAGO RAMON	KISSIMMEE	\$100.00	5/17/2020	3	2020 M5
I-CHE	SAUNDERS IAN	LONGWOOD	\$25.00	7/19/2020	2	2020 P5
B-CHE	SENNE LAW FIRM, PA	LAKE PLACID	\$100.00	5/29/2020	5	2020 M5
I-CHE	SHANNON MICHAEL	LONGWOOD	\$50.00	12/6/2019	5	2019 M12
I-CHE	SILK CHELSEA	SANFORD	\$50.00	11/7/2019	6	2019 M11
I-CHE	SMALL SHEREE	BLUFFTON	\$10.00	3/4/2020	2	2020 M3
I-CHE	SMITH JOSEPH	FORT PIERCE	\$50.00	8/3/2020	1	2020 P7
I-CHE	SROTHER RAYMOND	DELAND	\$200.00	11/18/2019	17	2019 M11
I-CHE	ST. VAL ANDRELE	AUBURN HILLS	\$500.00	10/11/2019	5	2019 M10
I-CHE	STINSON TANASHA	FORT LEONARD W	\$500.00	10/11/2019	2	2019 M10
I-CHE	STINSON TRAVIS	FORT LEONARD W	\$500.00	10/11/2019	6	2019 M10
I-CHE	TAITT WINSTON	ORLANDO	\$250.00	12/2/2019	2	2019 M12
I-CHE	THOMPSON HAROLD	ORLANDO	\$500.00	10/16/2019	20	2019 M10
I-CHE	TYRELL TIA	MIAMI	\$50.00	11/21/2019	24	2019 M11

Track Another Package +

Tracking Number: 9114902200789689775590

Remove X

Your item was delivered in or at the mailbox at 12:22 pm on May 16, 2022 in LONGWOOD, FL 32779.

USPS Tracking Plus® Available ∨

 **Delivered, In/At Mailbox**

May 16, 2022 at 12:22 pm
LONGWOOD, FL 32779

Get Updates ∨

Text & Email Updates	∨
Tracking History	∨
USPS Tracking Plus®	∨
Product Information	∨

See Less ^

Queued Items for 2020-TR

Account: 74596

STS 009 Alexis Carter

Rpt Seq: 16

<i>ProcessDescription</i>	<i>Status</i>	<i>Submitter</i>	<i>Created</i>	<i>LastUpdate</i>
Create Pending Report	Processing Complete	74596	12/17/2020 3:09:05 PM	12/17/2020 3:09:05 PM
Review Pending Report	Processing Complete	74596	12/18/2020 3:13:46 PM	12/18/2020 3:13:46 PM
Recalc Pending Report Total	Processing Complete	74596	12/18/2020 3:13:53 PM	12/18/2020 3:13:53 PM
File Pending Report	Processing Complete	74596	12/18/2020 3:15:59 PM	12/18/2020 3:15:59 PM
Amend Filed Report	Processing Complete	74596	12/26/2020 4:48:24 PM	12/26/2020 4:48:24 PM
Review Pending Report	Processing Complete	74596	12/26/2020 4:54:27 PM	12/26/2020 4:54:27 PM
File Pending Report	Processing Complete	74596	12/26/2020 4:55:29 PM	12/26/2020 4:55:29 PM
Review Filed Report	Processing Complete	stbaker	7/1/2022 11:13:36 AM	7/1/2022 11:13:36 AM
Review Filed Report	Processing Complete	stbaker	7/28/2022 11:57:16 AM	7/28/2022 11:57:17 AM

**FLORIDA DEPARTMENT OF STATE, DIVISION OF ELECTIONS
CAMPAIGN TREASURER'S REPORT SUMMARY**

(1) Alexis Carter (2) 74596
Candidate, Committee or Party Name I.D. Number

(3) 1220 Commerce Park Drive Longwood FL 32779
Address (number and street) City State Zip Code
☐ Check box if address has changed since last report

(4) Check appropriate box(es):
☒ Candidate (office sought):
☐ Political Committee
☐ Committee of Continuous Existence
☐ Party Executive Committee
☐ Check If PC has DISBANDED
☐ Check If CCE has DISBANDED

(5) REPORT IDENTIFIERS

Cover Period: From 08/14/2020 To 11/16/2020 Report Type: TR
☐ Original ☒ Amendment ☐ Special Election Report

(6) CONTRIBUTIONS THIS REPORT

Cash & Checks	\$0.00
Loans	\$0.00
<i>Total Monetary</i>	\$0.00
In-Kind	\$0.00

(7) EXPENDITURES THIS REPORT

Monetary Expenditures	\$20,775.14
Transfers to Office Account	\$0.00
<i>Total Monetary</i>	\$20,775.14

(8) Other Distributions

Certification

It is a first degree misdemeanor for any person to falsify a public record (ss.839.13, F.S.)

I certify that I have examined this report and it is true, correct and complete

Name of ☐ Treasurer ☐ Deputy Treasurer

X
Signature

I certify that I have examined this report and it is true, correct and complete

Name of ☒ Candidate ☐ Chairman (PC/PTY Only)

X
Signature

ID:

CAMPAIGN TREASURER'S REPORT – ITEMIZED CONTRIBUTIONS

Page 1 of 1

Name: Alexis Carter

Report: 2020 TR

Period: 08/14/2020 to 11/16/2020

** Records in Filed Report **

Seq # Date	Full Name (Last, Suffix, First, Middle Street Address & City, State, Zip	Contributor	Occupation	Amount Amend
		Type	In-Kind Description	

Name: Alexis Carter

Report: 2020 TR

Period: 08/14/2020 to 11/16/2020

** Records in Filed Report **

Seq # Date	Full Name (Last, Suffix, First, Middle Street Address & City, State, Zip	Type	Purpose	Amount Amend
1 08/14/2020	BRAND IT WORLD INC 202 E COLONIAL DRIVE ORLANDO, FL 32801	MON	CAMPAIGN BANNER, T-SHIRTS, AND BOTTLE STICKERS	\$855.00
2 08/17/2020	SKY TELECOM 13574 VILLAGE PARK DR UNIT 145 ORLANDO, FL 32837	MON	CAMPAIGN AUTO TEXTS	\$1,850.00
3 08/24/2020	KRIVICKAS LAURA 12986 MALLORY CIRCLE UNIT 306 ORLANDO, FL 32828	MON	CAMPAIGN RESEARCH ASSISTANT	\$2,000.00
4 08/25/2020	OTC TOWING 855 E 25TH STREET SANFORD, FL 32771	MON	MARKETING SIGN WAVERS	\$500.00
5 11/15/2020	HELPING ALL YOUTH ACHIEVE, INC. P.O. BOX 555189 ORLANDO, FL 32801	DIS	DIS TO 501C3	\$500.00 UPD
* 5	HELPING ALL YOUTH ACHIEVE, INC. P.O. BOX 555189 ORLANDO, FL 32801	DIS	DIS TO 501C3	\$500.00 History 12/26/20
6 11/15/2020	LET YOUR VOICE BE HEARD 602 W. WASHINGTON ST ORLANDO, FL 32801	DIS	DIS TO 501C3	\$500.00
7 11/15/2020	WELLSBUILT MUSEUM OF AFRICAN AMERICAN HERITAGE 511 W SOUTH ST ORLANDO, FL 32805	DIS	DIS TO 501C3	\$1,000.00
8 11/15/2020	GOLDSBORO WEST SIDE COMMUNITY HISTORICAL ASSOCIATION, INC 1211 HISTORIC GOLDSBORO BLVD SANFORD, FL 32771	DIS	DIS TO 501C3	\$1,000.00
9 11/15/2020	WEFAM, LLC PO BOX 3423 WINDERMERE, FL 34786	DIS	DIS TO 501C3	\$500.00 UPD
9 11/15/2020	WEFAM, LLC PO BOX 3423 WINDERMERE, FL	DIS	DIS TO 501C3	\$500.00 History 12/26/20
10 11/15/2020	8 CENTS IN A JAR, INC P.O BOX 8 APOPKA, FL 32704	DIS	DIS TO 501C3	\$500.00
11 11/15/2020	SOUTH APOPKA COMMUNITY DEVELOPMENT ASSOCIATION, INC 2002 LARKWOOD DRIVE APOPKA, FL 32703	DIS	DIS TO 501C3	\$500.00
12 11/15/2020	IHOPE MENTORING, INC. 424 E. CENTRAL BLVD ORLANDO, FL 32801	DIS	DIS TO 501C3	\$500.00
13 11/15/2020	GLORIOUS HANDS, INC. 1206 WEST 15TH STREET SANFORD, FL 32771	DIS	DIS TO 501C3	\$500.00
14 11/15/2020	SEMINOLE COUNTY BAR ASSOCIATION LEGAL AID SOCIETY 101 WEST PALMETTO AVENUE LONGWOOD, FL 32750	DIS	DIS TO 501C3	\$1,000.00
15 11/15/2020	BOYS & GIRLS CLUB OF CENTRAL FLORIDA, INC. P.O. BOX 2987 ORLANDO, FL 32802	DIS	DIS TO 501C3	\$1,000.00
16 11/15/2020	UNITED FOUNDATION OF CENTRAL FLORIDA, INC. 7267 HIAWASSEE OAK DRIVE ORLANDO, FL 32818	DIS	DIS TO 501C3	\$1,000.00
17 11/15/2020	LIFE BEYOND ADVERSITY FOUNDATION, INC 576 COPLEY LANE ORLANDO, FL 32806	DIS	DIS TO 501C3	\$500.00

Name: Alexis Carter

Report: 2020 TR

Period: 08/14/2020 to 11/16/2020

** Records in Filed Report **

Seq # Date	Full Name (Last, Suffix, First, Middle Street Address & City, State, Zip	Type	Purpose	Amount Amend
18 11/15/2020	EAST ALTAMONTE COMMUNITY ASSOCIATION, INC 2091 RONALD REAGAN BLVD ALTAMONTE SPRINGS, FL 32701	DIS	DIS TO 501C3	\$570.14
19 11/15/2020	ORANGE COUNTY BAR ASSOCIATION LEGAL AID SOCIETY 100 E ROBINSON ST ORLANDO, FL 32801	DIS	DIS TO 501C3	\$1,000.00 UPD
* 19 11/15/2020	ORANGE COUNTY BAR ASSOCIATION LEGAL AID SOCIETY ORLANDO, FL 32801	DIS	DIS TO 501C3	\$1,000.00 History 12/26/20
20		X		\$0.00 DEL
21 11/15/2020	CARTER ALEXIS 525 FERNWOOD DRIVE ALTAMONTE SPRINGS, FL 32701	DIS	REFUND TO CANDIDATE	\$5,000.00

ID:

CAMPAIGN TREASURER'S REPORT – ITEMIZED FUND TRANSFERS

Page 1 of 1

Name: Alexis Carter

Report: 2020 TR

Period: 08/14/2020

to 11/16/2020

** Records in Filed Report **

Seq # Date	Full Name (Last, Suffix, First, Middle Street Address & City, State, Zip	Type	Nature of Account	Amount
				Amend

ID:

CAMPAIGN TREASURER'S REPORT – ITEMIZED DISTRIBUTIONS

Page 1 of 1

Name: Alexis Carter

Report: 2020 TR

Period: 08/14/2020

to 11/16/2020

** Records in Filed Report **

Seq # Date	Full Name (Last, Suffix, First, Middle Street Address & City, State, Zip	Recipient	Purpose	Amount
		Type	Related Expenditure	

Report Detail

Account/Seq 74596 2

Election/Type

20201103-GEN

Alexis Carter

CAN

Status/Reviewer	Mailed	Type/Recd	R E S P O N S E
SN stbaker	1st: 3/31/2022	AUT	
7/29/22 11:55 am	2nd: 4/28/2022	5/16/2022	
	3rd: 5/11/2022		

NOTES: 7/29/22 - After reviewing audit for possible FEC ref, discussed with management the issue with the 2019 M11 audit not being enforceable due to date of violation. Removed said audit and am requesting FEC ref for the 2020 TR (filed 12/18/20). -stb

7/1/22 - Still no changes made, removed the Contribution error, sequence #15, due to the full last name being provided. There has been no returned mail for any of the notices sent out. Will need to process an FEC ref for this audit as soon as possible. -stb

5/11/22 - No changes made, sending final notice. -stb

4/27/22 - No changes made, sending second notice. -stb